



Inaugural
US Muon Collider
Accelerator School

indico.uchicago.edu/e/mucschool2025

U.S. Particle Accelerator School
Education in Beam Physics and Accelerator Technology



THE UNIVERSITY OF
CHICAGO



Colliders – Lecture VS2:

Collective Effects (BB, SC, etc)

Incoherent Effects (halo, cool)

Vladimir Shiltsev, Northern Illinois University

part of the “Colliders” class by V.Shiltsev, J.Eldred and B. Simmons

Muon Collider School · Aug. 04 – Aug 07, 2025 · U. Chicago

Important Beam Effects

- Beam-beam effects
- Space-charge effects
- Instabilities
- Collimation
- Cooling
- Diffusion and Intrabeam scattering
- Beamstrahlung
- Polarization
- Synchrotron radiation
- etc etc etc (20 – 40 topics at USPAS/CAS)

Beams as Moving Charges

- Beam is a collection of charges
- Represent electromagnetic potential for other
- charges
- Forces on itself (space-charge, wake-fields/impedances) and opposing beam (beam-beam effects)
 - Main limit for present and future colliders
 - Important for high density beams, i.e. high intensity and/or small beams = for high luminosity !

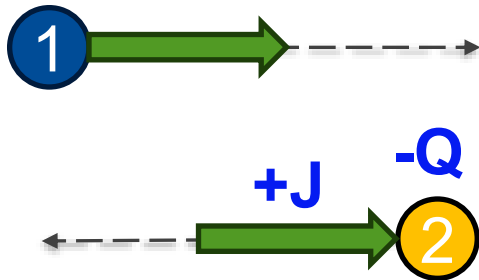
EM Forces in Beams: self fields $B_\theta = \beta E_r$

same charges repel each other (opp attract) $F = eE$

same currents attract each other (opp repel) $F = \beta eB = -\beta^2 eE$

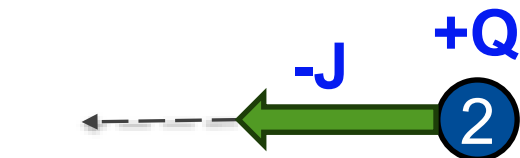
Qualitatively: Balance of These Forces

+Q +J beam-beam



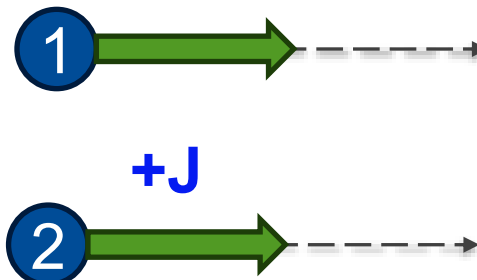
$F_E = (+Q, -Q)$ attracts

$F_B = (+J, +J)$ attracts



both $F_E F_B$ repel

+Q +J space-charge

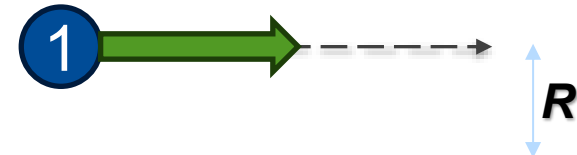


$F_E = (+Q, +Q)$ repels

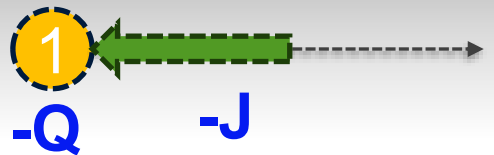
$F_B = (+J, +J)$ attracts

Total $F_E - F_B$ repels
 $= F_E - \beta^2 F_E = F_E / \gamma^2$

+Q +J induced fields



conducting surface δ



$F_E = (+Q, -Q)$ attracts

$F_B = (+J, -J)$ repels

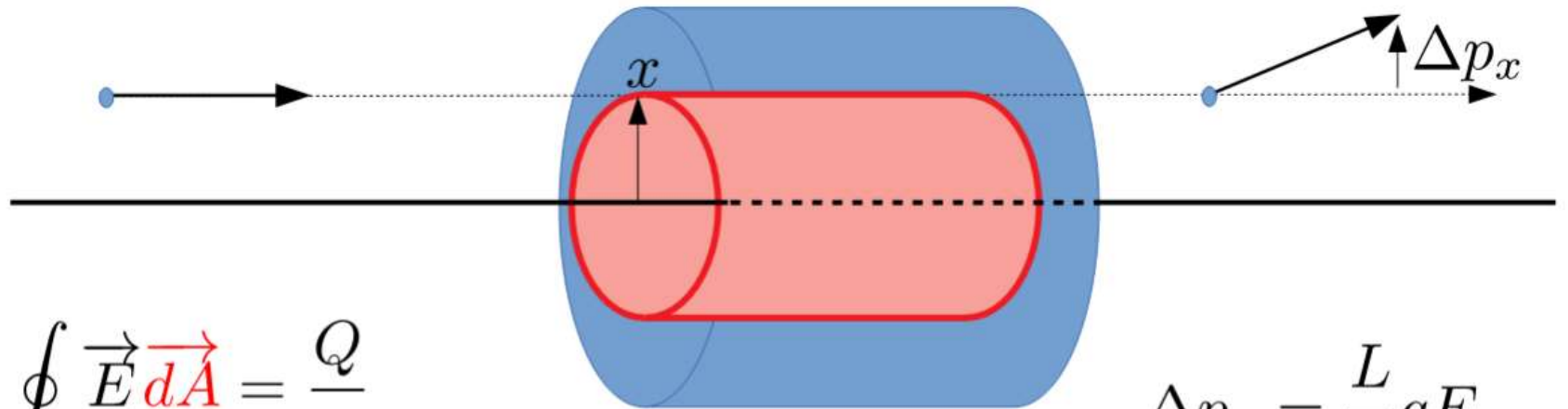
Diff $F_E - F_B$ attracts,
 eg skin-eff $\Delta F \sim F_{E/R}$

BEAM-BEAM (1)

Beam-Beam Effects

- A beam acts on particles like an electromagnetic lens, but:
 - Does not represent simple form, i.e. well-defined multipoles
 - Very non-linear form of the forces, depending on distribution
 - Can change distribution as result of interaction (time dependent forces ..)
- Results in many different intensity dependent effects and problems:
 - unstable betatron oscillations
 - growth of beam sizes
 - particle losses

Beam-Beam Fields: Start with a Cylinder



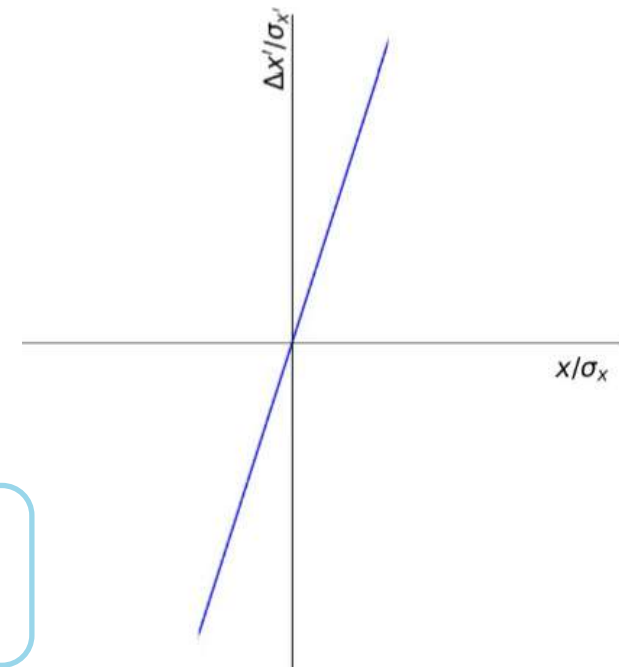
$$\oint \vec{E} \cdot d\vec{A} = \frac{Q}{\epsilon_0}$$

long cylinder

$$\text{Inside: } E_x 2\pi x L = \frac{\pi x^2 L \rho}{\epsilon_0} \quad E_x = \frac{\rho}{2\epsilon_0} x$$

$$\text{Outside: } E_x 2\pi x L = \frac{Q_{tot}}{\epsilon_0} \quad E_x = \frac{Q_{tot}}{2\pi L \epsilon_0} \frac{1}{x}$$

$$\Delta p_x = \frac{L}{c} q E_x$$



i.e. force is linear inside, nonlinear outside

Kick from a Round Gaussian Beam

- (i.e. focusing) for opposite charged beams
+ otherwise

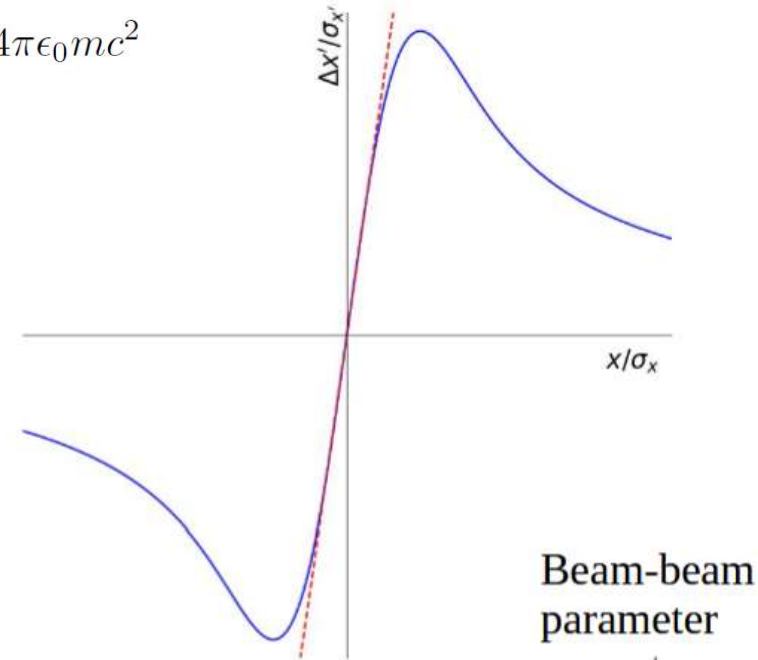
$$\Delta x' = \pm \frac{2Nr_0}{\gamma} \frac{x}{r^2} \left(1 - e^{-\frac{r^2}{2\sigma^2}}\right)$$

$r_0 = e^2/4\pi\epsilon_0 mc^2$

- The strength of the beam-beam force is usually characterized by the slope at the center → **focal length** f_{bb}

$$\cos(2\pi(Q_0 + \Delta Q_{BB})) = \cos(2\pi Q_0) - \frac{\beta_0^*}{2f_{BB}} \sin(2\pi Q_0)$$

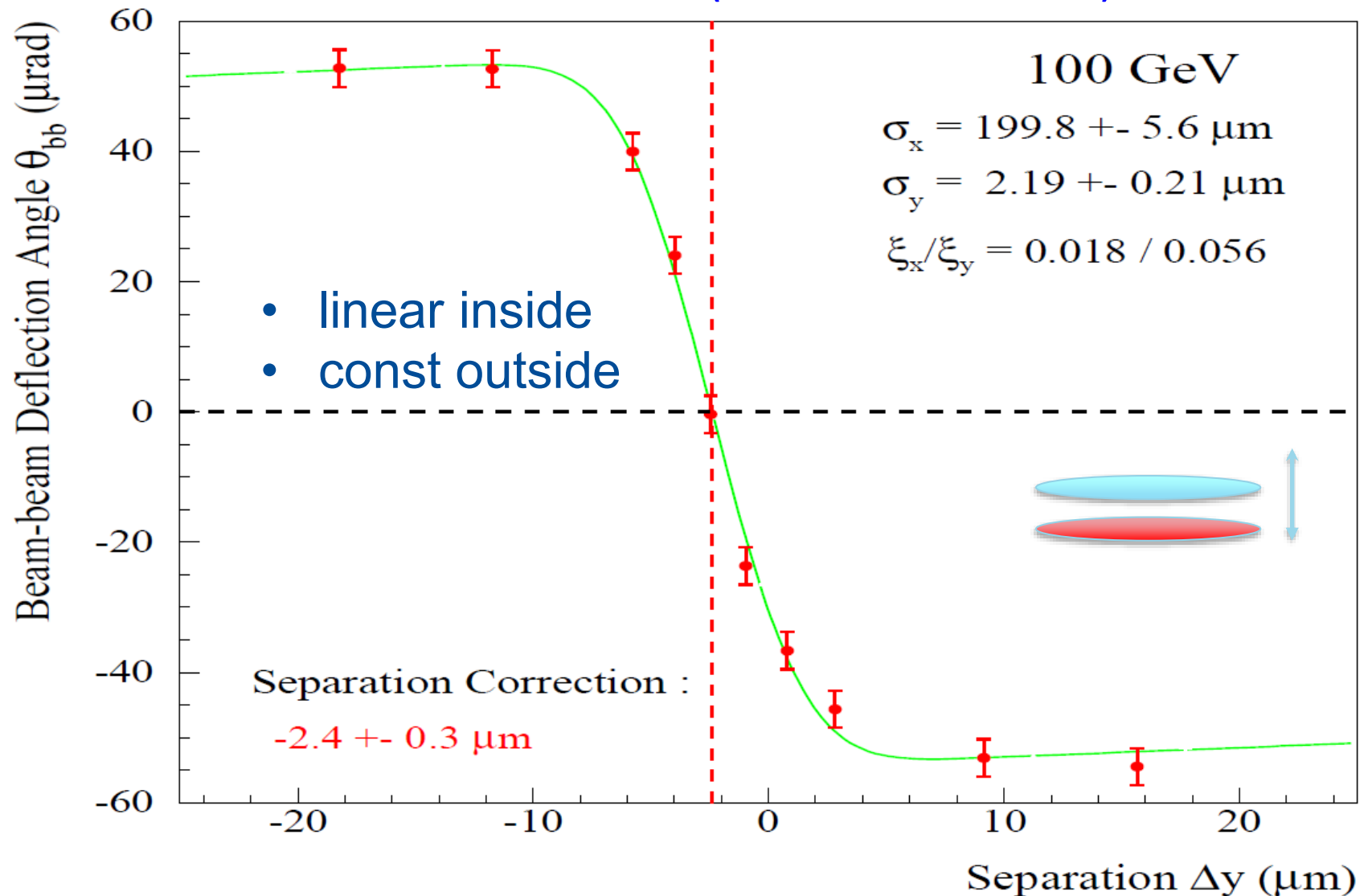
- For small shifts and away from integer and half-integer resonances we have:
- In these conditions the beam-beam tune shift is **independent of the beam energy** and of β^*



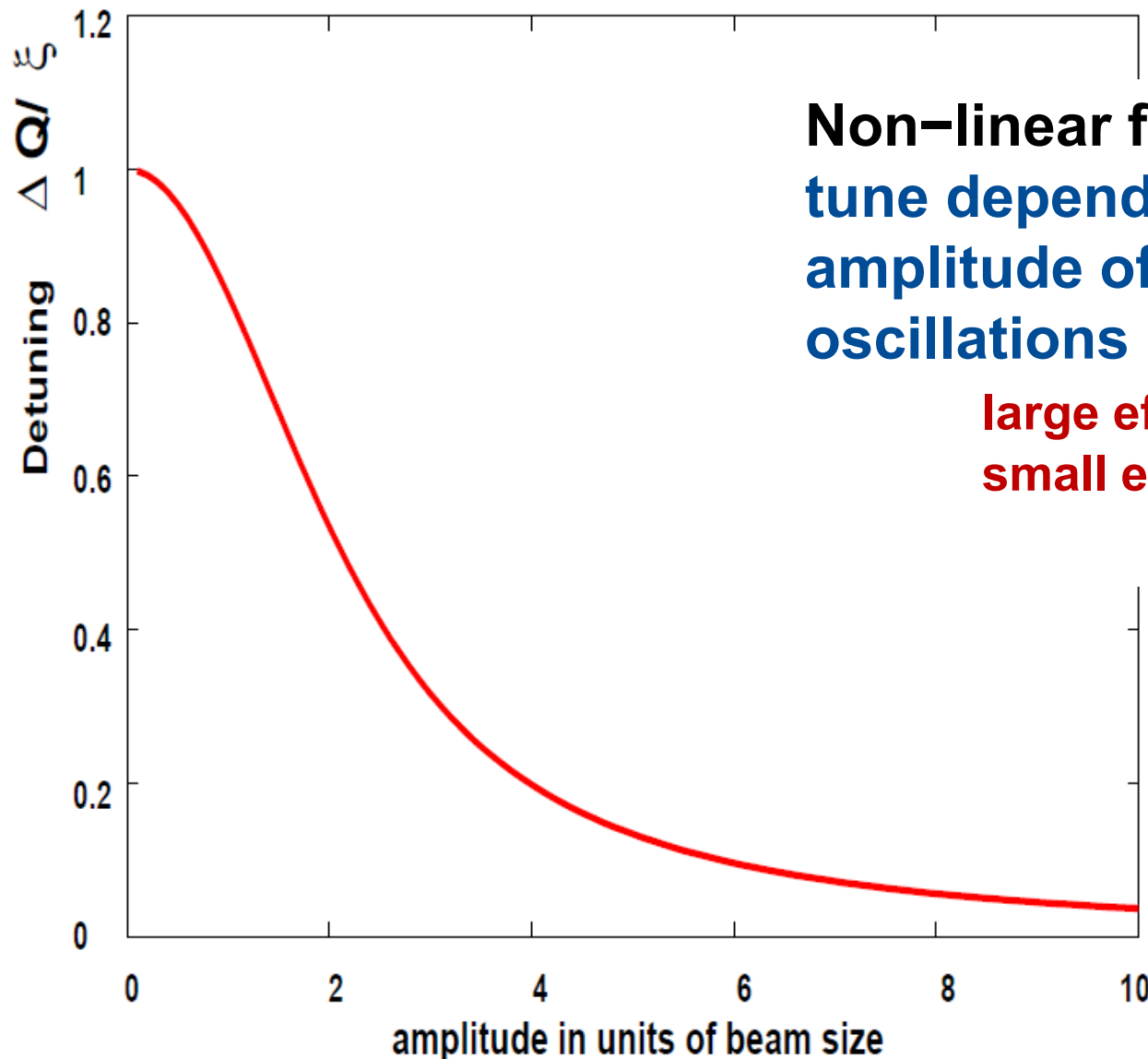
$$\Delta Q_{BB} \approx \mp \frac{Nr_0}{4\pi\epsilon_n} \equiv \xi$$

Beam-beam kick in reality

Deflection scan (LEP measurement)



Beam-beam Detuning with Amplitude

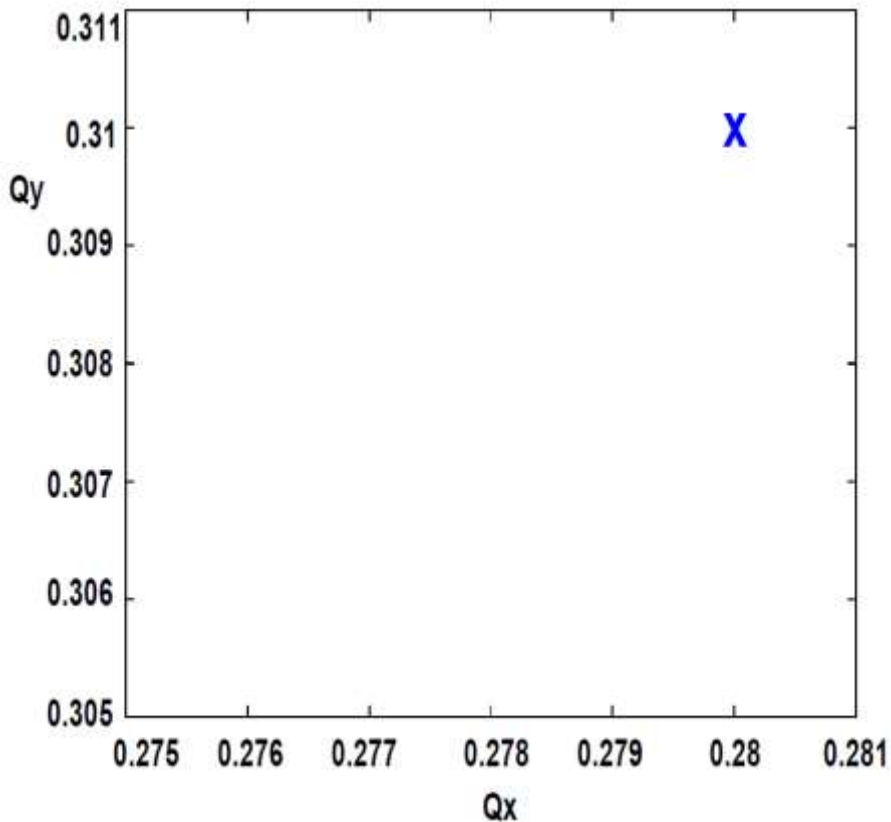


**Non-linear force →
tune depends on the
amplitude of betatron
oscillations**

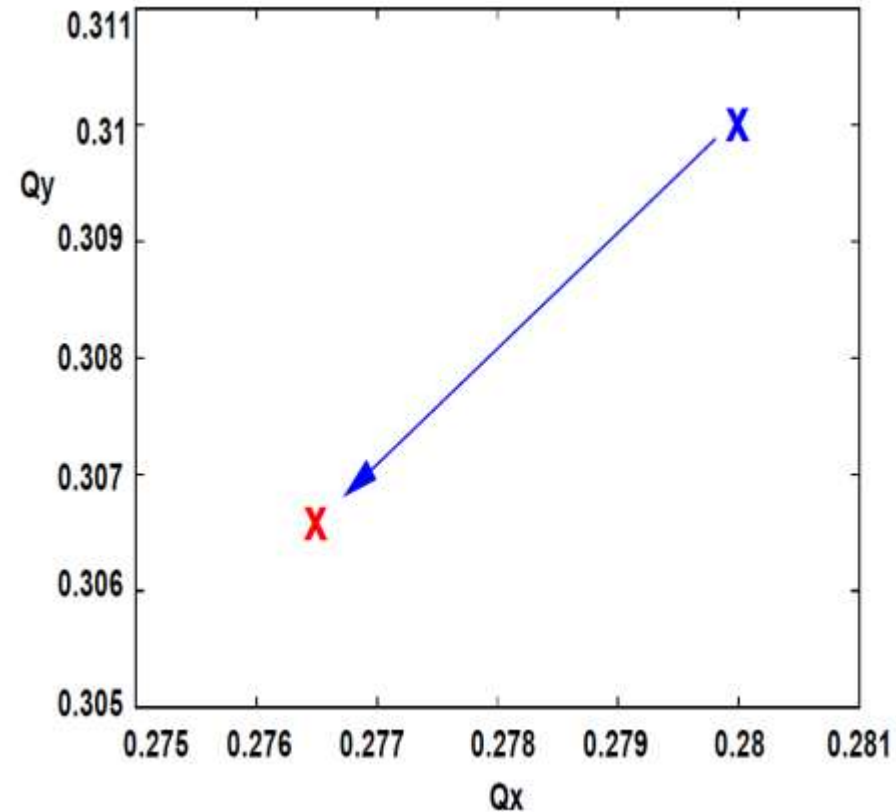
**large effect for $A < \sigma$
small effect for $A \gg \sigma$**

Linear tune shift - two dimensions

“bare lattice” tune

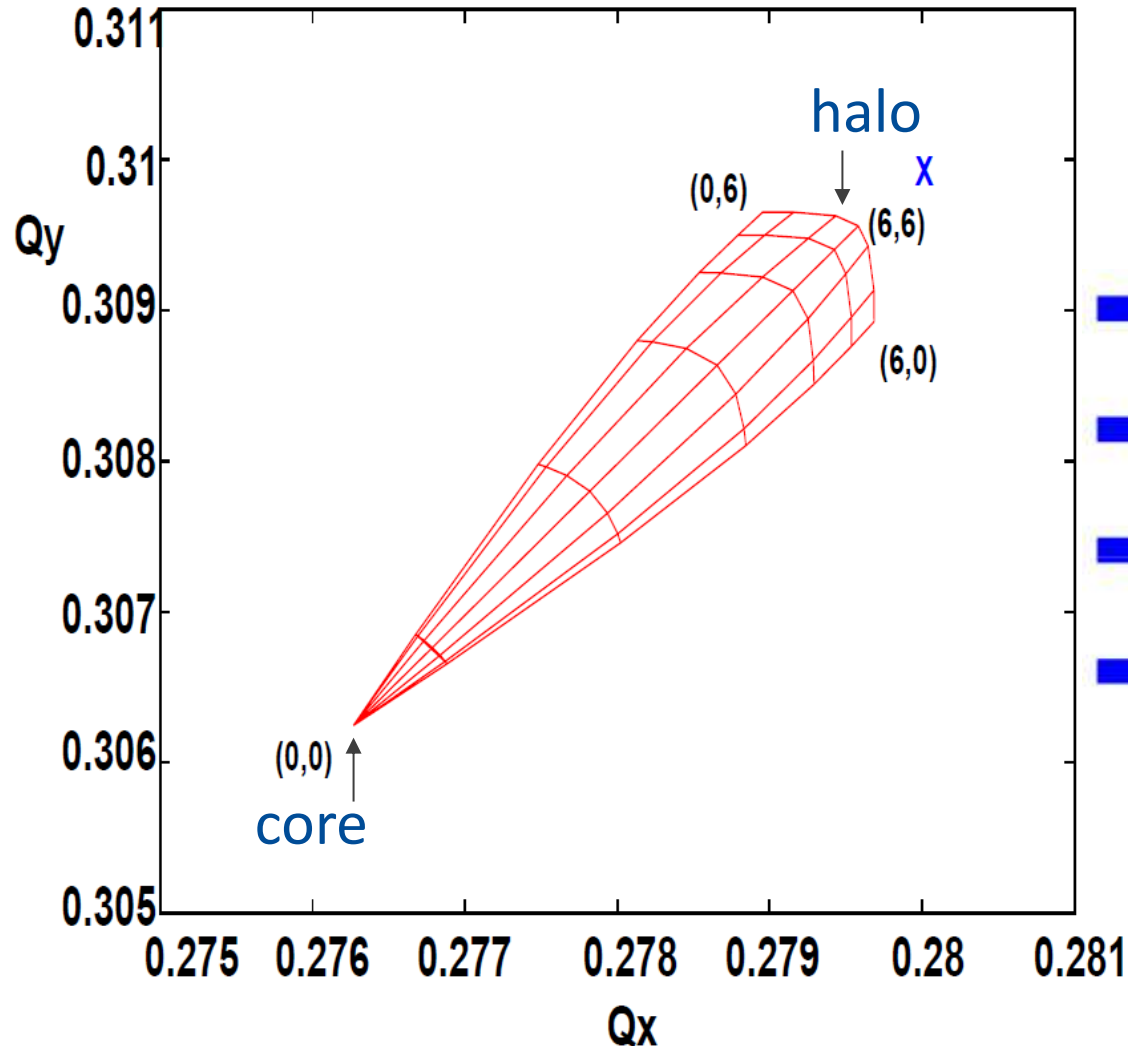


“bare lattice” tune + linear shift due to beam-beam (=core particles)



Non-linear tune shift in two dimensions

Tune footprint for head-on collision



- Tunes depend on x **and** y amplitudes
- No single tune in the beam
- Compute and plot for every amplitude (pair) the tunes in both planes
- In 2 dimensions:
plotted as **footprint**

e⁺e⁻ LEP vs p-pbar collider Tevatron

	LEP	Tevatron
Beam sizes	160 - 200 μm · 2 - 4 μm	30 μm · 30 μm
Intensity N	4.0 · 10 ¹¹ /bunch	3 · 10 ¹¹ /bunch
Energy	100 GeV	980 GeV
β_x^* · β_y^*	1.25 m · 0.05 m	0.28 · 0.28 m
Beam-beam parameter(ξ)	0.0700	0.012 x2 IPs

Observations (Reality of Beam-Beam)

- Remember:

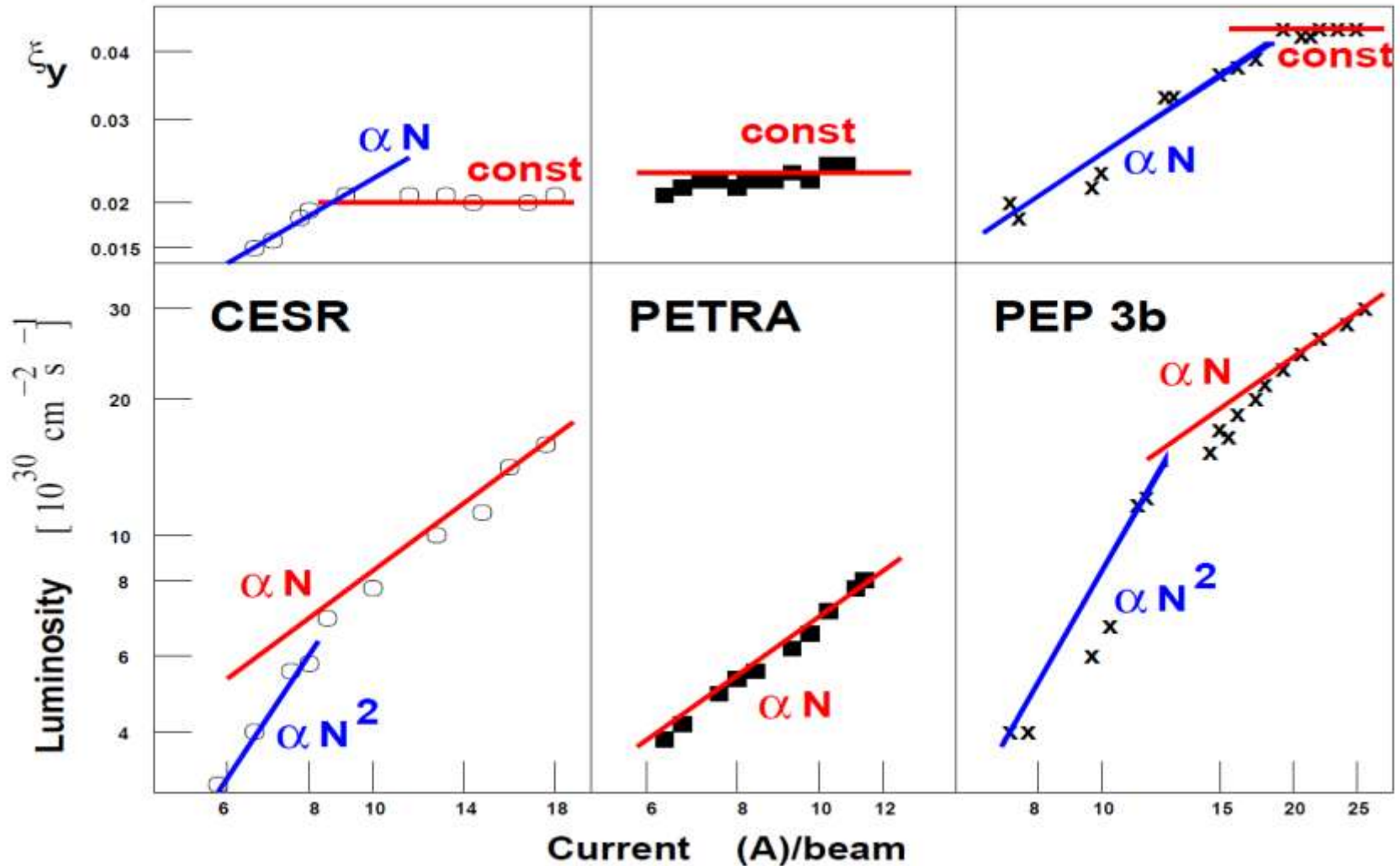
$$\mathcal{L} = \frac{N_1 N_2 f B}{4\pi\sigma_x\sigma_y}$$

- Luminosity should increase $\propto N_1 N_2$
for:

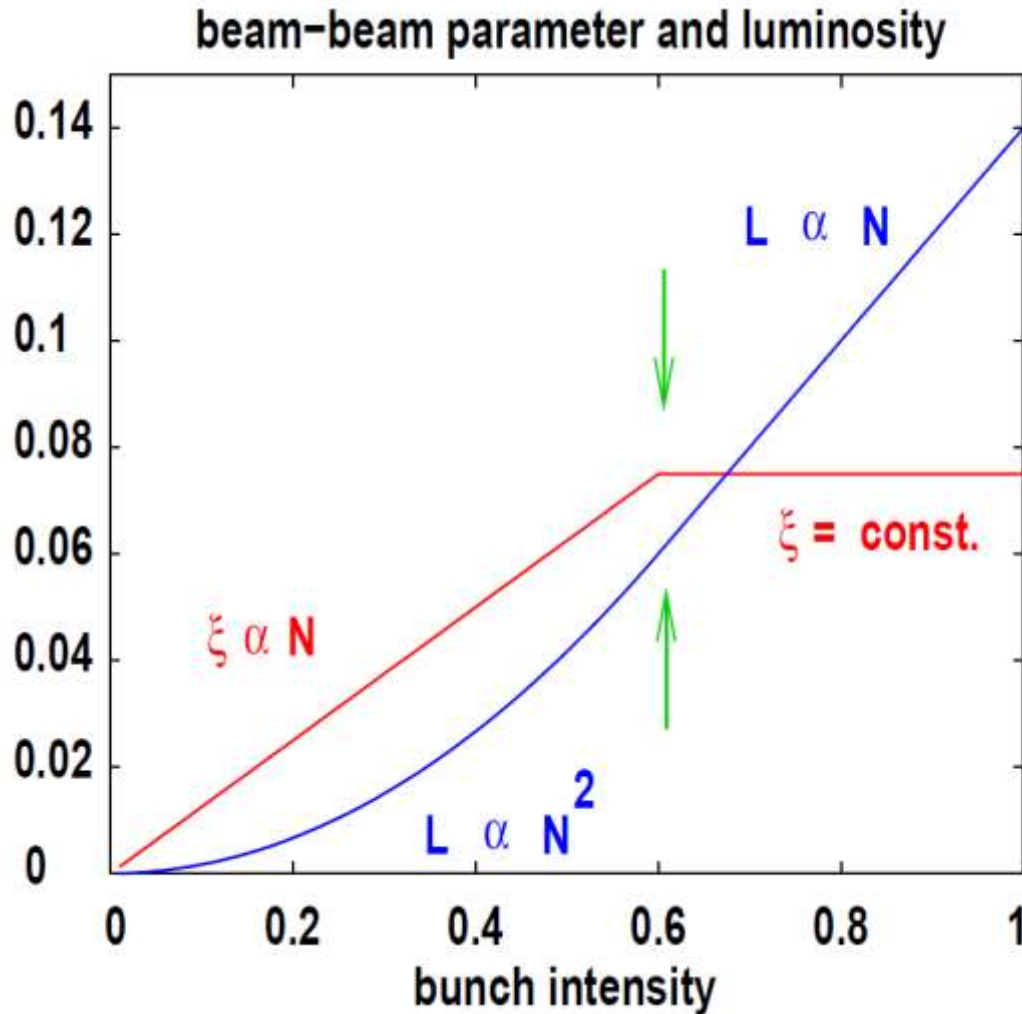
$$N_1 = N_2 = N \rightarrow \propto N^2$$

- Beam-beam parameter should increase $\propto N$
- But:

Beam-Beam Limits : e⁺e⁻ Colliders



Beam-beam Limit on Luminosity



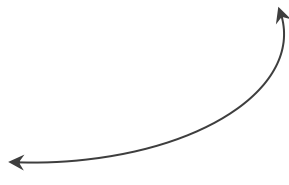
First - Beam-beam parameter increases linearly with intensity
Saturation above some intensity

Then - luminosity increases only linearly with N above the so-called beam-beam limit

What's happening?

$$\xi_y = \frac{Nr_0\beta_y}{2\pi\gamma\sigma_y(\sigma_x + \sigma_y)} \quad (\sigma_x \gg \sigma_y) \quad \frac{r_0\beta_y}{2\pi\gamma(\sigma_x)} \cdot \frac{N}{\sigma_y}$$

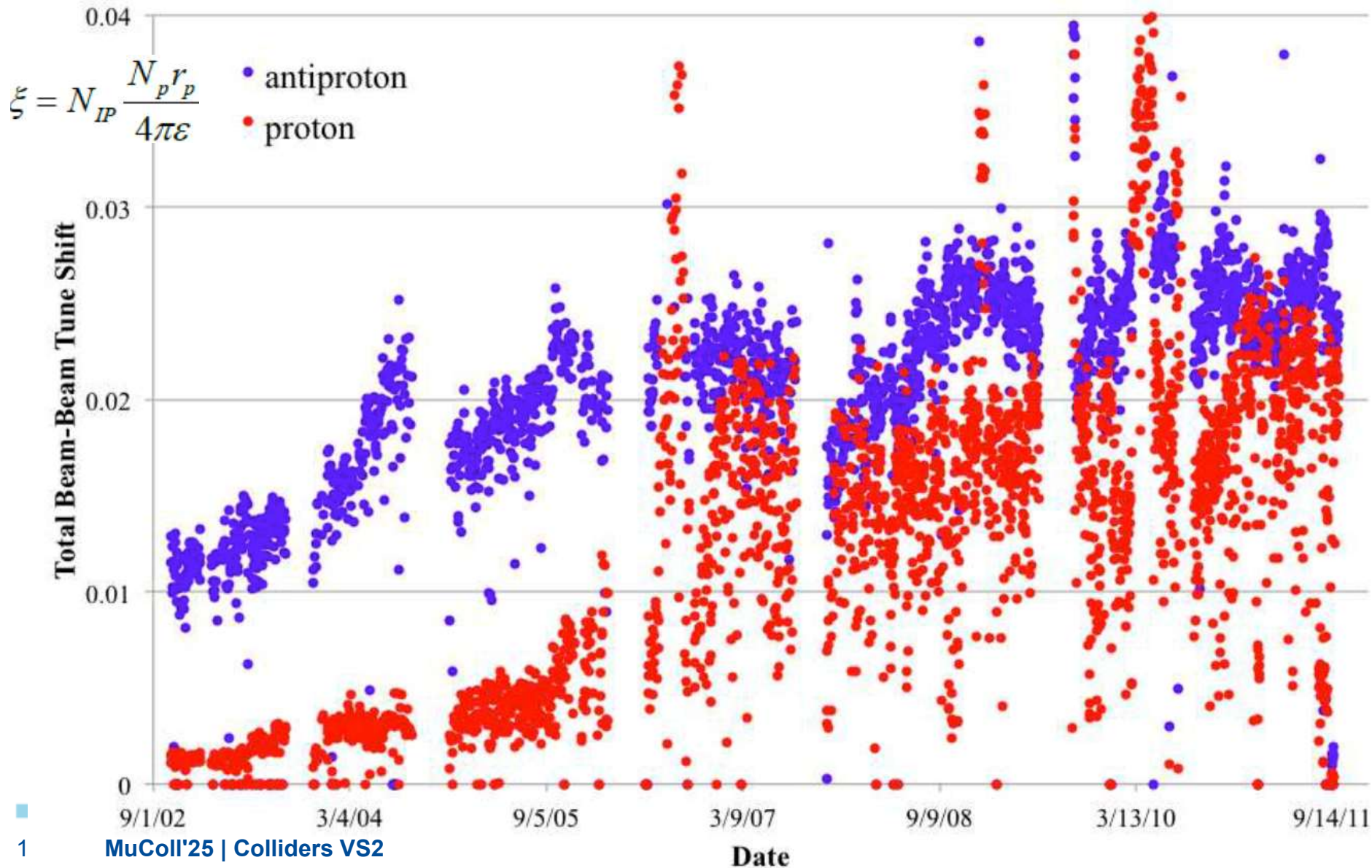
and

$$\mathcal{L} = \frac{N^2 f B}{4\pi\sigma_x\sigma_y} = \frac{N f B}{4\pi\sigma_x} \cdot \frac{N}{\sigma_y}$$


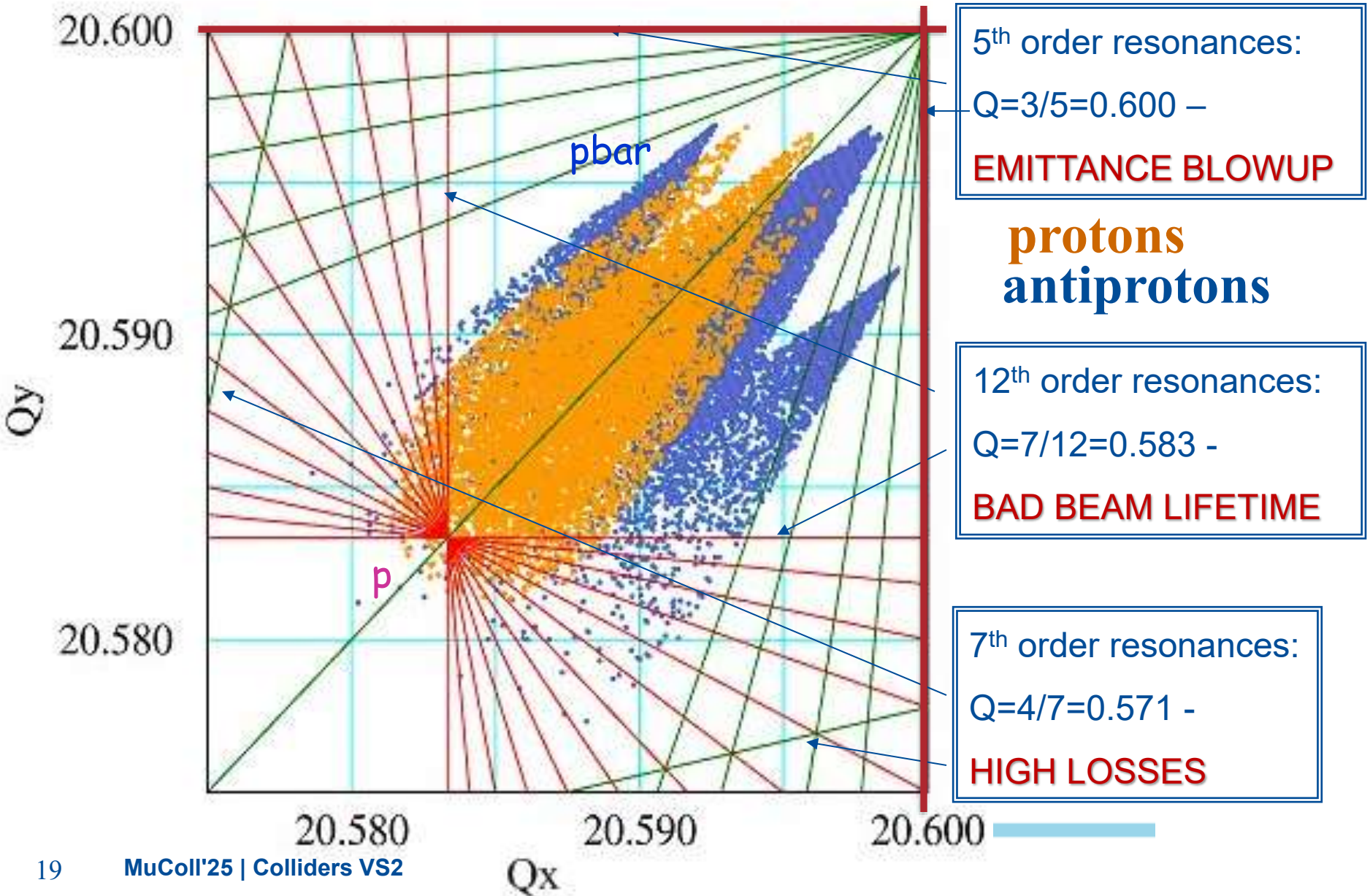
- Above beam-beam limit: σ_y increases when N increase
 - to keep \mathcal{L} constant \rightarrow **equilibrium emittance !**
- Therefore:
- \mathcal{L} is NOT a universal constant !
 - depends on tunes/WPs, damping rates, etc
 - difficult to predict exactly for hadron machines

Beam-Beam Limits: pp/pbar Colliders

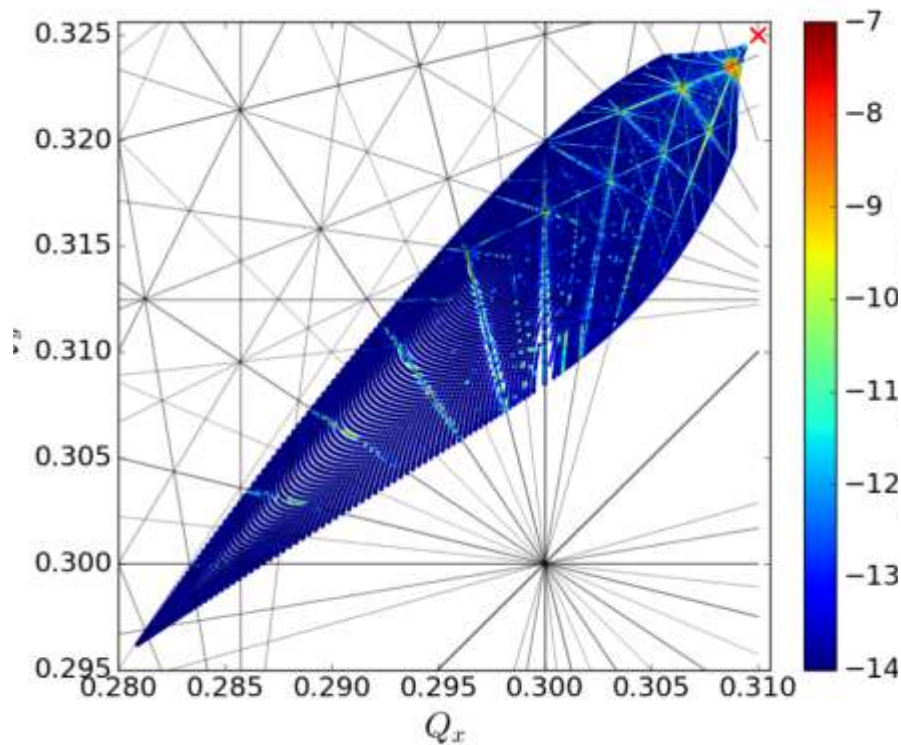
Tevatron Collider Run II



Tevatron Tune Footprint “Confinement”

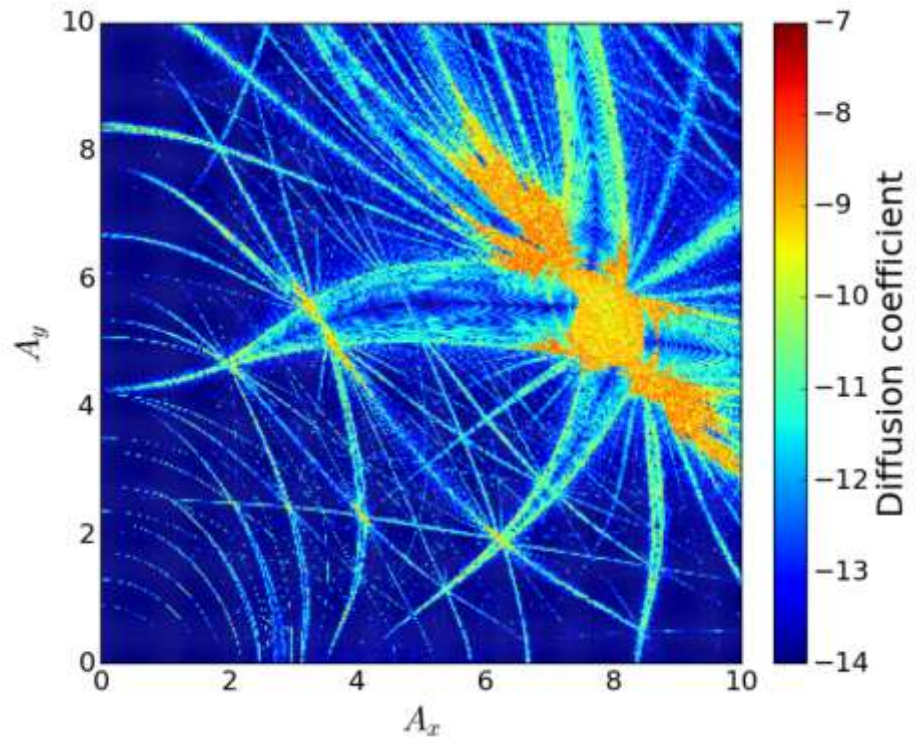


Resonances matter! ... Diffusion



Tune map: LHC (simul)
Shown resonances up to
order 20

- $\xi_{\text{tot}} = 0.03$, $Q_x = 0.31$, $Q_y = 0.325$
 $N_{\text{mp}} = 1e5$, 4D BB , $Q' = 0$



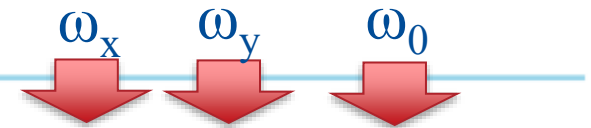
Amplitude map: LHC (simul)
Shown diffusion rates vs A_x/A_y

$$D_i = \log_{10} \sqrt{\frac{dQ_{x,i}^2}{d\text{turn}} + \frac{dQ_{y,i}^2}{d\text{turn}}}$$

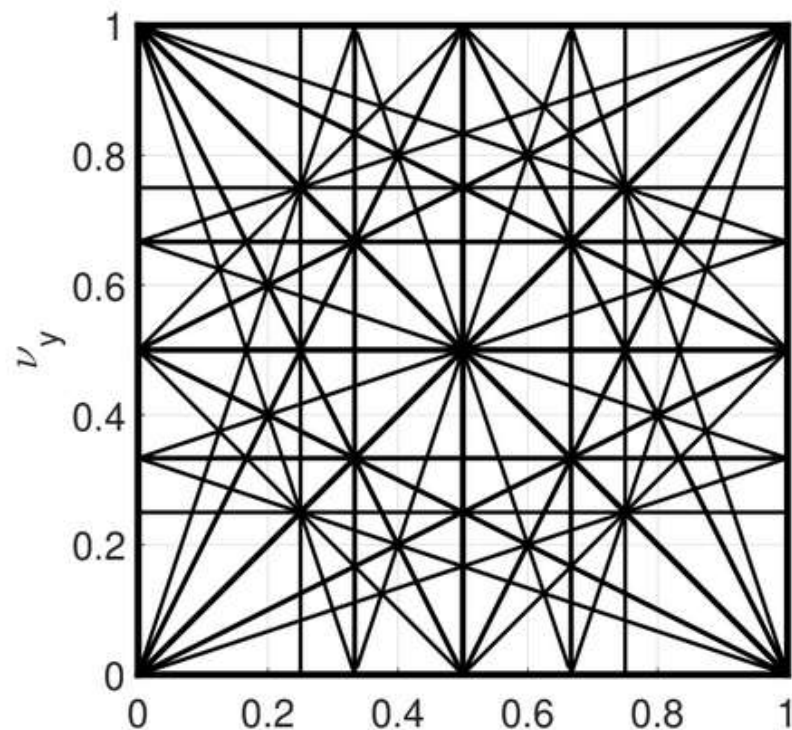
Measure tune of a particle based on (here) 4096 turns - Calculate linear change over 10 measurements, separated by 10k turns

Non-linear Resonances

harmonics of



- Nonlinear terms in the force $F(x,y,t) \sim x^l y^p \delta(t-kT)$ lead to appearance of driving terms oscillating with frequencies $mQ_x + nQ_y$, and therefore open opportunities for nonlinear resonances if



$$mQ_x + nQ_y = p$$

$|m| + |n|$ is order of the resonance



i.e. resonance diagram up to fourth order; importance of the resonance depends on the force shape and order (low order = more serious; often longitudinal deviations matter if $mQ_x + nQ_y + lQ_s = p$)

How to control beam-beam effects?

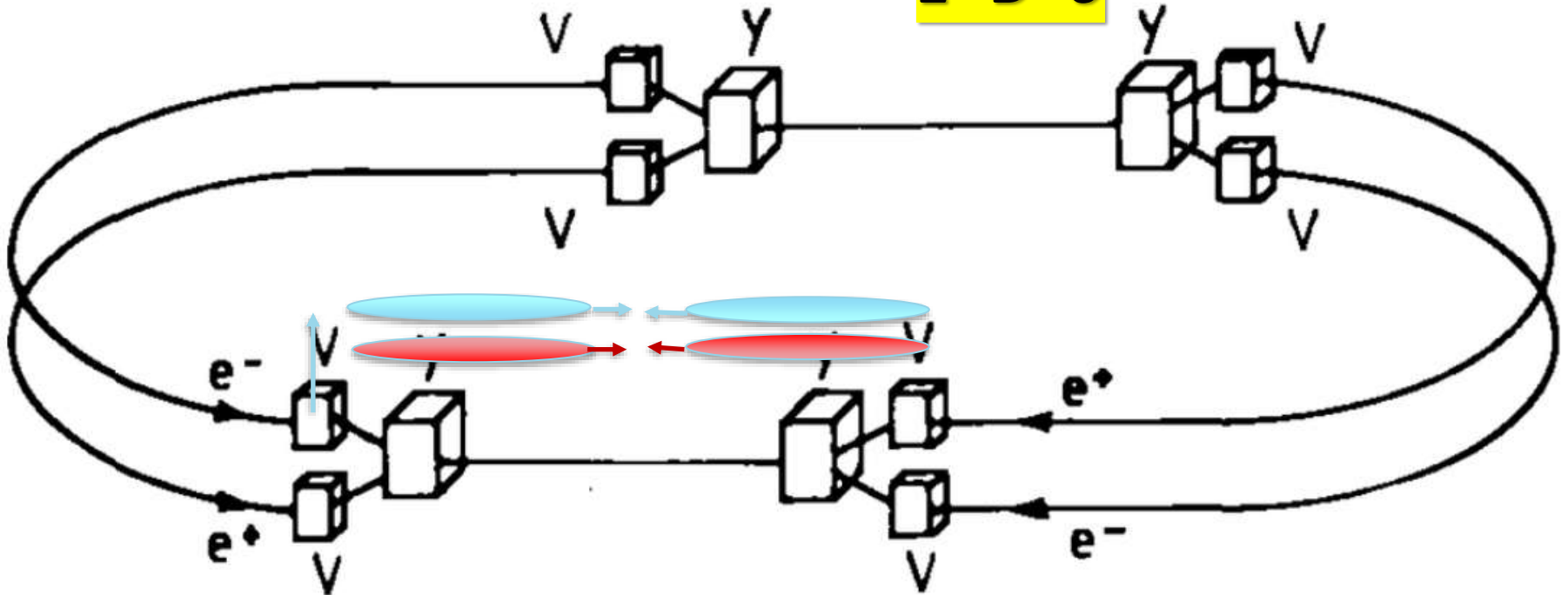
- Find 'lenses' to correct beam-beam effects
- Head on effects: force is $\sim r$
 - Linear "electron lens" to shift tunes
 - Non-linear "electron lens" to reduce spread
 - Successful e-lenses at FNAL and RHIC
- Long range effects:
 - At very large distance: force is $\sim 1/r$
 - Same force as a wire !
- Overall - success with **active** compensation

Attempt #1: Four beams e-e+ e-e+

four-beam collider *Dispositif de Collisions dans l'Igloo* (DCI, 1970s)
at Orsay with two 0.8 GeV electron beams and two positron
beams of the same energy, all meeting at the same interaction
point (*J.LeDuff et al*)

$$Q1+Q2+Q3+Q4=0 \quad J1+J2+J3+J4=0$$

$$E=B=0$$



Attempt #1: Four beams compensation

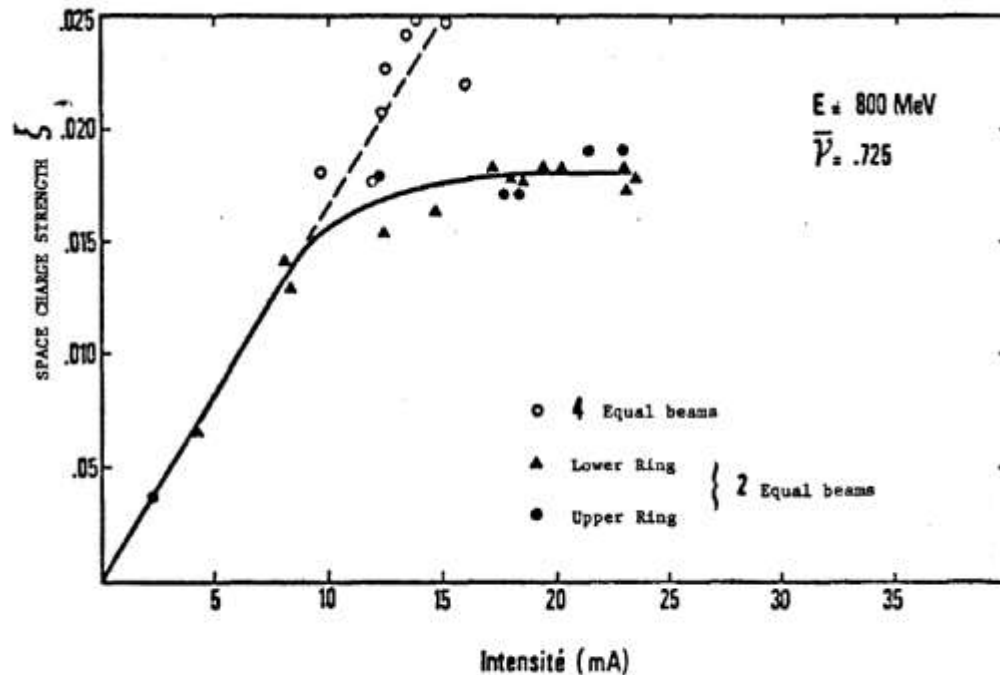


Fig. 12. Comparison between four beams and two beams: ξ versus current at $E = 800 \text{ MeV}$ and $\bar{v} = .725$

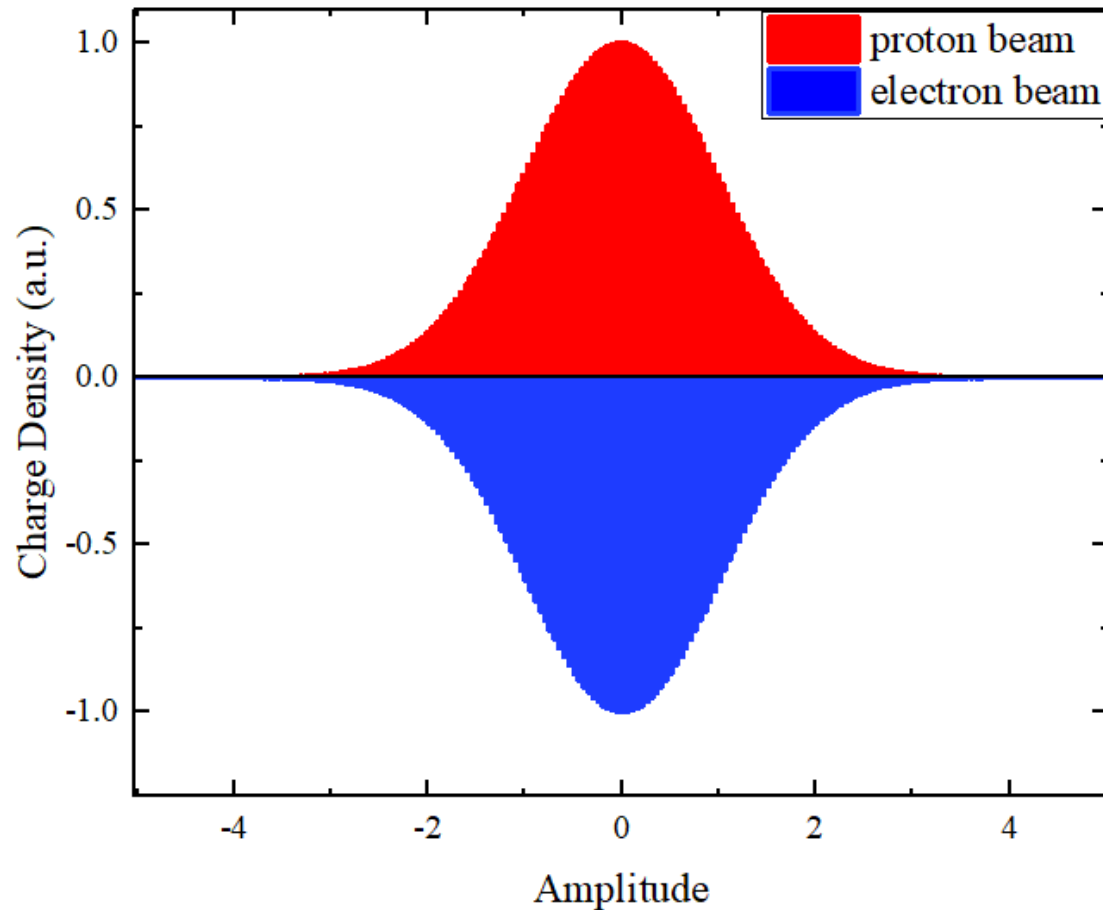
No improvement of performance was obtained in the four-beam configuration compared to collisions of just two beams of electrons and positrons.

A transverse dipole feedback as well as a detuning of the two rings did not help.

The compensation is believed to be unsuccessful due to the loss of beam stability, both for dipole and higher order modes of coherent motion.

Approach #2: Electron lens

e^- profile same as p^+ $N_e = N_{IP}N_p/(1 + \beta_e)$.



Protons focus pbars +
Electrons defocus

Net effect = zero

Footprint compressed

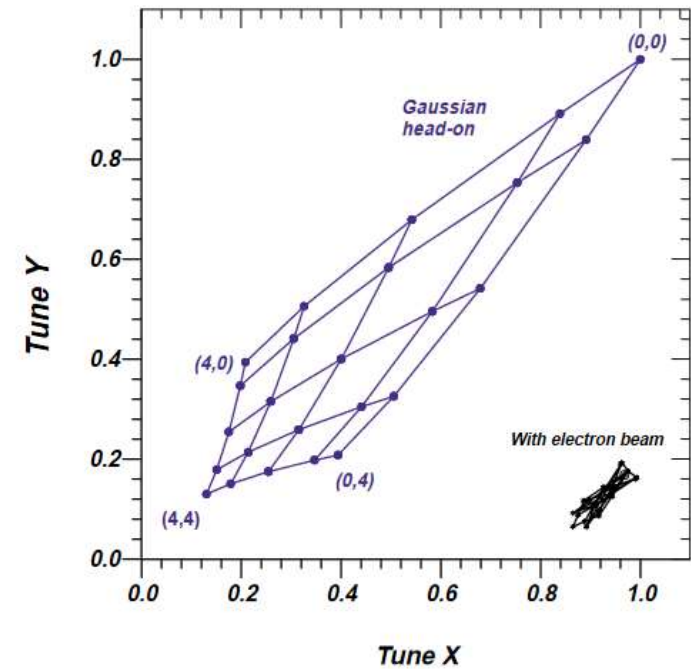
PHYSICAL REVIEW SPECIAL TOPICS - ACCELERATORS AND BEAMS, VOLUME 1, 071001 (1999)

Considerations on compensation of beam-beam effects in the Tevatron with electron beams

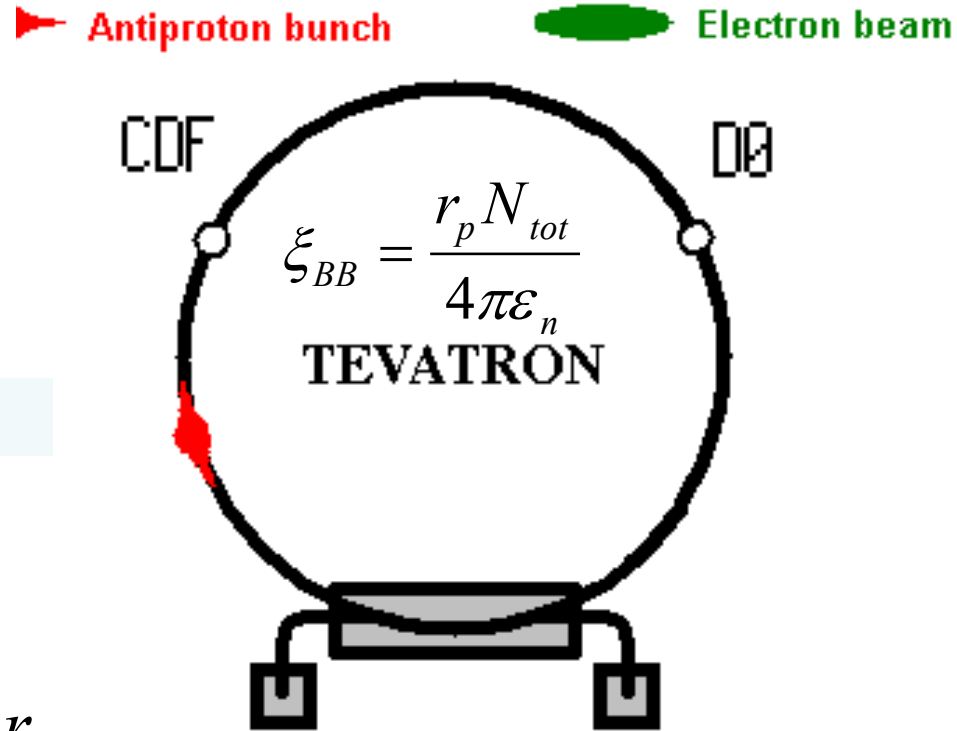
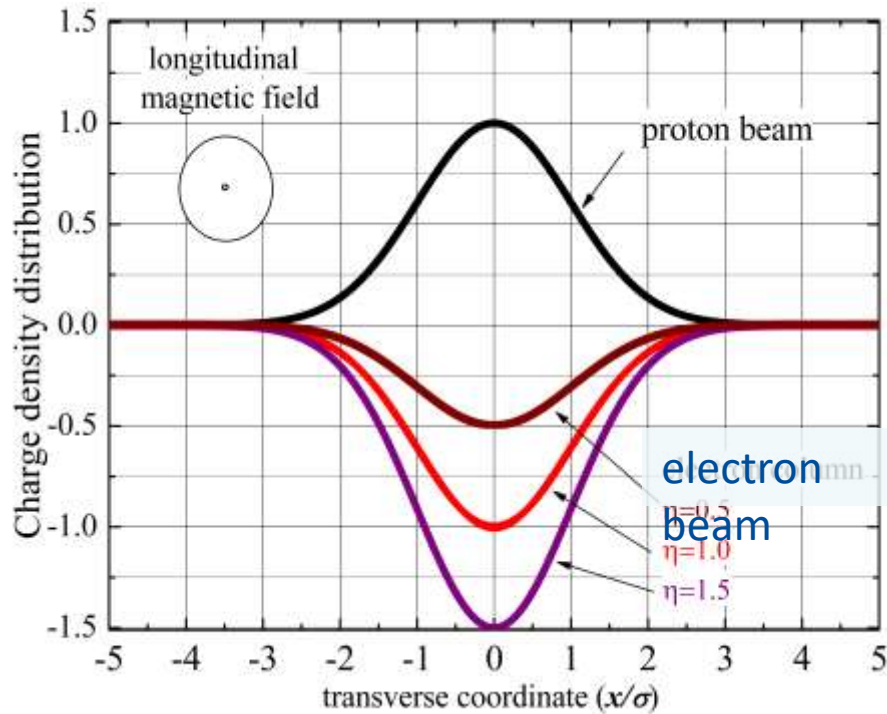
V. Shiltsev, V. Danilov,* D. Finley, and A. Sery
Fermi National Accelerator Laboratory, Batavia, Illinois 60510
(Received 3 October 1998; published 28 July 1999)

The beam-beam interaction in the Tevatron collider sets limits on bunch intensity and homogeneity. These limits are caused by a tune spread in each bunch which is mostly due to head-on collisions, but there is also a bunch-to-bunch tune spread due to parasitic collisions in multibunch operation. We propose to compensate these effects with the use of a countertraveling electron beam, and we present general considerations and physics limitations of this technique.

*Present address: Institute for Nuclear Research, Moscow, Russia.



Electron Lens Compensation

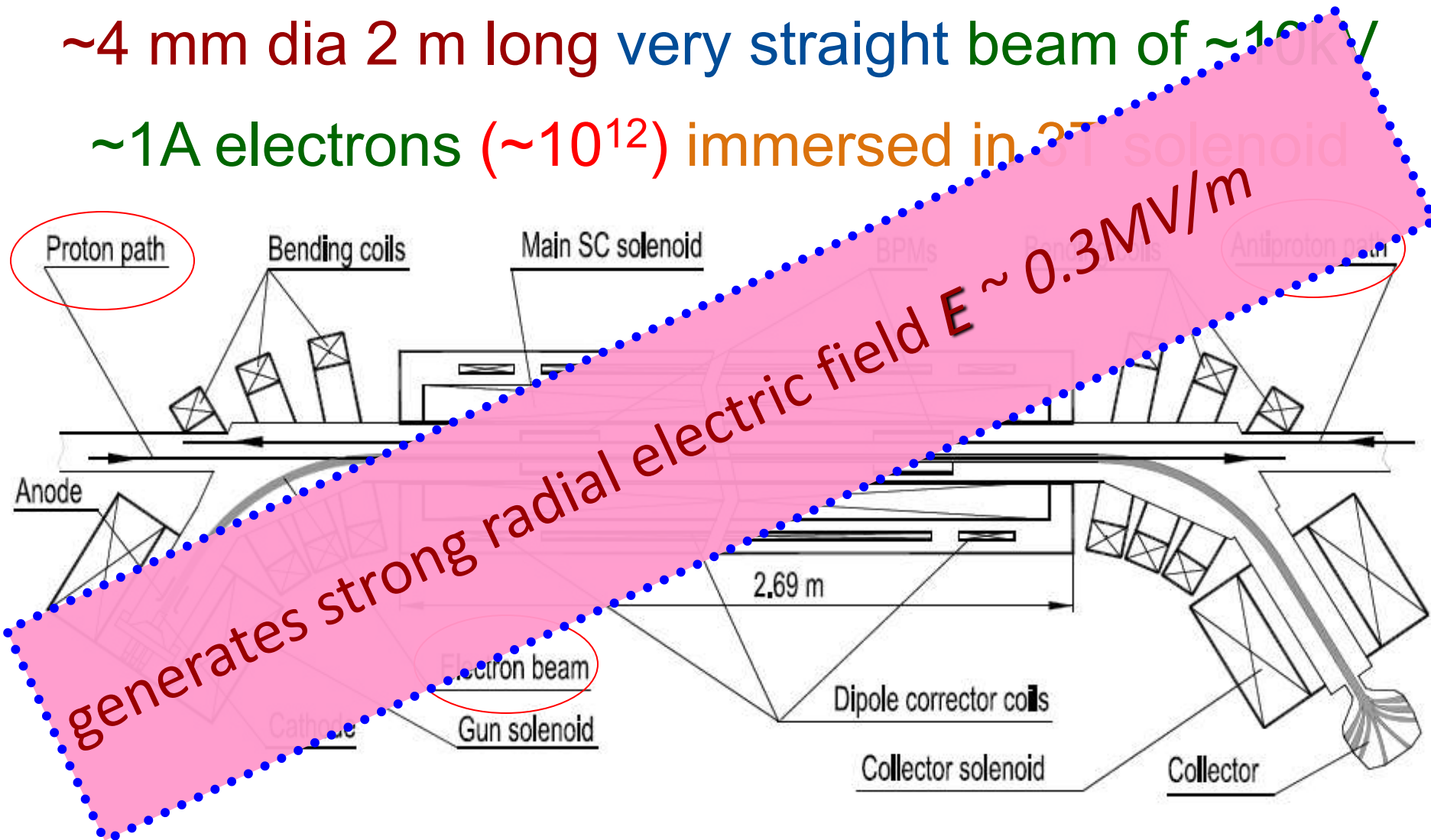


$$dQ_{x,y} = \mp \frac{\beta_{x,y}}{2\pi} \cdot \frac{1 \pm \beta_e}{\beta_e} \cdot \frac{J_e \cdot L_e \cdot r_p}{e \cdot c \cdot a_e^2 \cdot \gamma_p}$$

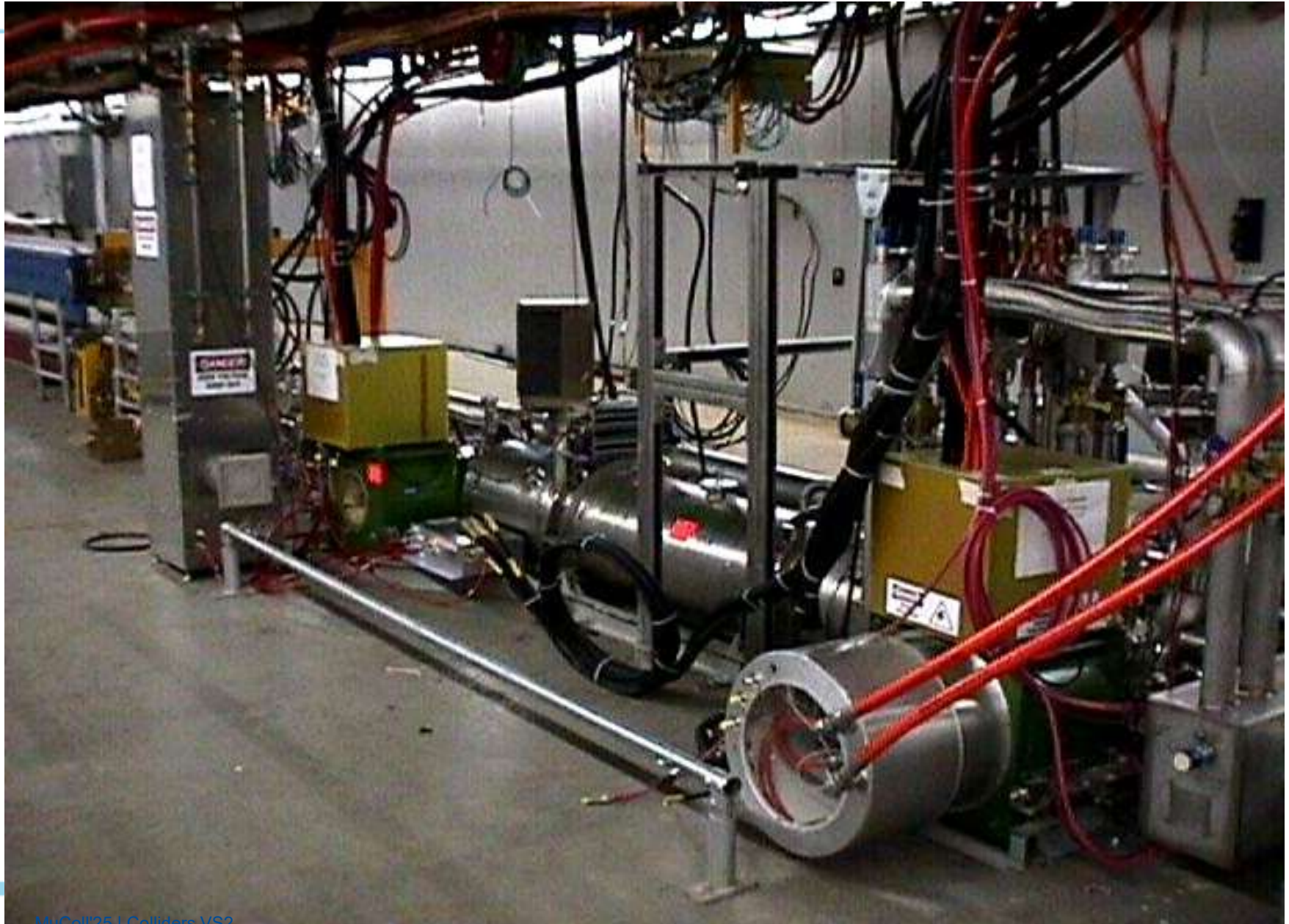
“...to compensate (in average) space charge forces of positively charged protons acting on antiprotons in the Tevatron by interaction with a negative charge of a low energy high-current electron beam “

Some Facts on Electron Lenses

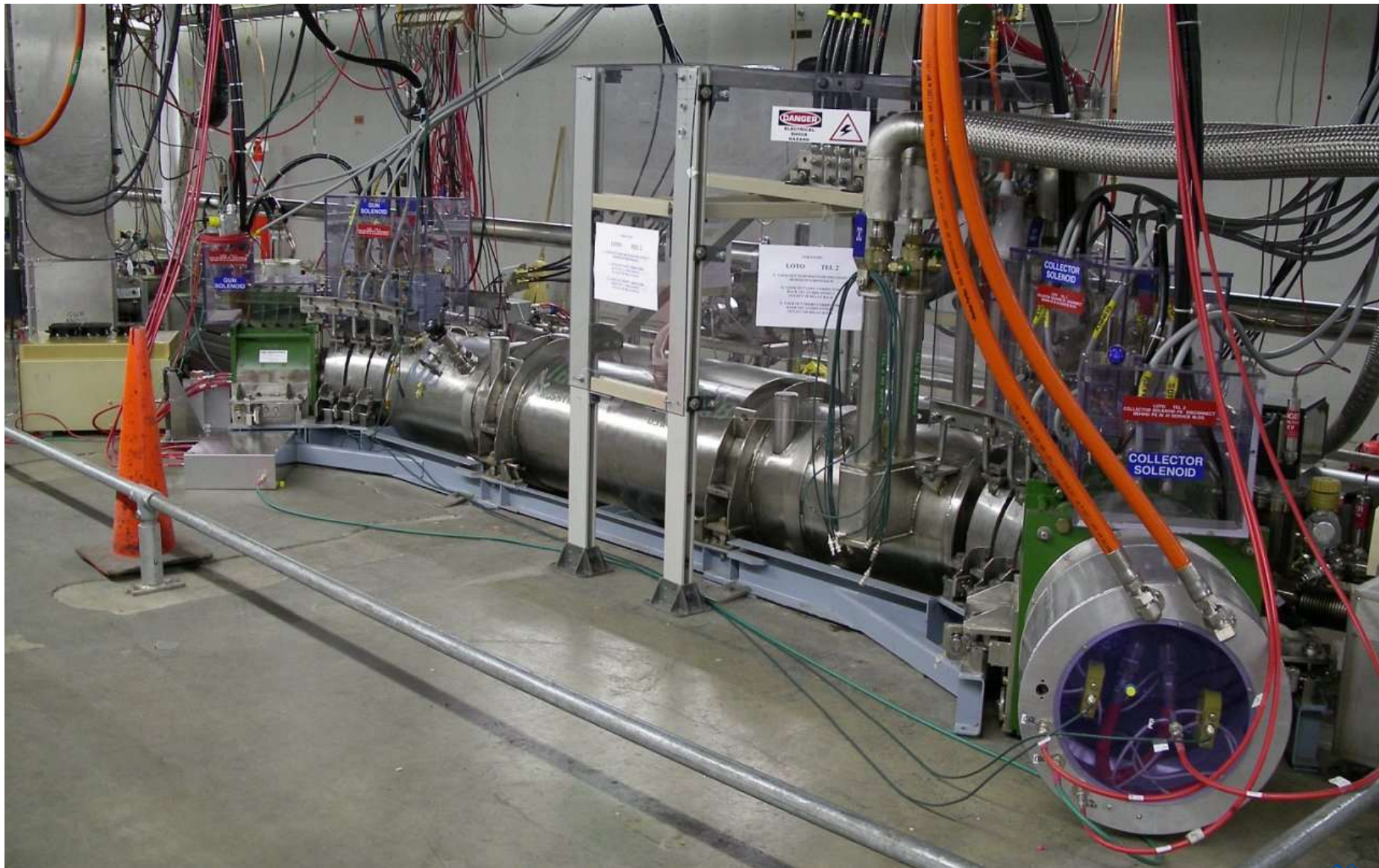
~4 mm dia 2 m long very straight beam of ~ 100 keV
~1A electrons ($\sim 10^{12}$) immersed in 3T solenoid



Tevatron Electron Lens #1 (F48)

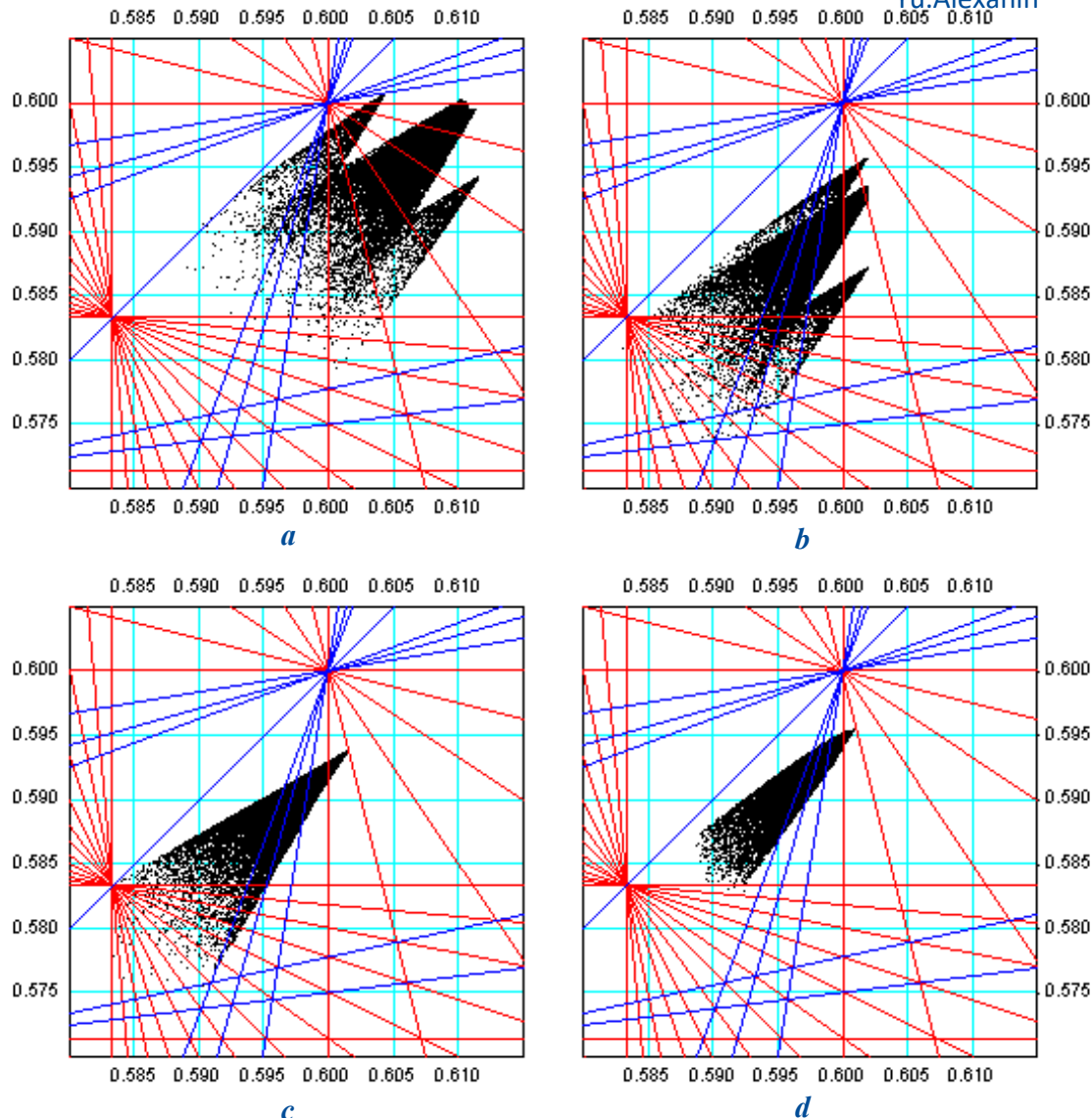


TEL2 in the Tevatron Tunnel (A11)



Compensation with Two TELs

Yu. Alexahin



- Tev Run II: 36x36 bunches in 3 trains
- compensate beam-beam tune shifts
 - a) Run II Goal
 - b) one TEL
 - c) two TELs
 - d) 2 nonlinear TELs
- requires
 - 1-3A electron current
 - stability $dJ/J < 0.1\%$
 - e-pbar centering
 - e-beam shaping

Electron Charge Distribution

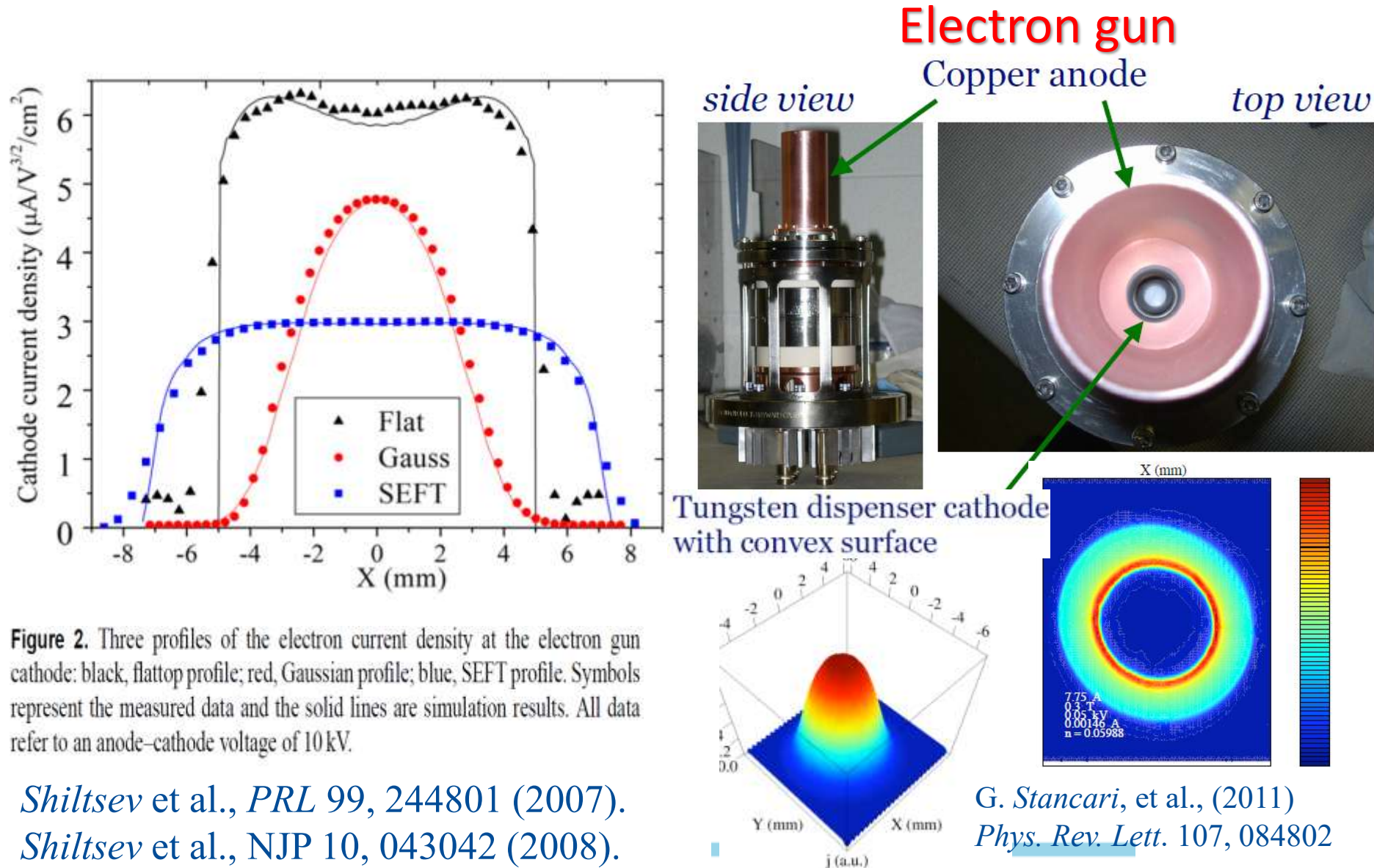


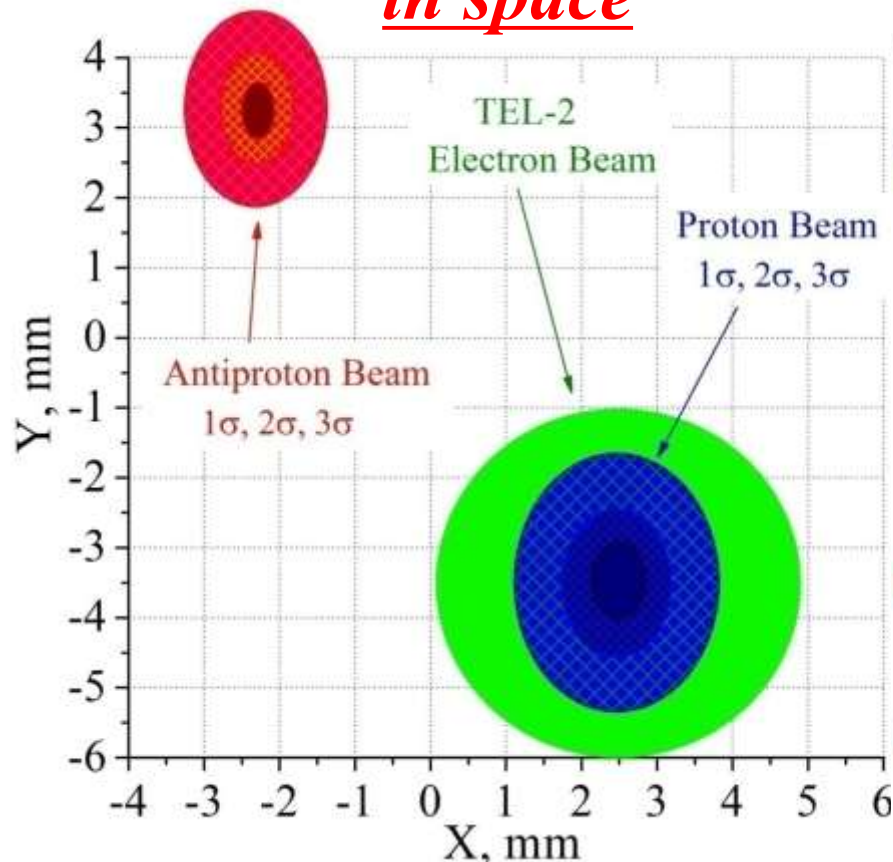
Figure 2. Three profiles of the electron current density at the electron gun cathode: black, flattop profile; red, Gaussian profile; blue, SEFT profile. Symbols represent the measured data and the solid lines are simulation results. All data refer to an anode-cathode voltage of 10 kV.

Shiltsev et al., PRL 99, 244801 (2007).
Shiltsev et al., NJP 10, 043042 (2008).

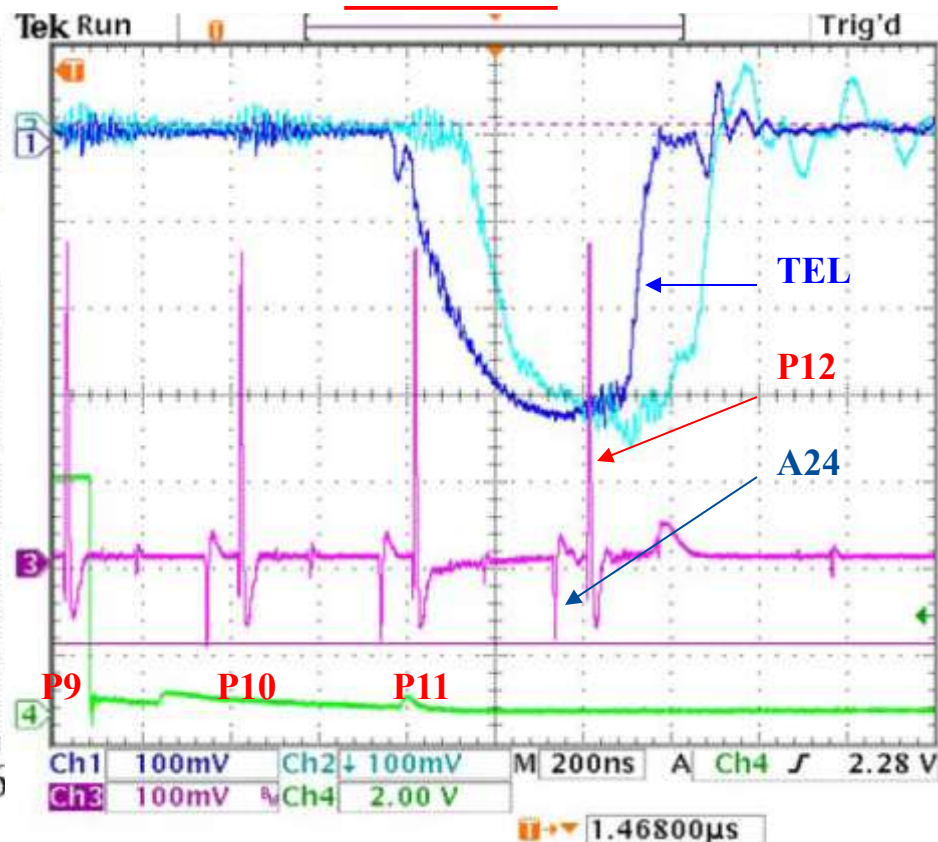
G. Stancari, et al., (2011)
Phys. Rev. Lett. 107, 084802

TEL e-beam aligned and timed on protons

in space



in time

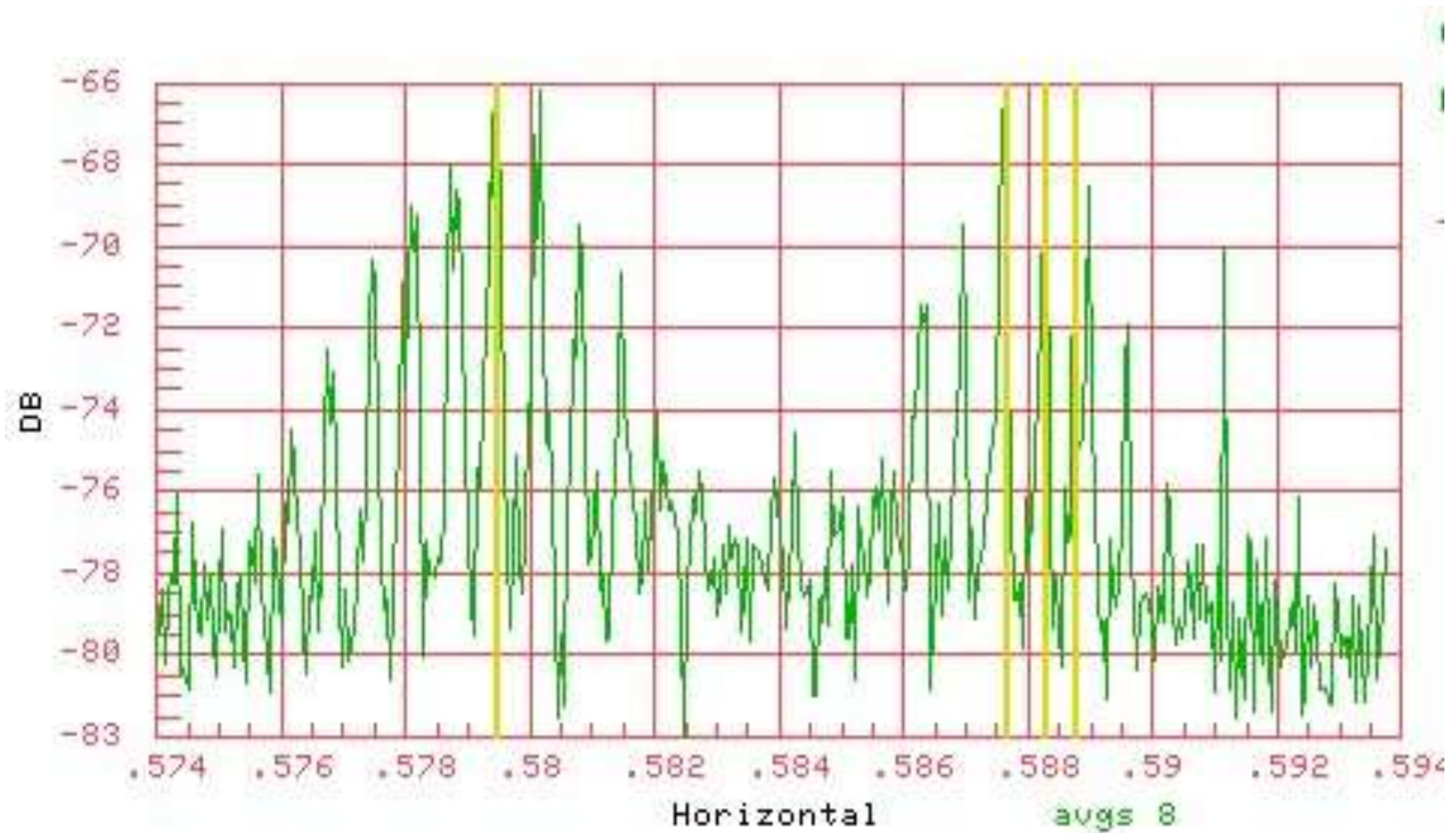


Transverse e-p alignment is very important for minimization of noise effects and optimization of positive effects due to e-beam. *Timing* is important to keep protons on flat top of e-pulse – to minimize noise and maximize tune shift.

Tevatron Electron Lenses (2001-2011)

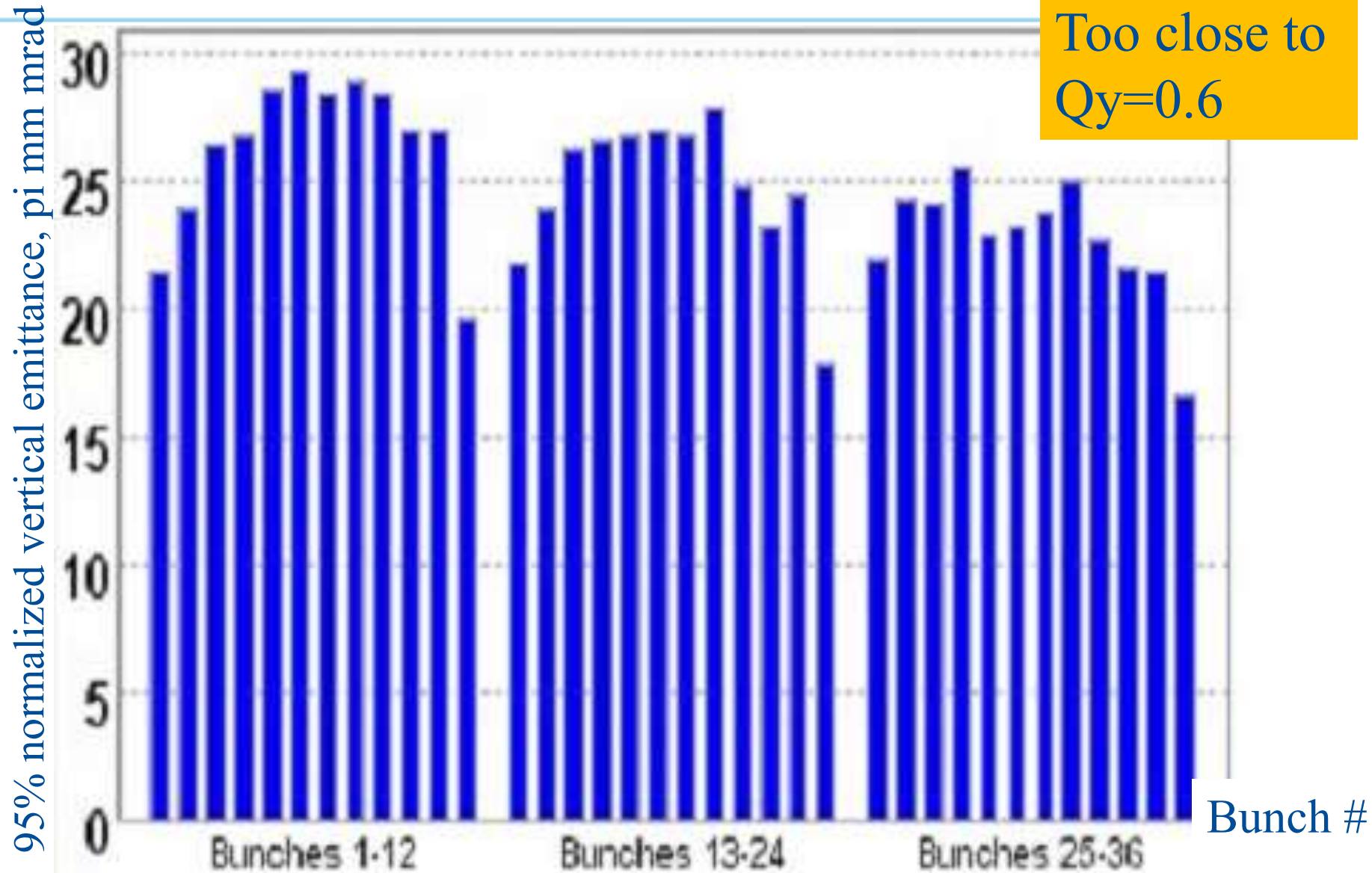
- Technology proven, tune shift ~ 0.01 demo'd
- First successful **active** compensation
- *Head on effects compensation:*
 - Reduced emittance growth of a PACMAN **antiproton** bunch (“scallop” effect)
- *Long range effects compensation:*
 - Significant (x2) improvement of the lifetime of most affected **proton** bunches
 - By shifting tunes of otherwise unfavorable bunch away from resonances

Tuneshift $dQ_{\text{hor}} = +0.009$ by TEL

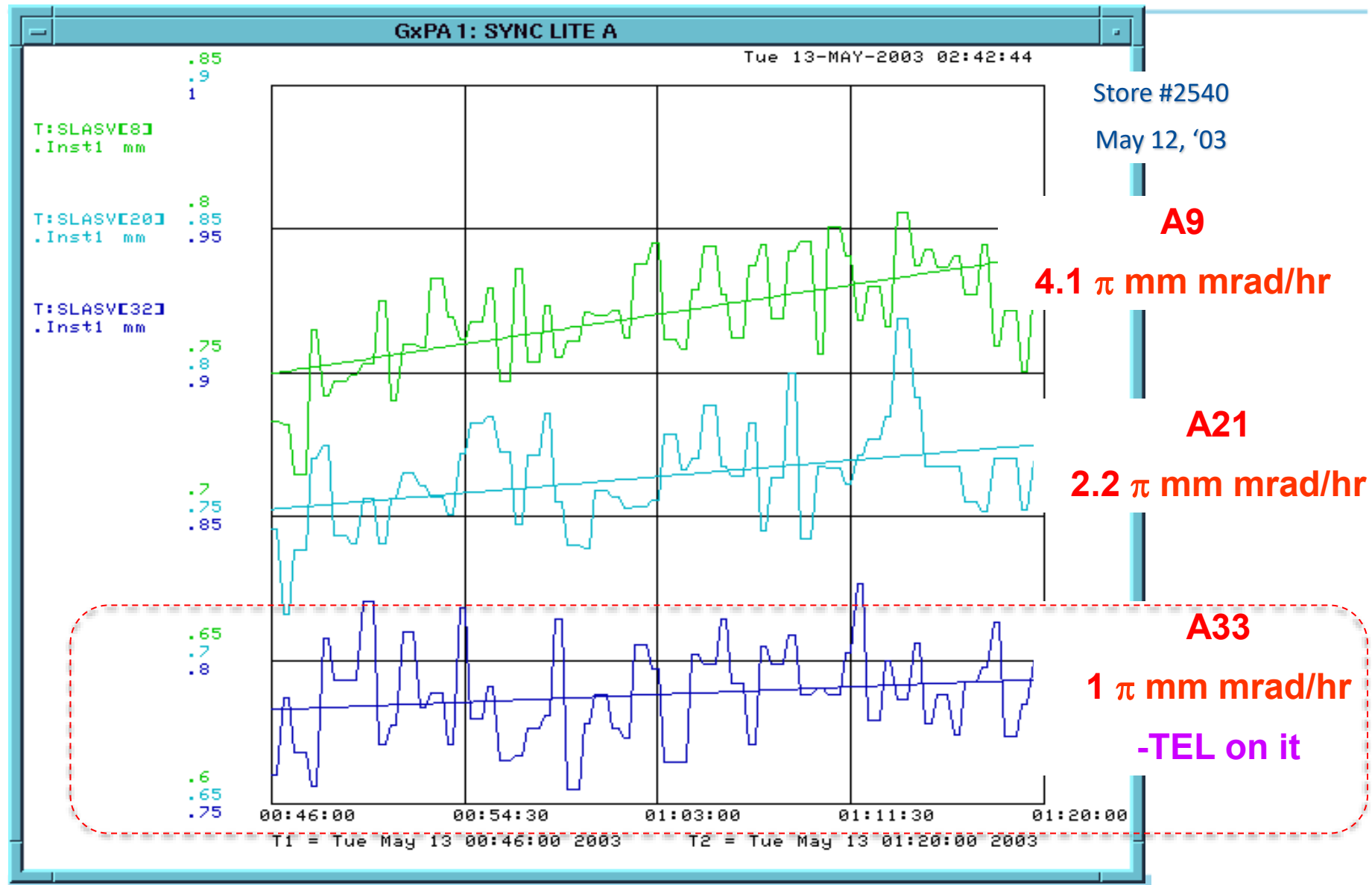


Three bunches in the Tevatron, the TEL acts on one of them

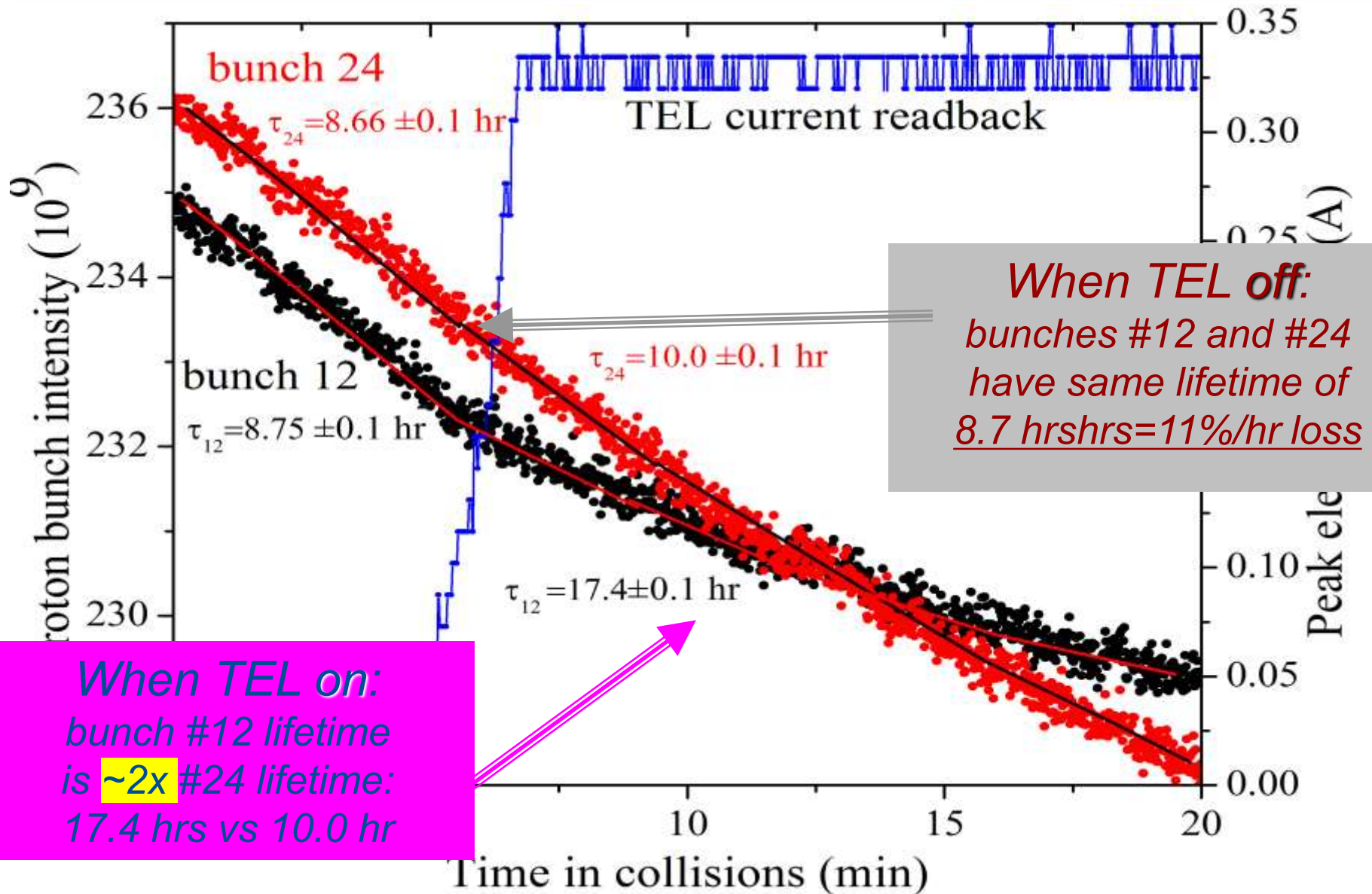
“Scallops” in Pbar Bunch Emittances



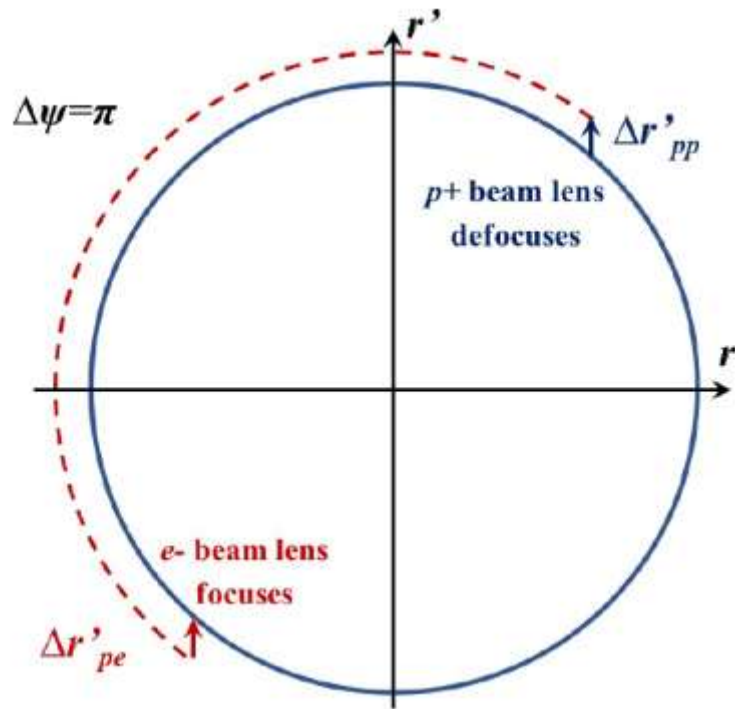
ittance Growth of A33 Suppressed by TEL



TEL2 on One Proton Bunch P12

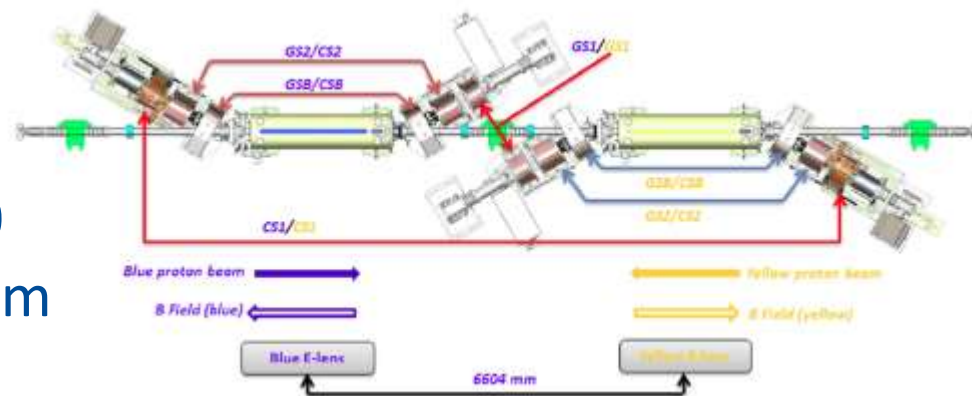


Approach #3: Head-On Comp'n in RHIC



(W.Fischer et al)

With e-lens, one can compensate Head-On effect: not only the tune footprint, but also the *resonant driving terms* if elens is placed 180 degrees (betatron phase) away from the main IP (one IP compensation)



RHIC pp 2015 elens Success

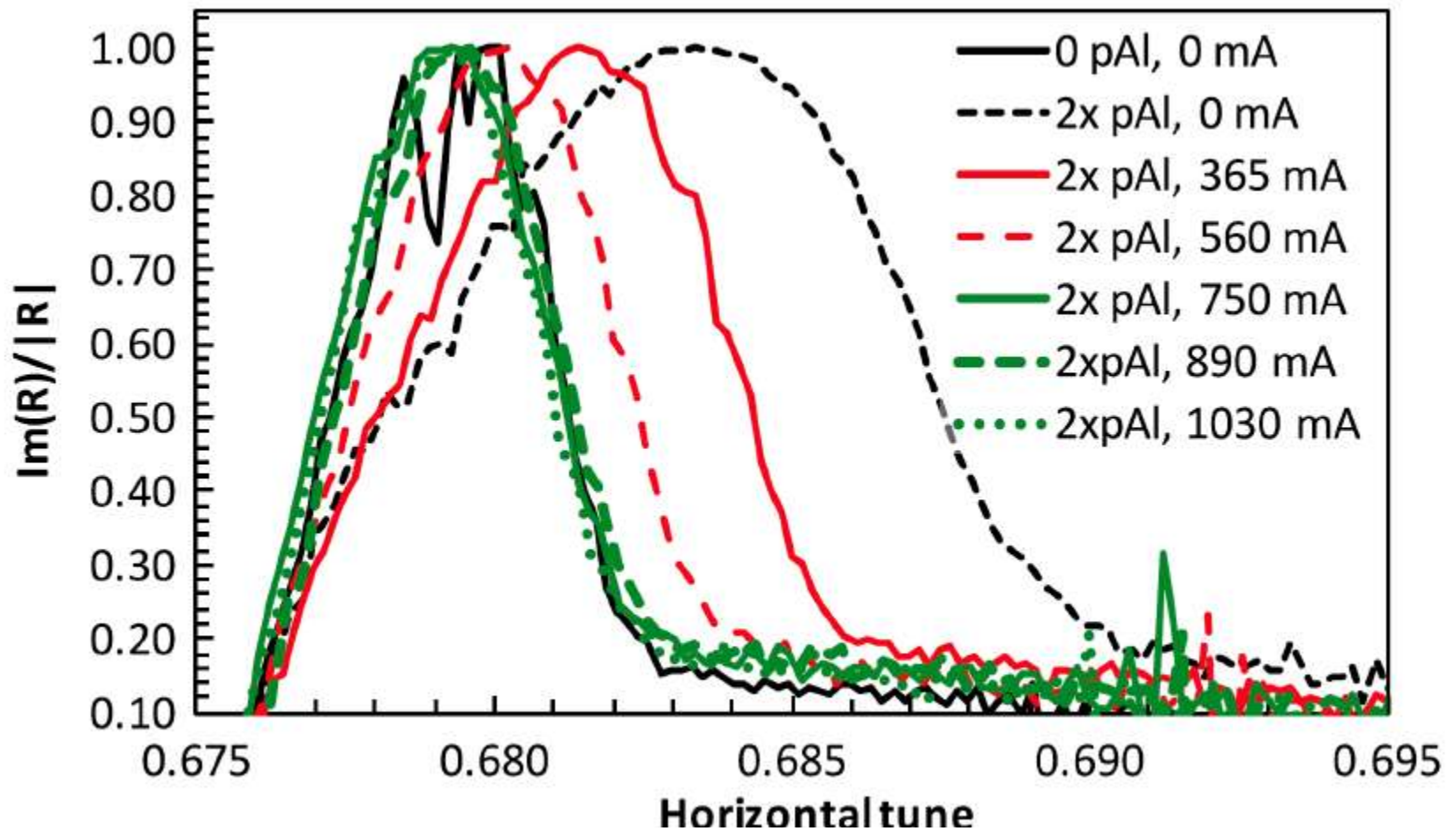
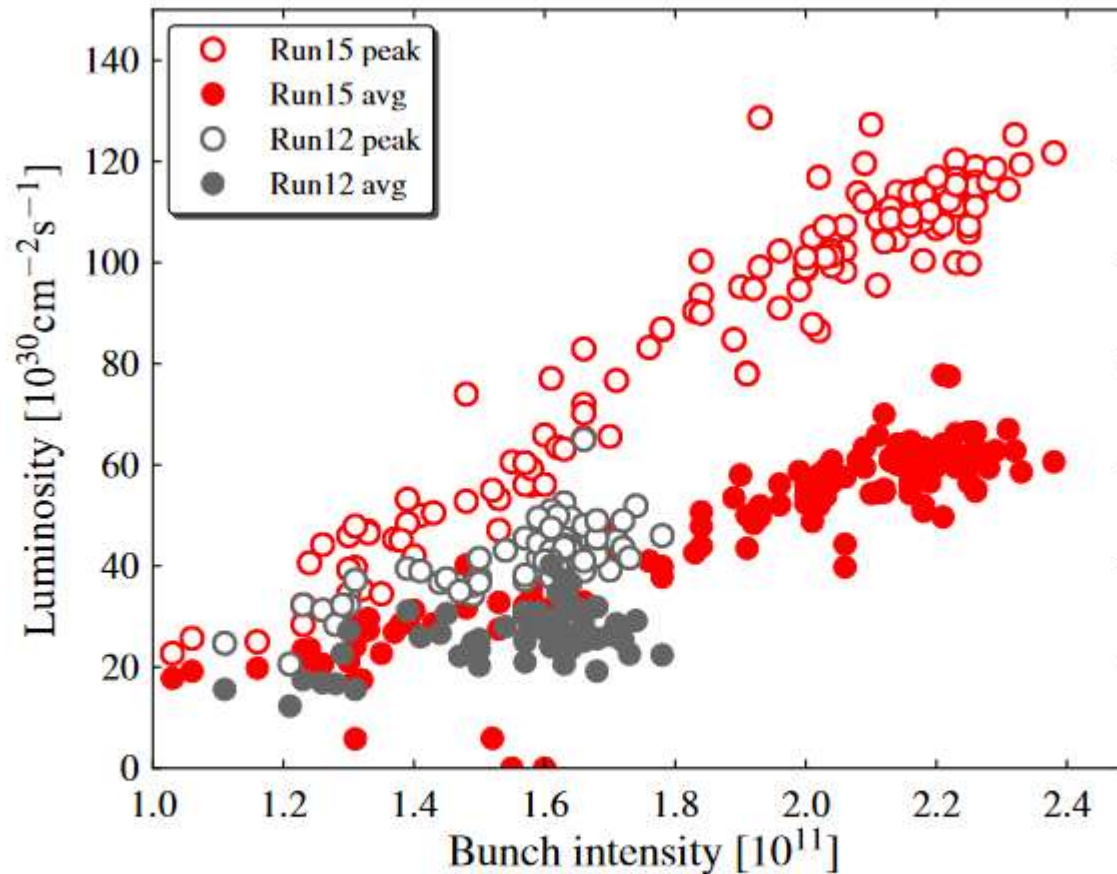


Figure 7: Tune distribution width reduction with the RHIC electron lens, measured in the proton beam with $p+Al$ collisions. The distribution widens due to two beam-beam interactions, and narrows again with increase of the electron lens current to 1.03 A [9].

RHIC pp 2015 elens in Ops

<i>Electron lens parameters</i>			
Distance of center from IP	m	1.5	1.5
Effective length L_e	m	2.1	2.1
Kinetic energy E_e	kV	5	5
Relativistic factor β_e		0.14	0.14
Relativistic factor γ_e		1.0002	1.0002
Current I_e	A	1.0	0.43/0.60
Electron beam size at interaction	μm	350	650
Linear tune shift		0.0147	0.01



With 0.6A, 2.1m long,
5 kV e-beam,
essentially:

- one out of 2 IP head-on effect cancelled,
- max allowed beam intensity increased by $\sim 40\%$,
- peak average lumi \sim tripled, averaged lumi \sim doubled

FIG. 3. Peak and average store luminosity in polarized proton operation at 100 GeV beam energy in 2012 and 2015.

Approach #4 : Wire Compensation of Long Range Beam-Beam Interactions

Fields of separated $p+$ beam:

$$E \sim N_{IPs} N_p / d$$

$$B = E$$

Field of separated **conductor** (wire):

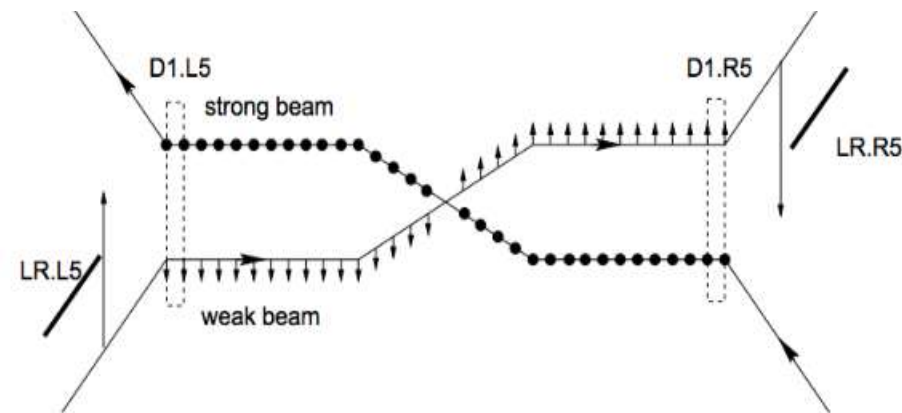
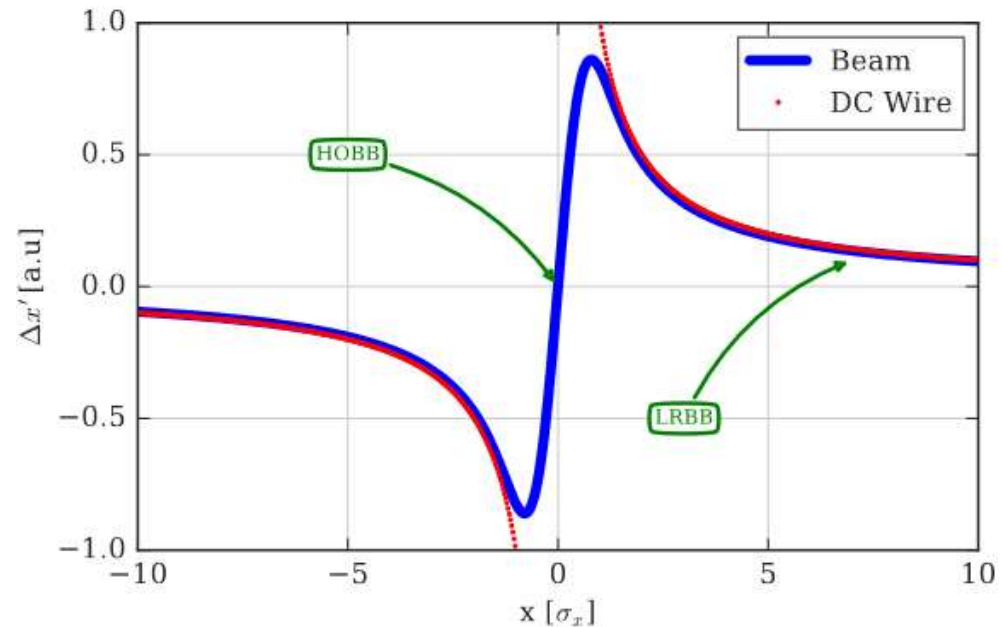
$$E = 0$$

$$B \sim 2J_e / d$$



Combined effects of $p+$ beam + $e-$ beam will cancel out if

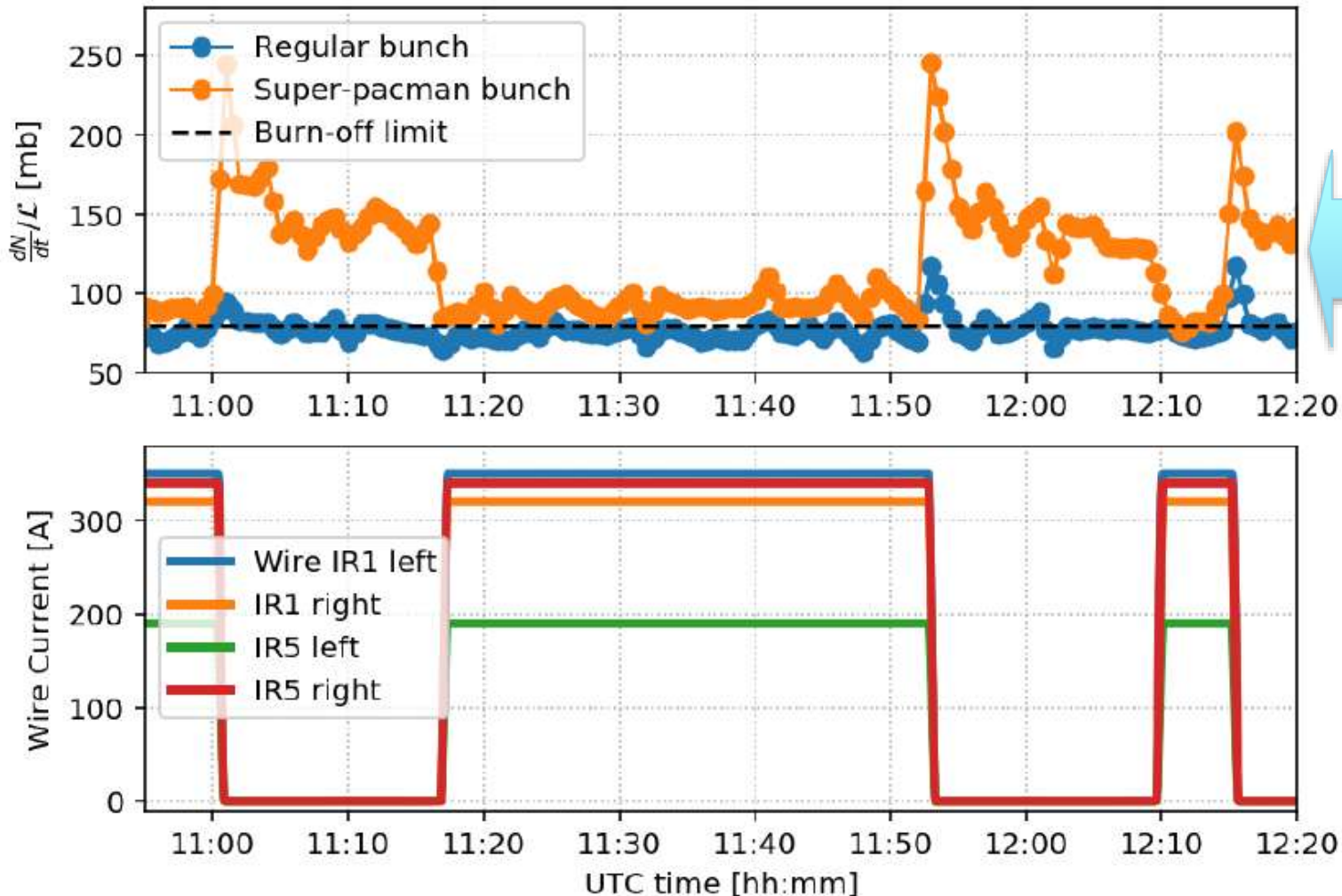
wire is placed at the same d
wire kick $J_e \times \text{length}$ matches $N_{IPs} N_p$



(J.P.Koutchouk, G.Sterbini et al)

Wire Compensation in the LHC (2018)

14th September 2018 - FILL 7169



Proton losses in collisions are due to:
Luminosity burn up $dN/dt = -L \times 80$ mbarn

and beam-beam effects - different for regular and PACMAN bunches

So, plotted is $dN/dt/Lumi$ for regular and PACMAN bunches

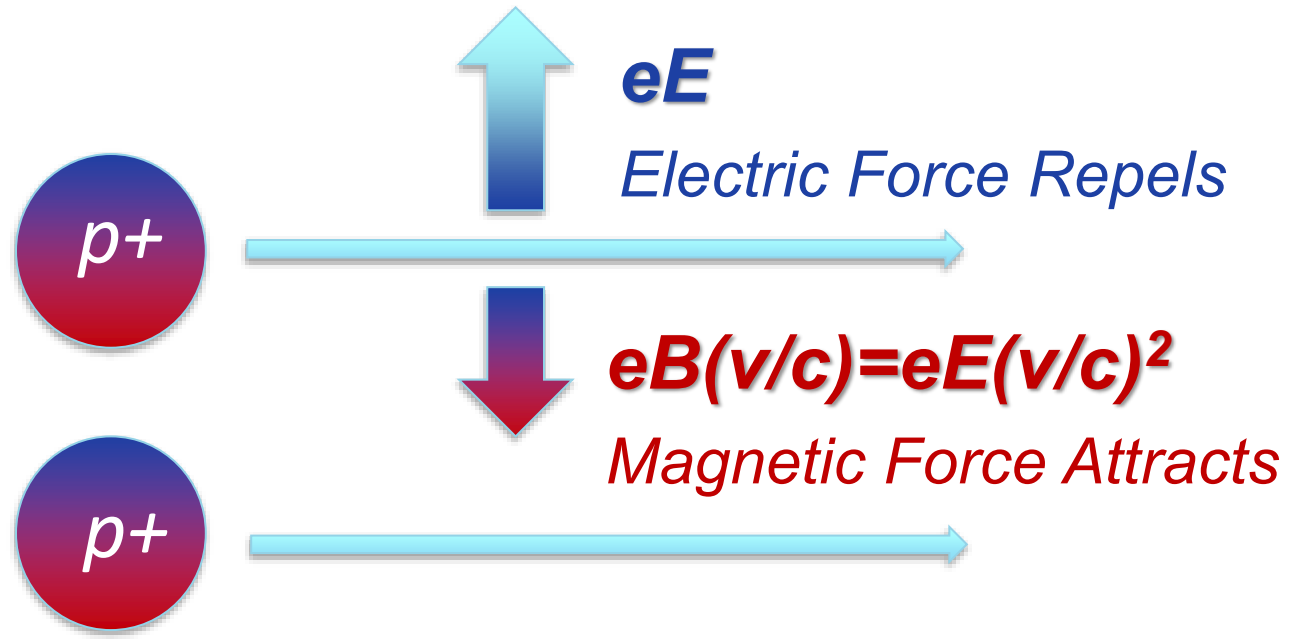
Beam-beam topics also include...

- Beam-beam effects in linear colliders
 - Beamstrahlung
 - Asymmetric beams
 - Synchrobetatron coupling
 - Crabbed and crab-waist schemes
 - Monochromatization
 - Beam-beam simulation codes
- ... etc.

BREAK (!...?)

SPACE- CHARGE EFFECTS

Intense Beams : Forces and Losses (1)

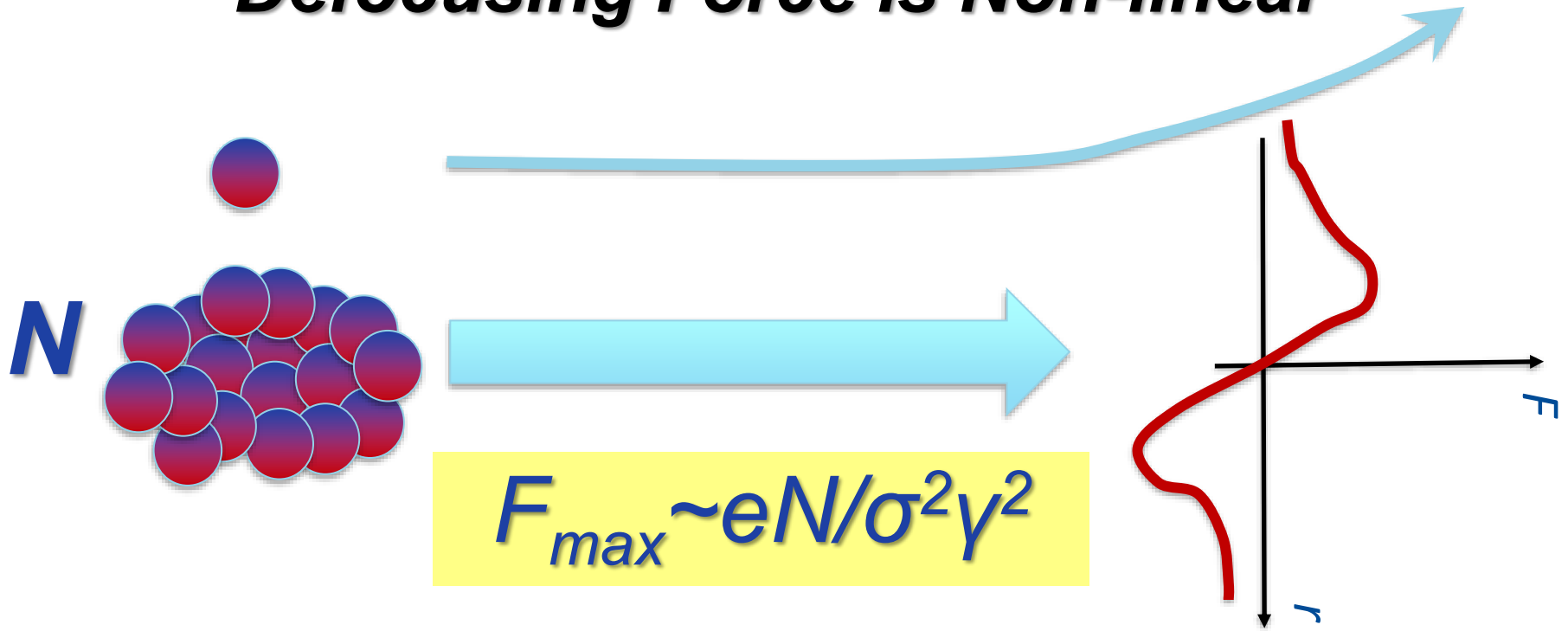


Net Force: Repels

$$eE - eE(v/c)^2 = eE (1 - \beta^2) = eE/\gamma^2$$

Intense Beams : Forces and Losses (2)

Defocusing Force is Non-linear

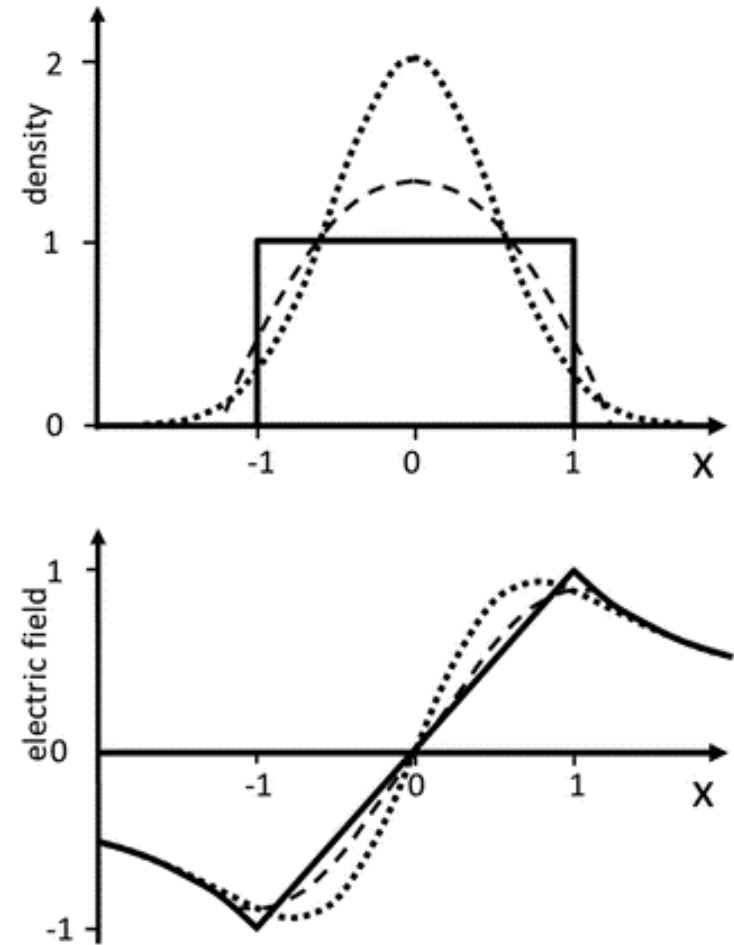
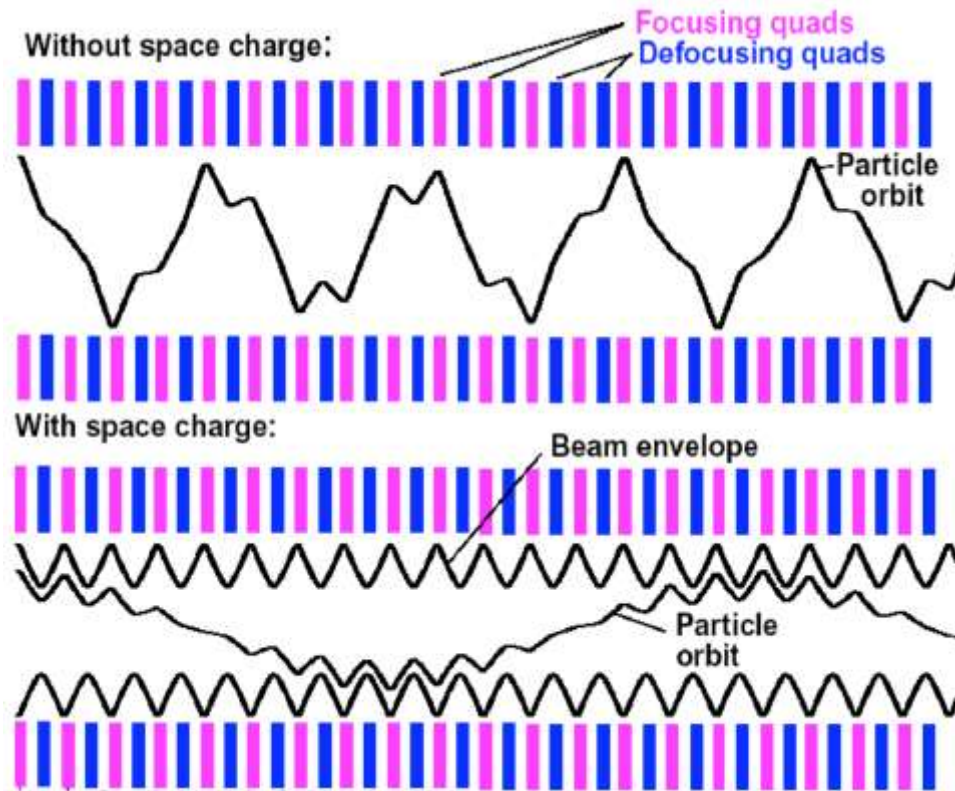


Space-charge effects (emittance growth, losses):

- a) proportional to current (N)***
- b) scale inversely with beam size (σ)***
- c) scale with time at low energies (γ)***

Linacs 5-20 MeV/m
Rings 0.002-0.01 MeV/m

Space-charge: Core vs Tail

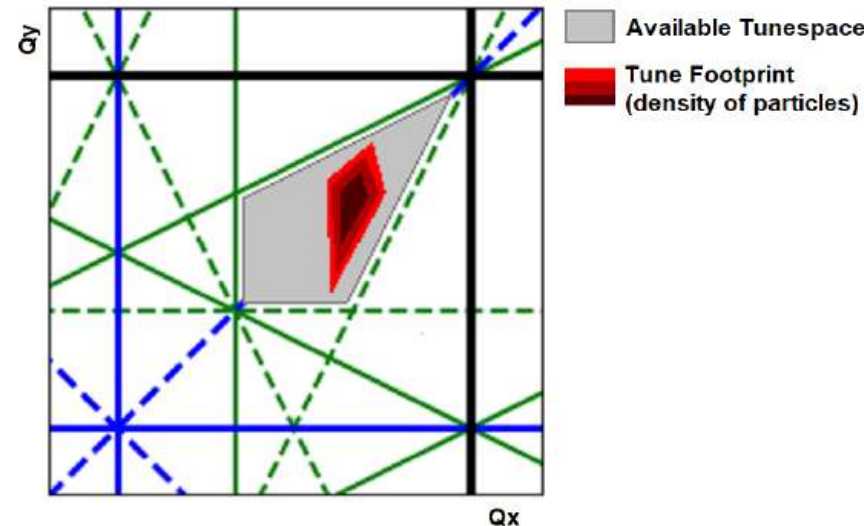
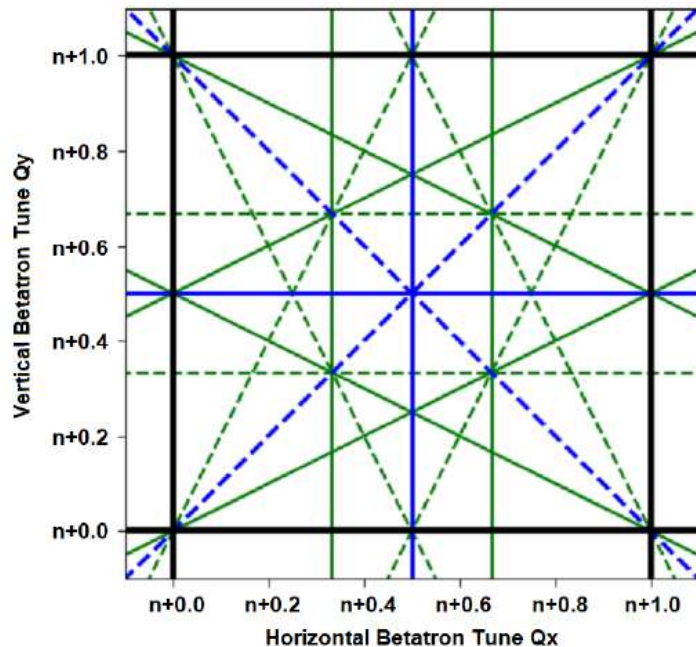


S. Lund

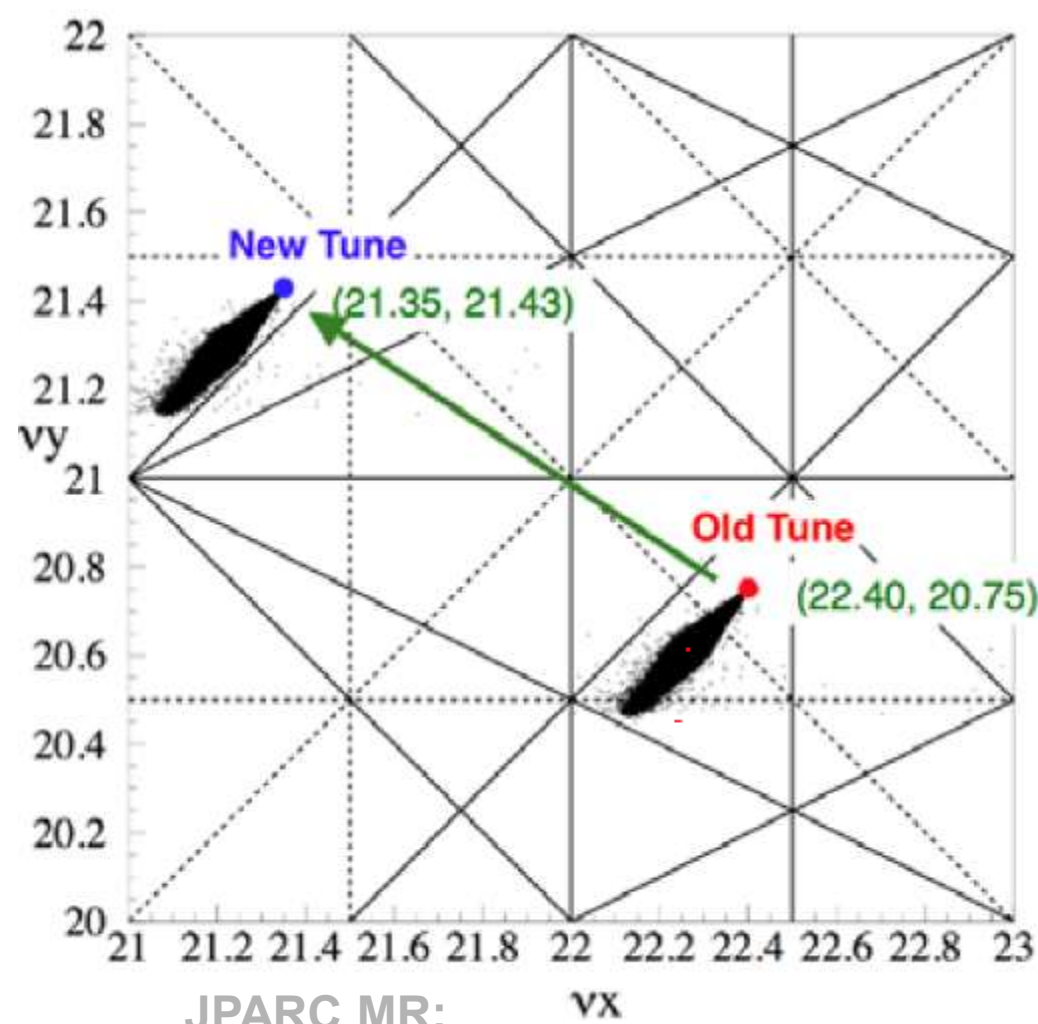
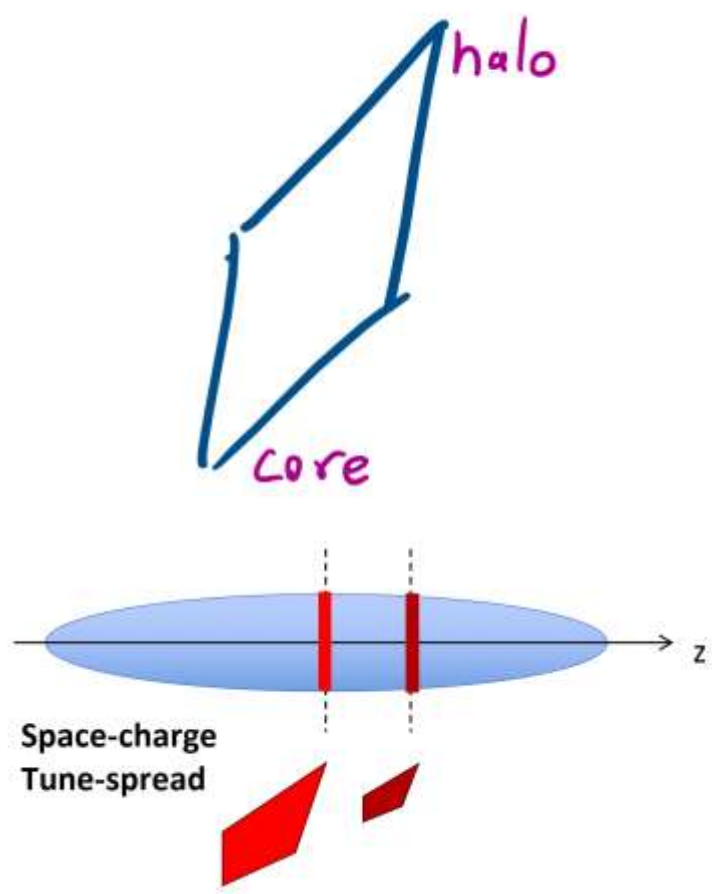
Space-charge effects: Proton Rings

- SC tune shift

$$\Delta Q_{SC} = - \frac{N_p r_p B_f}{4\pi \epsilon \beta_p \gamma_p^2}$$



Space-charge Tune-spread & Betatron Resonances



G. Franchetti et al.
PRSTAB 2017

JPARC MR:
M. Friend

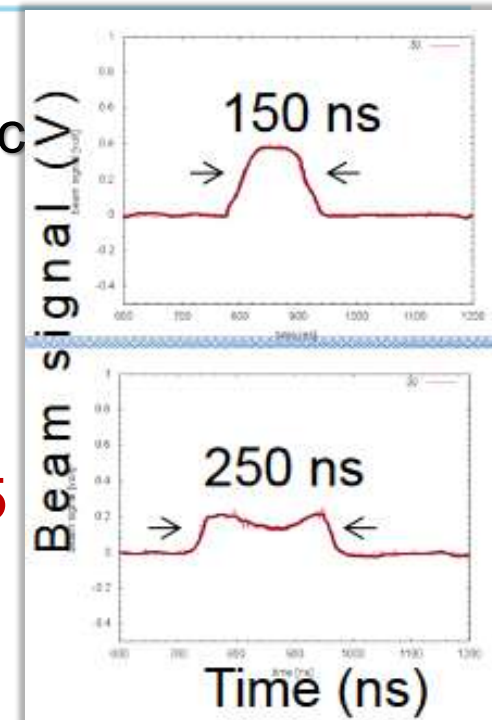
Max SC tuneshift Achieved: -0.2...-0.5

	E_i/E_p	N_p	T	P	ΔQ_{sc}	$\%_{N_p}$	$\%_{\epsilon}$	C	S	$Q_{h,v}$
ISIS	0.07/0.80	3.1	0.01 s	200	0.4	2		163	10	4.31/3.83
PS-B	0.05/1.4	0.25	1.2	n/a*	0.50	5	20	157	16	4.3/4.45
CSNS	0.08/1.6	1.6	0.02	100	0.28	1	20	228	4	4.86/4.78
J-RCS	0.4/3	4.2	0.02	500	0.35	0.3	10	348	3	6.45/6.32
FNAL-B	0.4/8	0.45	0.03	84	0.60	5	20	474	24	6.78/6.88
CERN-PS	1.4/28	1.5	3.6	n/a*	0.24	3	5	628	50	6.12/6.24
JPARC-MR	3/30	27	1.5	515	0.4	1.5	10	1568	3	21.35/21.43
FNAL-MI	8/120	5.1	0.62	803	0.09	2.5	5	3319	1	26.46/25.38
CERN-SPS	28/450	0.9	19	n/a*	0.21	5	10	6911	6	20.13/20.18
PSR	0.8	3.1	6e-4	80	0.29	0.3		90	10	3.18/2.19
SNS-R	1	14	0.001	1400	0.15	0.01		248	4	6.23/6.20
FNAL-RR	8	5.2	0.84	54	0.09	2.5	10	3319	1	25.44/24.43

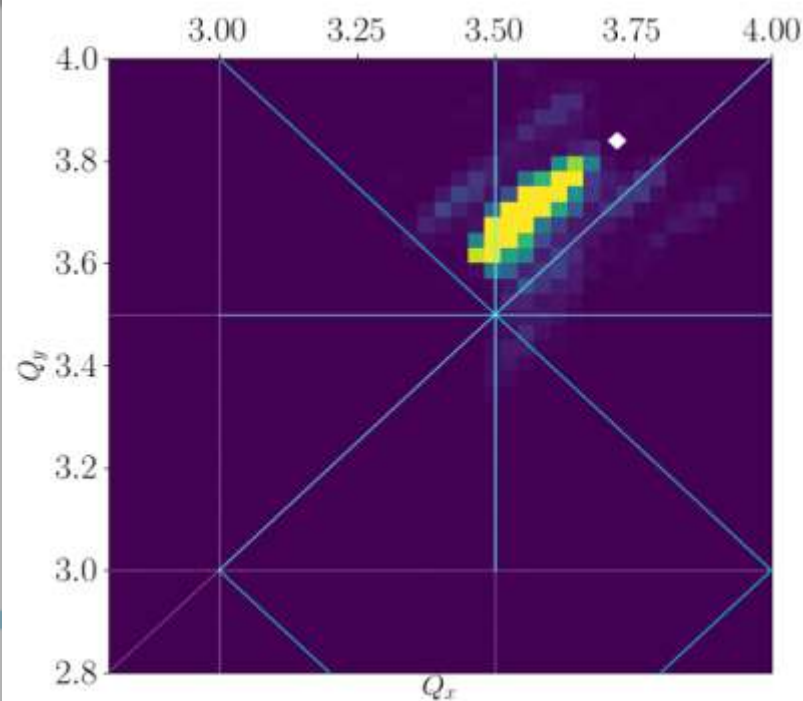
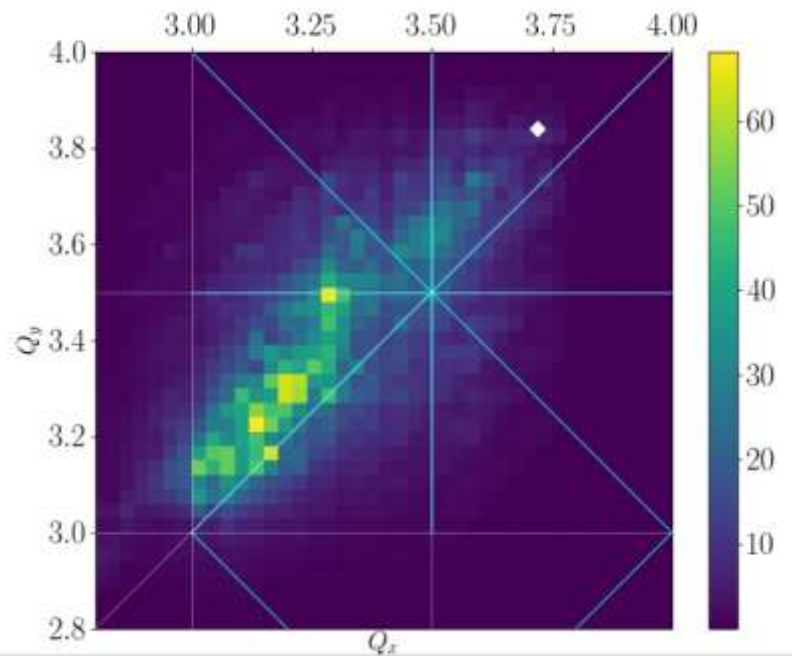
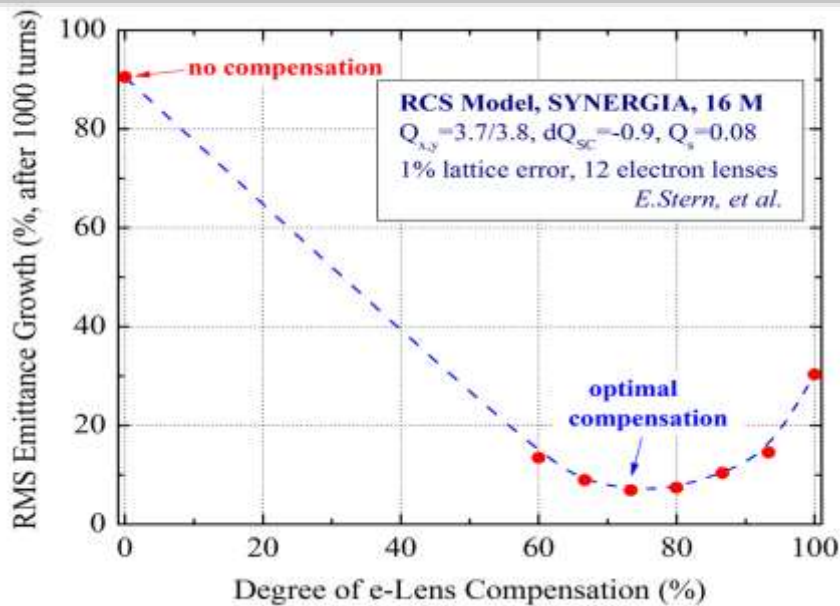
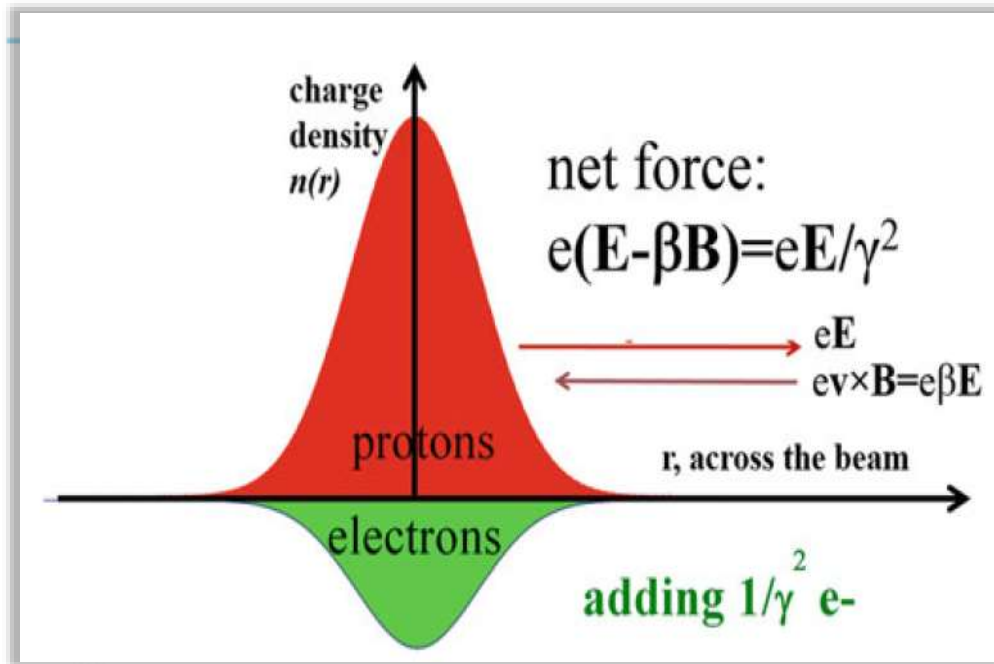
Figure 3: Operational high intensity RCSs and accumulator rings: injection/extraction kinetic energies E_i/E_p in GeV, number of protons per pulse N_p in 10^{13} , beam acceleration/storage time T in s, average beam power P in kW, maximum SC tune shift ΔQ_{sc} , fractional intensity loss $\%_{N_p} = \Delta N_p/N_p$ and emittance growth $\%_{\epsilon} = \Delta \epsilon/\epsilon$ in %, circumference C in m, lattice periodicity S and tunes $Q_{h,v}$. (* For CNGS operation in 2005-2012, the SPS delivered 510 kW average power at 400 GeV). Figure and caption from [10].

Ways to Increase “Protons Per Pulse”

- **Increase the injection energy:**
 - Gain about $N_p \sim \beta\gamma^2$, need (often - costly) linac
- **Flatten the beams** (using 2nd harm, RF) :
 - Makes SC force uniform, $N_p \sim \times 2$
- **“Painting” beams at injection:**
 - To linearize SC force across beams $N_p \sim \times 1.5$
- **Better collimation system beams:**
 - From $\eta \sim 80\%$ to $\sim 95\%$ $N_p \sim \times 1.5$
- **Make focusing lattice perfectly periodic:**
 - Eg P=24 in Fermilab Booster, P=3 in JPARC MR $\rightarrow N_p \sim \times 1.5$
- **(to be tested) Introduce Non-linear Integrable Optics :**
 - May reduce the losses and allow $N_p \sim \times 1.5-2$
- **(tbt) Space-Charge Compensation by electron lenses :**
 - Electrons to focus protons, may allow $N_p \sim \times 1.5 - 2$



Space-Charge Compensation R&D



Beams Document 6790-v1 FNAL

IOTA: *Integrable Optics Test Accelerator* @ FNAL



(COHERENT BEAM) INSTABILITIES

Instabilities

- Beam instabilities are driven by the **electromagnetic interaction with the accelerator environment** (-> wakefields/impedances) and by **electron clouds**.
- Above a certain **intensity threshold** the beam's oscillation amplitude increases exponentially and the beam is either **lost at the wall** (transverse instabilities) or from the RF bucket (longitudinal) and/or the **emittance increases**.
- Presently, heat loads and instabilities are one of the **main beam quality and intensity limitation** in particle accelerators for high intensity and brightness !
- Finding “**cures**” for **instabilities** is one of the major challenges in beam physics and accelerator technology for **future machines**.
- **High energy beams**: Beam instabilities are a ‘current effect’. However, synchrotron radiation, photoelectrons or other high energy effects affect instability thresholds.

Maxwell's equations and Lorentz Force

$$\begin{aligned}\nabla \cdot \mathbf{E} &= \frac{\rho}{\epsilon_0} \\ \nabla \cdot \mathbf{B} &= 0 \\ \nabla \times \mathbf{E} &= -\frac{\partial \mathbf{B}}{\partial t} \\ \nabla \times \mathbf{B} &= \mu_0 \mathbf{j} + \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t}\end{aligned}$$

+ boundary conditions at the walls

Impulse approximation

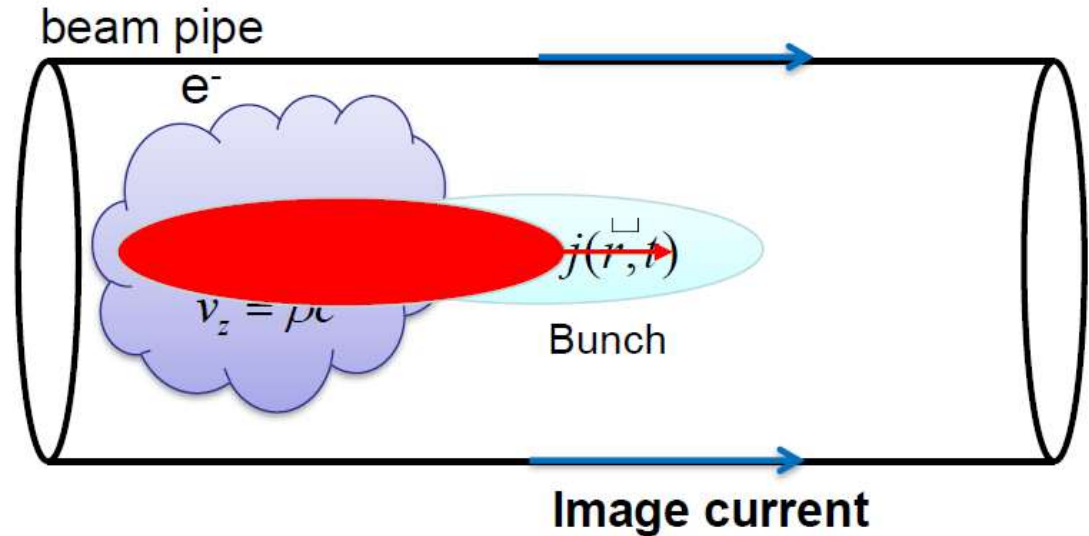
$$c\Delta p = q \int_{-\infty}^{\infty} (\mathbf{E} + \mathbf{v} \times \mathbf{B}) ds$$

The EM force continuously acting on a test charge is lumped in a single kick after the passage through the structure.

Rigid bunch approximation

$$\mathbf{j} = \beta_0 c \rho \mathbf{e}_z$$

The beam traverses the structure rigidly.



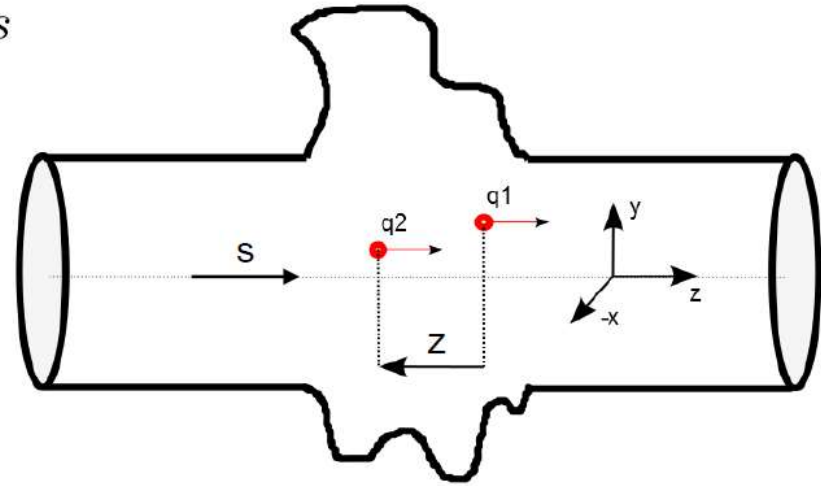
EM forces due to : a) wake fields and impedances, b) electron cloud, c) beam-beam, d) etc

Wake-fields

$$W(r_2, r_1, z) = -\frac{1}{q_1} \int_{-\infty}^{\infty} [E + v \times B] \left(r_2, z, t = \frac{z+s}{c} \right) ds$$

T. Weiland and R. Wanzenberg, "Wake Fields and Impedances," in CERN Accelerator School (CAS), 1993. 20, 28, 99

L. Palumbo, V. G. Vaccaro, and M. Zobov, "Wake Fields and Impedance," in Cern Accelerator School, 1994. 20



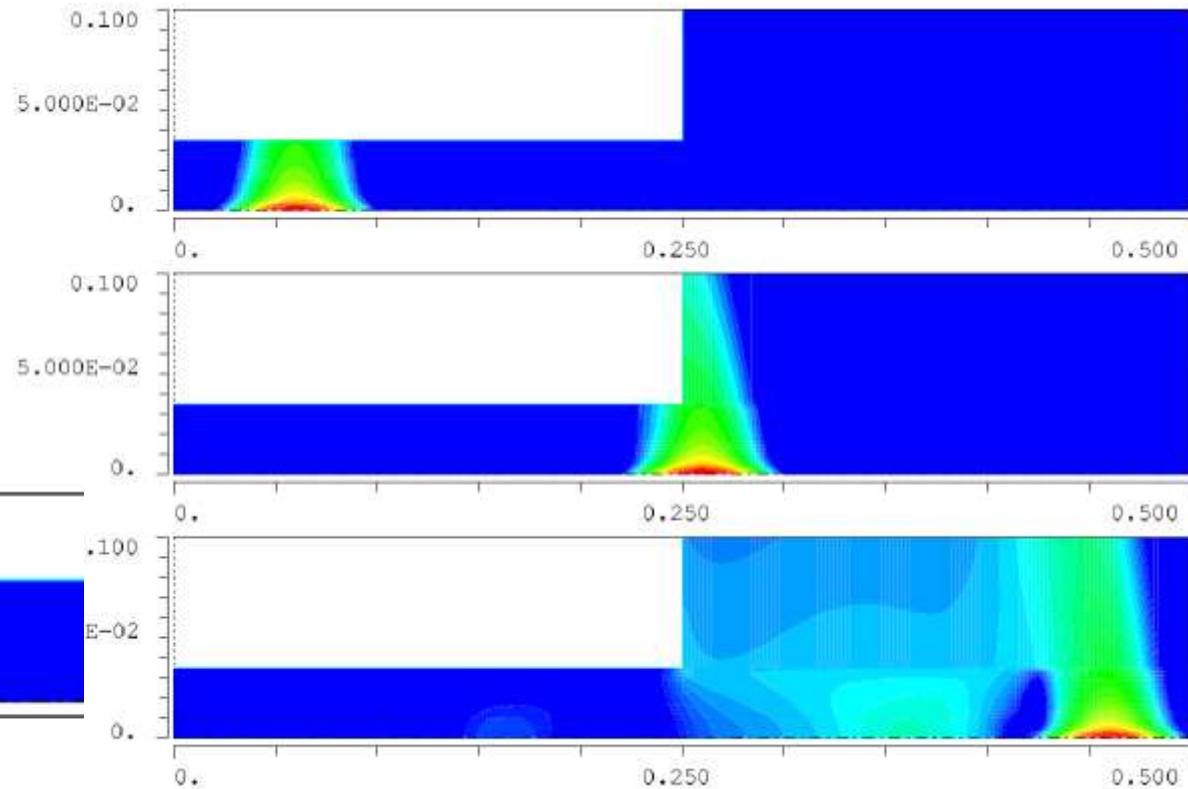
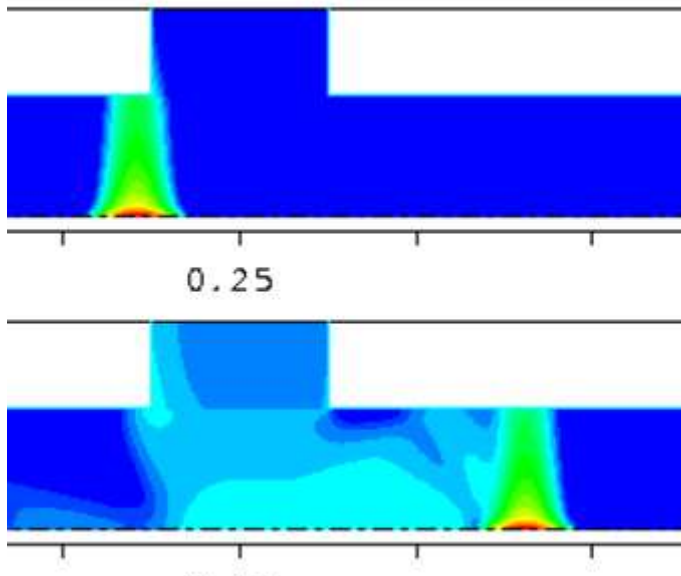
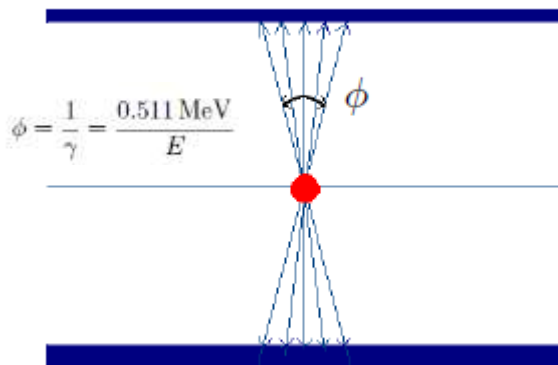
Longitudinal:

$$W_{\parallel}(z) = -\frac{1}{q_1} \int_{-\infty}^{\infty} E_z \left(r_2 = 0, z, t = \frac{z+s}{c} \right) ds$$

Transverse:

$$W_{\perp}(z) = -\frac{1}{q_1 d_1} \int_{-\infty}^{\infty} [E + v \times B]_{\perp} \left(r_2 = 0, z, t = \frac{z+s}{c} \right) ds$$

Wake-fields - Examples



Wake fields behind a bunch
generated at a step-out transition
from a small to a larger beam pipe

Wake fields in a cavity

What if we have many particles

- Wake-functions

Longitudinal: $\int_0^L F_z ds = -q^2 W_{\parallel}(z)$

For a test particle in a bunch:

$$\int_0^L F_z ds = qV \quad V = -q \int W_{\parallel}(z-u) \lambda(u) du$$

(Voltage kick or Wake potential)

Line density: $\lambda(z) = \frac{dN}{dz}$

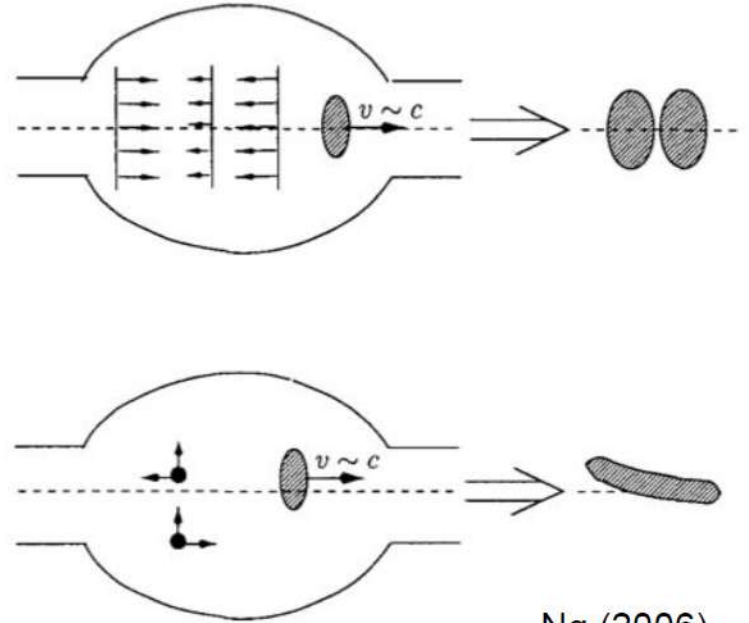
Transverse: $\int_0^L F_{\perp} ds = -q^2 r W_{\perp}(z)$

For a test particle in a bunch:

$$\frac{1}{\gamma_0 m c^2} \int_0^L F_x ds = \Delta x' \quad \Delta x' = -\frac{q^2}{\gamma_0 m c^2} \int W_{\perp}(z-u) \lambda(u) \bar{x}(u) du$$

(horizontal kick)

$\bar{x} = \langle x \rangle$: local bunch offset



Ng (2006)

Even “simple” resistive wall leaves wakes

Longitudinal: $W_{\parallel}(z) = \frac{c}{4\pi b} \sqrt{\frac{Z_0}{\pi\sigma}} \frac{L}{|z|^{3/2}}$

Transverse: $W_{\perp}(z) = \frac{c}{\pi b^3} \sqrt{\frac{Z_0}{\pi\sigma}} \frac{L}{|z|^{1/2}}$

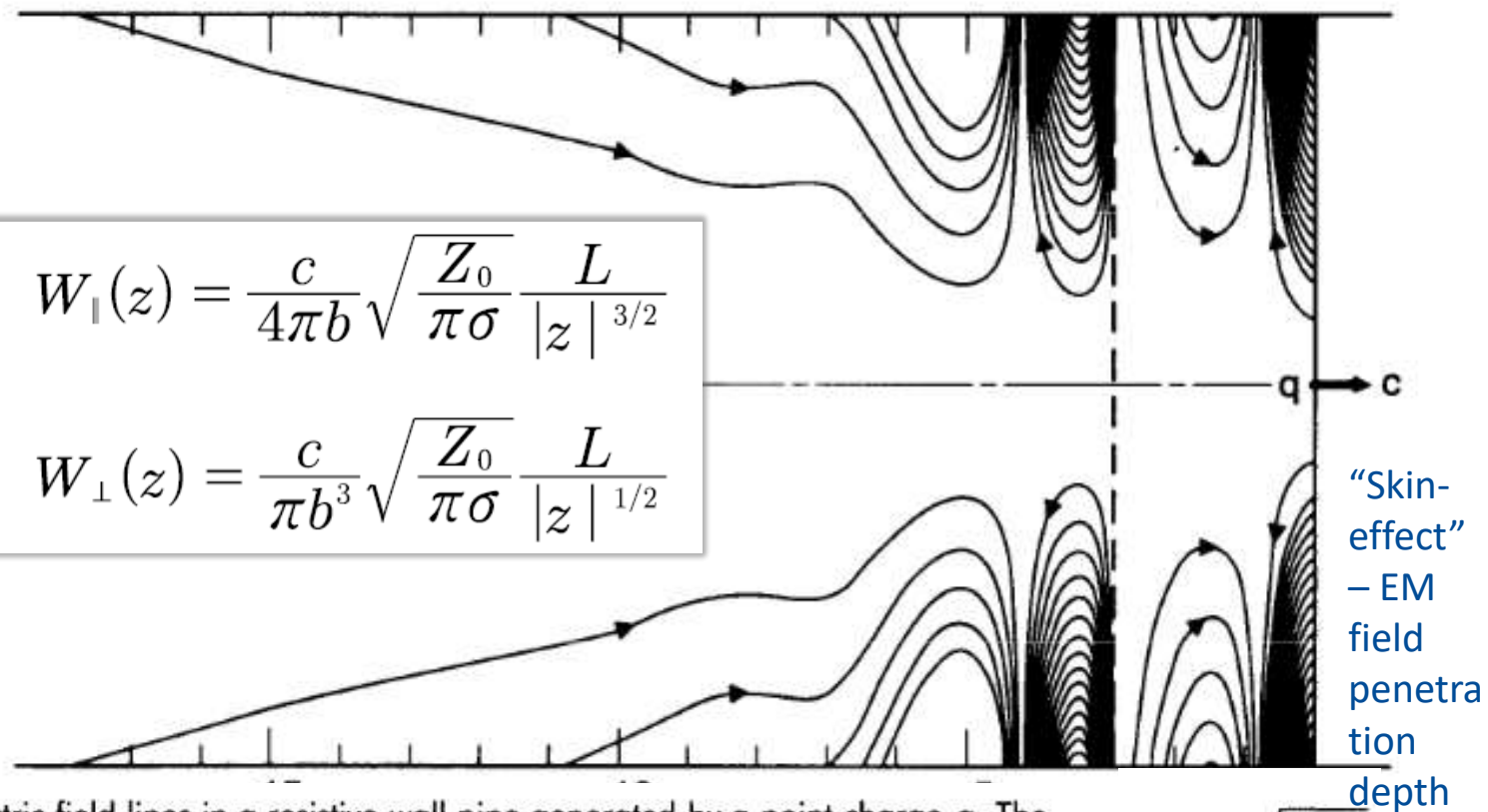
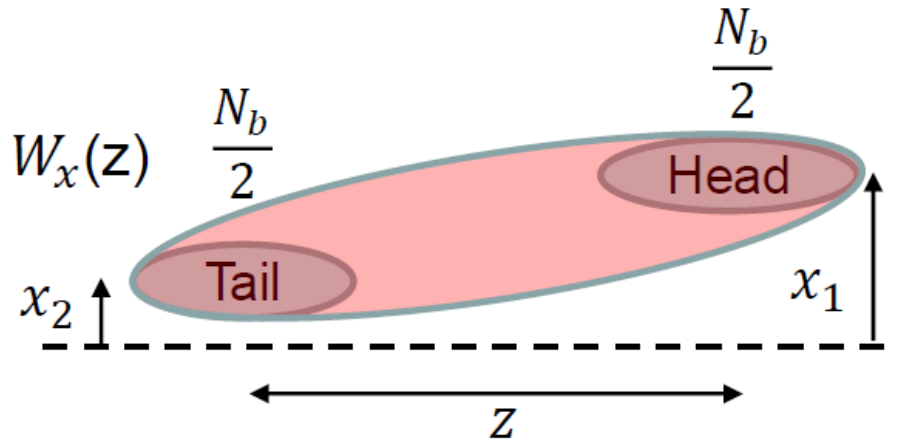


Figure 2.3. Wake electric field lines in a resistive wall pipe generated by a point charge q . The field pattern shows oscillatory behavior in the region $|z| \leq 5(2\chi)^{1/3}b$ (or $|z| \leq 0.35$ mm for an aluminum pipe with $b = 5$ cm). The field line density to the left of the dashed line has been magnified by a factor of 40. (Courtesy Karl Bane, 1991.)

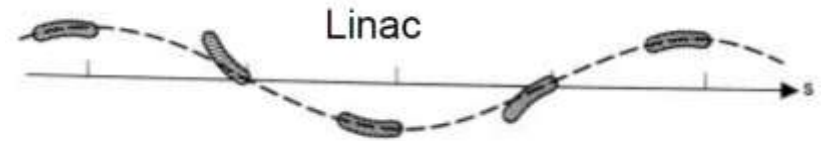
$$\delta r = \sqrt{\frac{c|z|}{\pi\sigma}}$$

Key points: a) longitudinal wakefield leads to particle energy loss and pipe heating; b) transverse wake is defocusing for vacuum beam pipe (focusing in case of electron cloud)

Consequences: two-particle model



In linacs: Beam-break up (BBU) instability



Two-particle coupled betatron oscillations:

$$x_1'' + \kappa x_1 = 0$$

$$x_2'' + \kappa x_2 = \frac{q^2 N_b W_x(z)}{2LE_0} x_1 \quad \kappa = \frac{Q_x^2}{R^2}$$

New coordinates:

$$\tilde{x}_l = x_l + i \frac{x_l'}{\kappa} \quad l = 1, 2$$

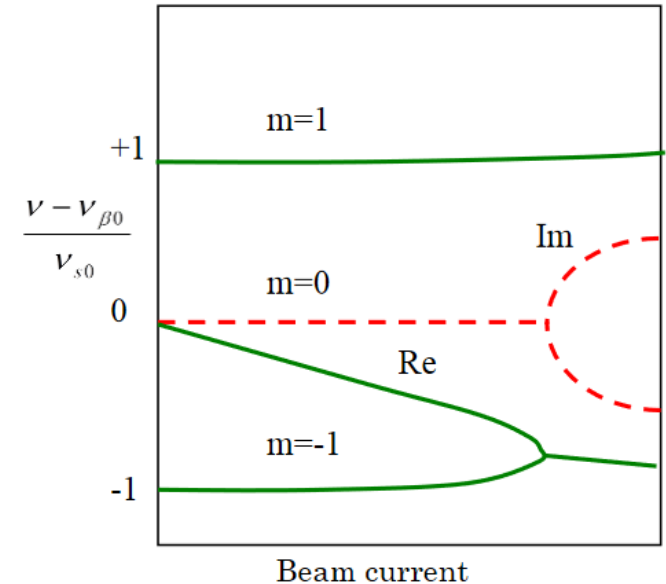
Solution:

$$\tilde{x}_1(s) = \tilde{x}_1(0) e^{-i\kappa s}$$

$$\tilde{x}_2(s) = \tilde{x}_2(0) e^{-i\kappa s} - i \frac{q^2 N_b W_x(z)}{4E_0 L \kappa} \tilde{x}_1(0) s e^{-i\kappa s}$$

Linear growth !

In rings: Head-tail instability (aka TMCI = Transverse Mode Coupling Instability)



Intensity Limits and Cures

Beampipe heating is important for cryo – may limit on $N_b I_b$

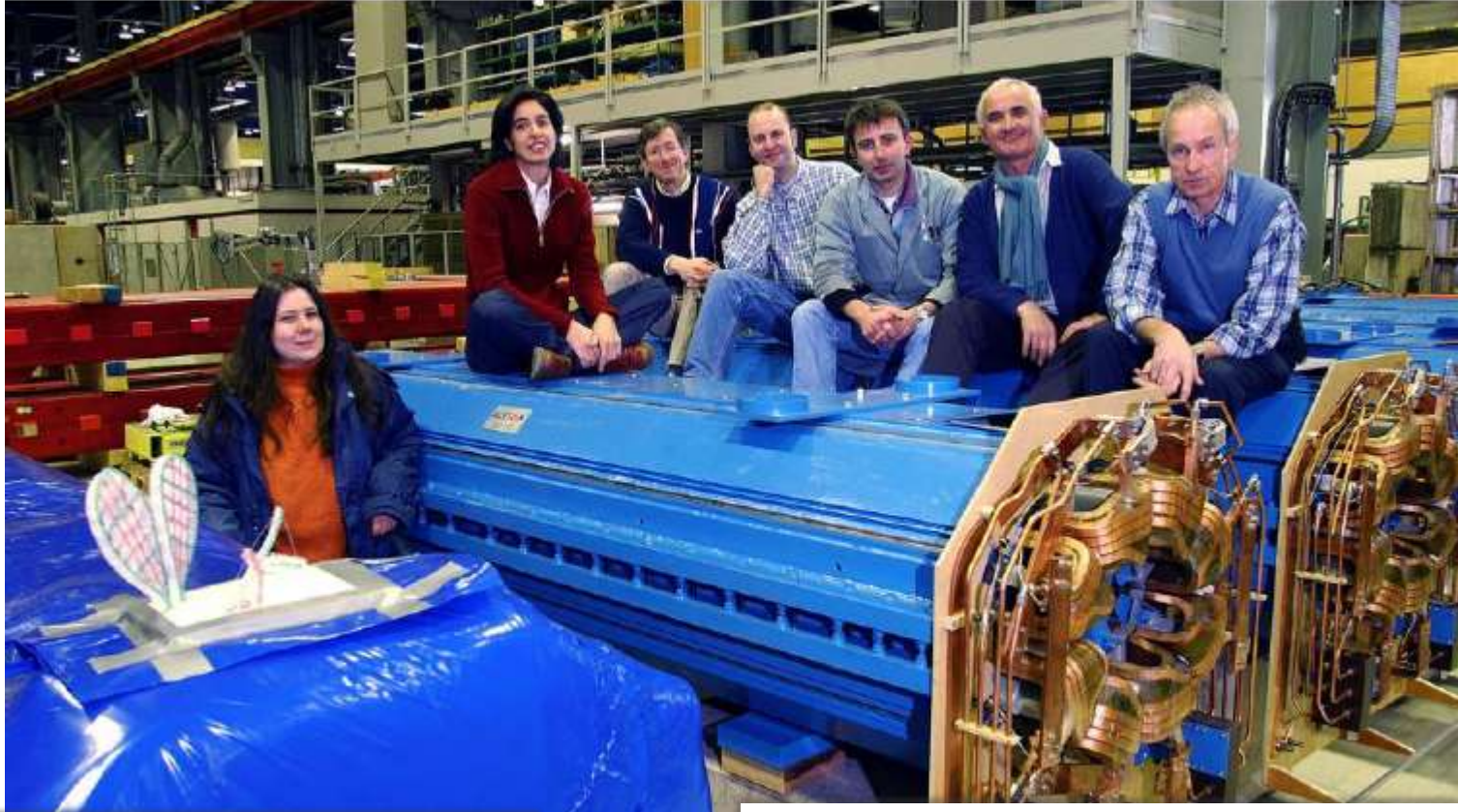
Instabilities **severely** limit either single bunch current I_b or total beam current $N_b I_b$

Cures employed so far:

- 1) Reduce wakes/impedances – no discontinuities in beam pipe, better conducting materials, etc
- 2) **In linacs** – *BNS damping* = introduce energy difference btw head and tail of the bunch (RF phase choice) leading to slight difference in the betatron oscillation frequencies
- 3) **In rings**
 - 1) Feedback dampers (might not work for single bunch instabilities)
 - 2) introduce betatron frequency spread via chromaticity $dQ=Q'(dP/P)$ (does not always work) or octupoles $dQ \sim Oct * \sigma^2$ (mostly worked so far) or electron beams for Landau damping (next gen colliders)

Intensity Limits and Cures

168 LHC octupoles for Landau Damping



Tune shifts (integrated):

$$\Delta Q_x = a_x J_x - b_{xy} J_y$$

$$\Delta Q_y = a_y J_y - b_{xy} J_x$$

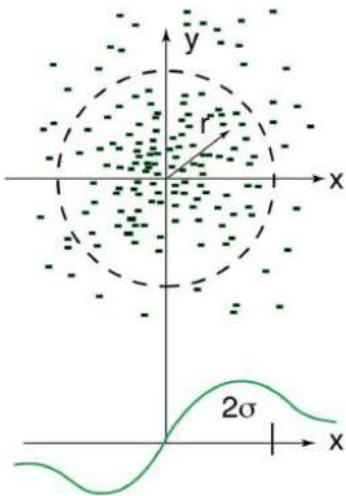
Concern is that these octupoles are so nonlinear that they reduce *Dynamic Aperture* of the collider
→ affect lifetime

Landay Damping by Electron Lenses



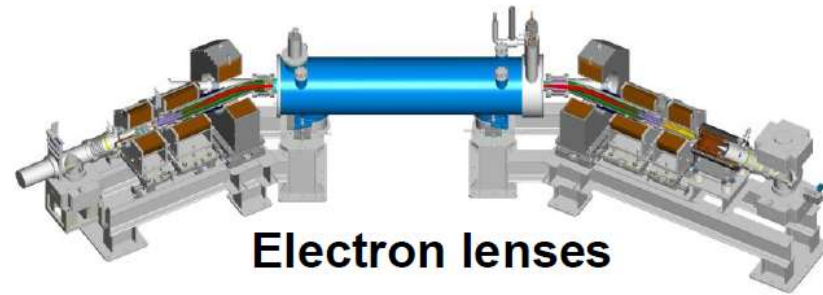
Matched transverse beam radii.

Gaussian



Gaussian electron beam provides a nonlinear tune shift.

Similar to the beam-beam force !



Electron lenses

Tune shift induced by a counter-propagating electron beam:

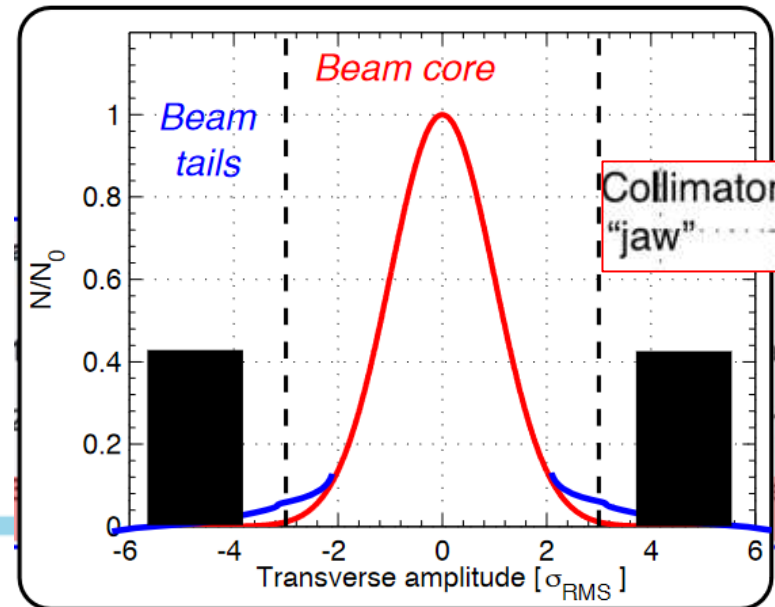
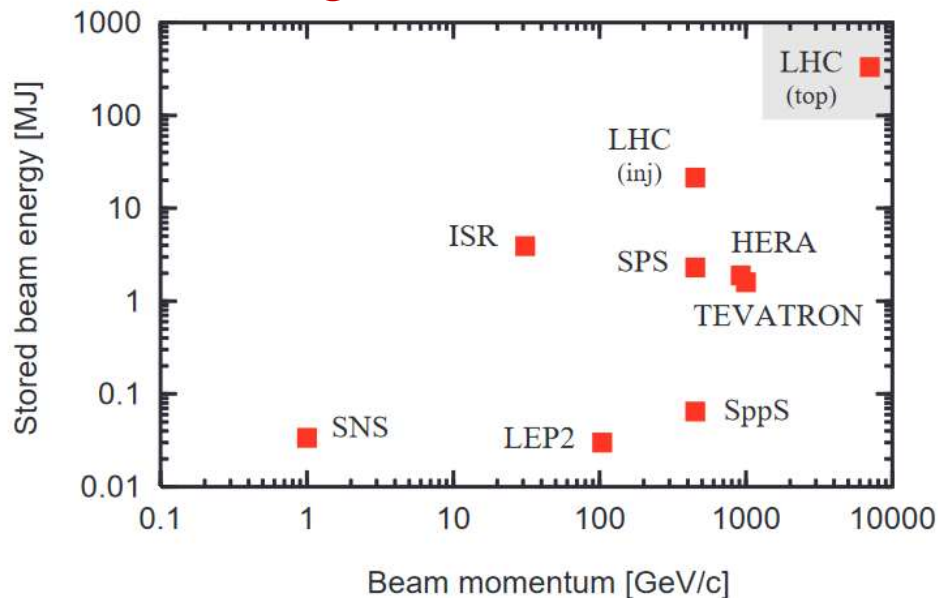
$$\Delta Q_x^e = \frac{1 + \beta_e}{\beta_e} \frac{I_e l r_p}{2\pi e c \epsilon_x}$$

V. Shiltsev et al., PRL (2017)

Example: One e-lens ($l=2$ m, $I_e=1$ A) in LHC would provide a tune spread similar to the 168 octupoles.

Collimation

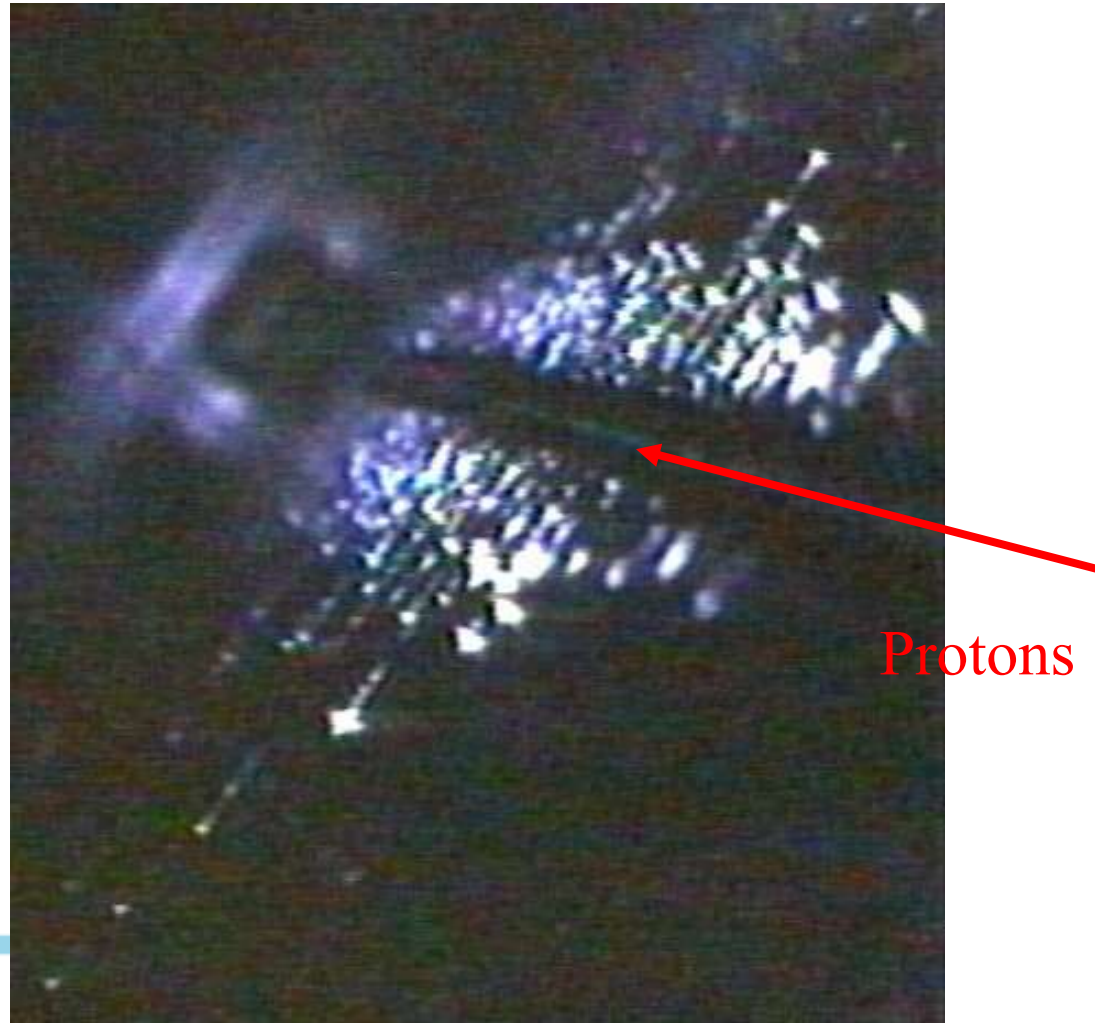
- To protect from enormous beam power (and power density) of high energy accelerators and colliders – events and processes:
 - Injection errors
 - Instabilities
 - Losses due to beam-beam, beam-gas, intrabeam scattering, etc
 - Synchrotron radiation photons
- Protect magnets, RF and detectors !



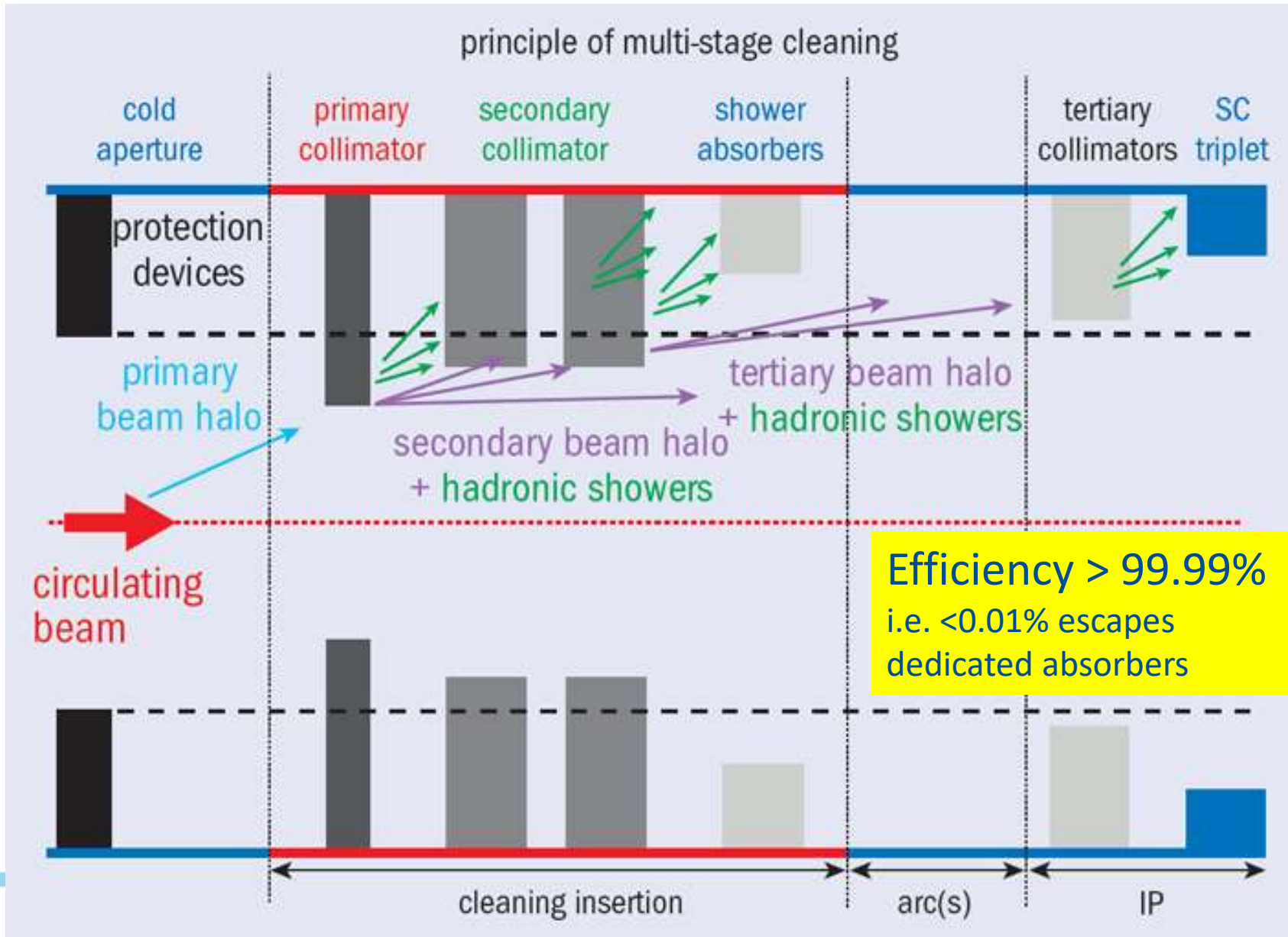
Collimators

- Tevatron 12 collimators:
 - Hor and Vert
 - Proton and antiproton
 - 4 primaries
 - 5 mm W
 - 8 secondaries
 - 1.5 m stainless steel
 - Flat to <25 micron
 - As close as few mm to the
- Efficiency 95-99%
 - reduction of background in CDF and D0 detectors x20-100

Damage to E03 1.5m Collimator



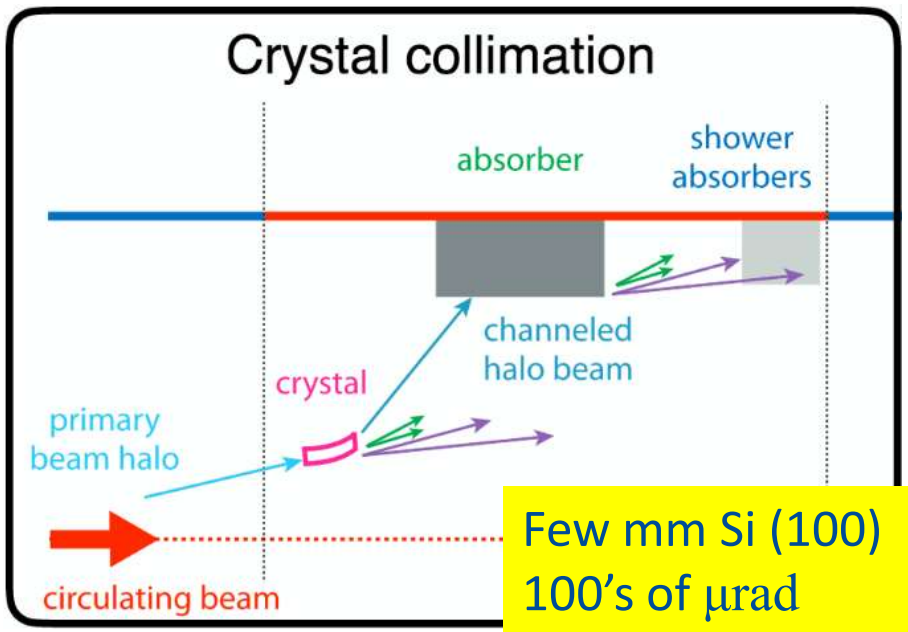
(Most Sophisticated) LHC Collimation



Collimation Challenges and Cures

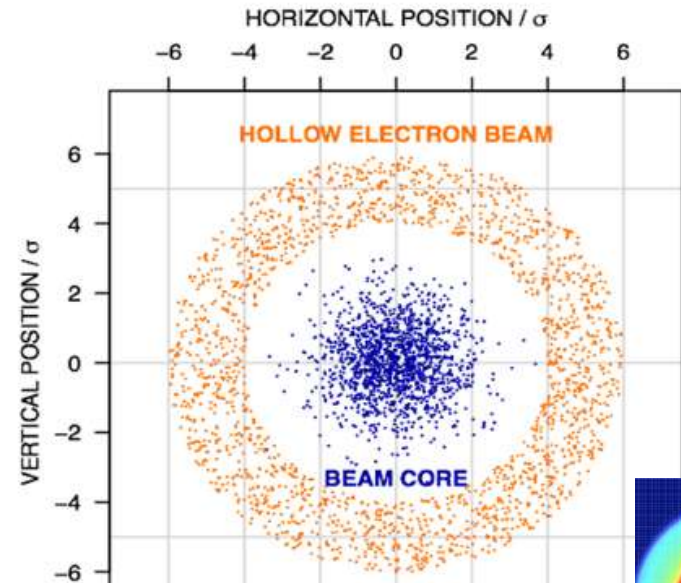
- Too many, too close to beams → large wakefields/impedance
- Can be damaged/destroyed **NEW METHODS**

Bent crystal collimation



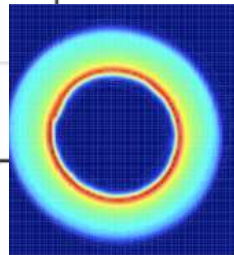
Makes bigger deflection → better interception of scattered particles
Tested at the Tevatron and LHC

Hollow e-beam collimation



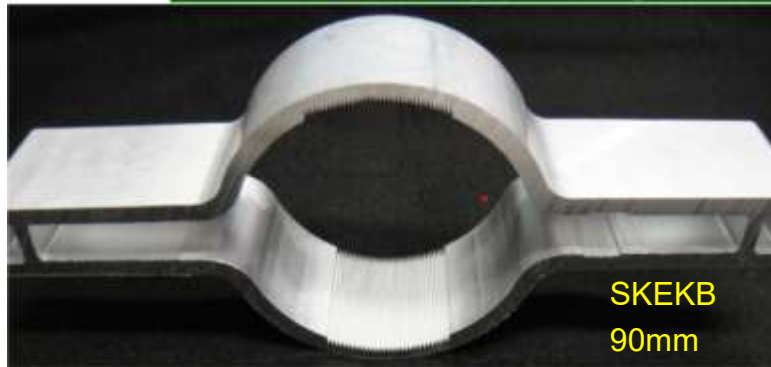
Few Amperes, few mm dia, few m long e-beam

Soft “penetrable” & fast diffusor → undamageable. Tested at the Tevatron and being built for LHC

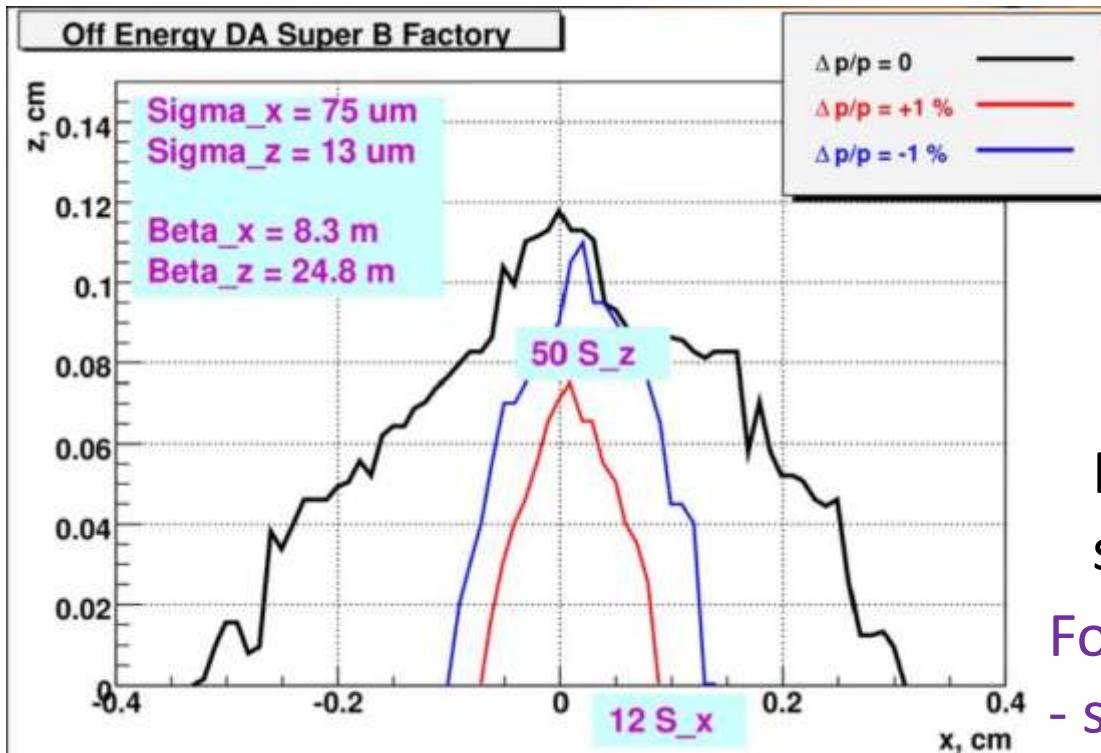
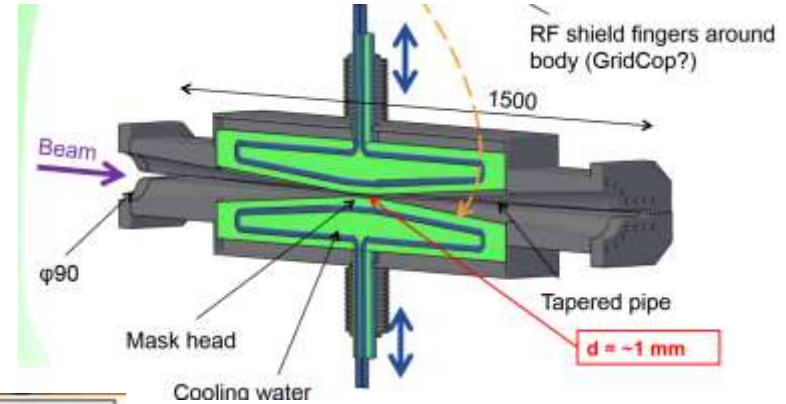


Aperture and Dynamic Aperture

Physical beam pipe ~60-100 mm ... 10's-100's σ
Often coated (eg TiN) and/or grooved (ecloud)



Collimators - closest to beam – 5-10's of σ
Often coated (eg TiN) and/or grooved (ecloud)



The **dynamic aperture** is the stability region of phase space in an accelerator – dependent on nonlinearities and chromatic effects

For proton machines - stability over $O(1e9)$ turns

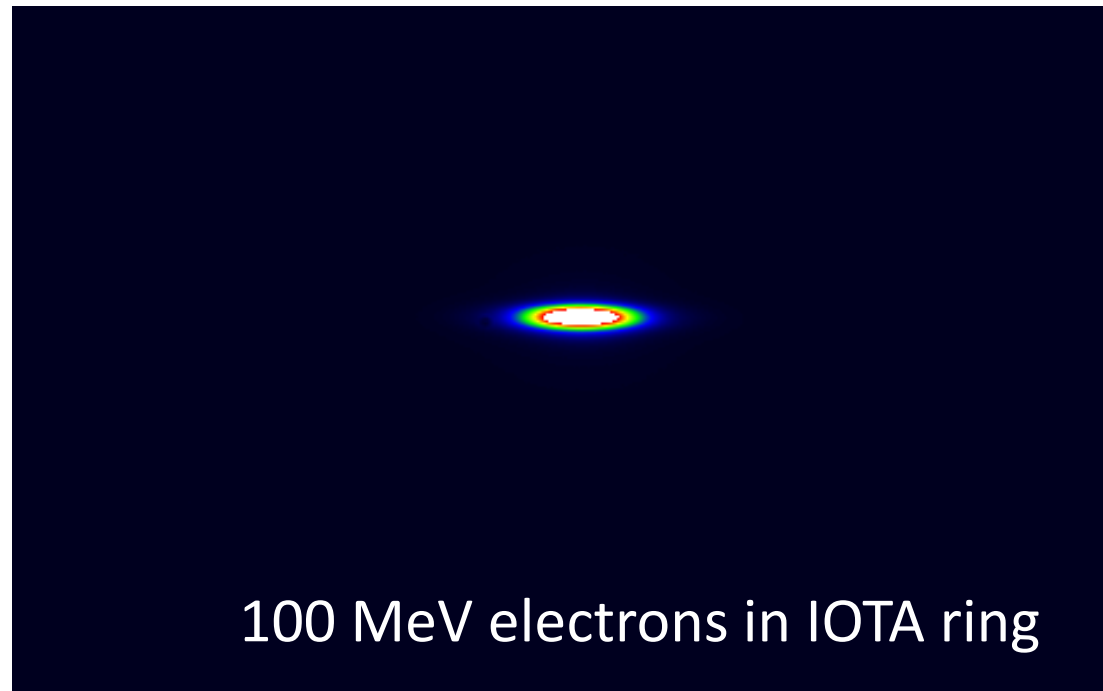
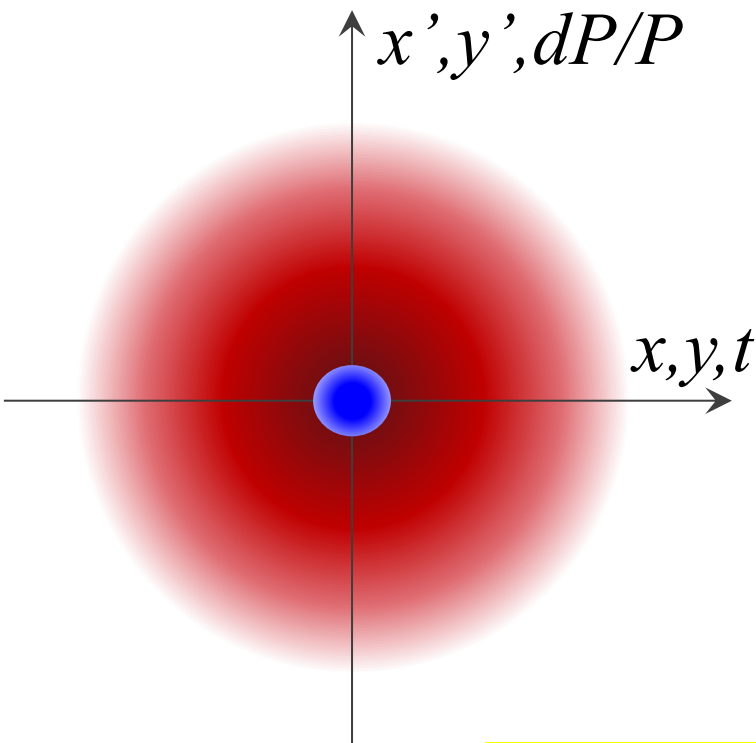
For electron/muon machines - stability over $O(1e3)$ turns

Beam Cooling

Beam Phase Space Density Increase

- As needed for a collider
- Forbidden by the *Liouville theorem* in non-dissipative systems

$$\mathcal{L} = f_{\text{coll}} \frac{N_1 N_2}{4\pi\sigma_x^* \sigma_y^*}$$



Ideally - “6D-Cooling”

Diffusion and Cooling (1)

Diffusion equation for beam distribution function $f(J, t)$, J - action variable

$$\frac{\partial f}{\partial t} = \frac{\partial}{\partial t} \left(D(J) \frac{\partial f}{\partial J} \right)$$

In the presence of cooling:

$$\frac{d\epsilon_n}{dt} = \beta\gamma \frac{dD(J)}{dJ} - \frac{\epsilon_n}{\tau_{\text{cool}}}$$

where for example:

Dipole noise For a single dipole steering error randomly fluctuating each revolution of the accelerator with rms value θ_{rms} , the emittance growth rate is

$$\frac{d\epsilon_N}{dt} = \frac{1}{2} f_0 (\gamma v/c) \beta_0 \theta_{\text{rms}}^2 \quad (10)$$

where β_0 is the β -function at the location of the error, and f_0 is the revolution frequency.

Coulomb scattering If the scattering is due to small angle Coulomb interactions between the beam particles and other material in the beam chamber, then

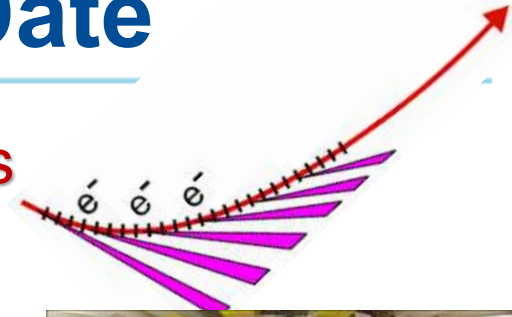
$$\frac{d\epsilon_N}{dt} = \frac{1}{2} f_0 \langle \beta \rangle \left(\frac{13.6 \text{ MeV}}{mc^2} \right)^2 \frac{z}{\gamma(v/c)^3} \frac{\ell}{X_0} \quad (12)$$

where mc^2 is the rest energy of a beam particle, z its charge, and X_0 is the radiation length of the

Beam Cooling Methods to Date

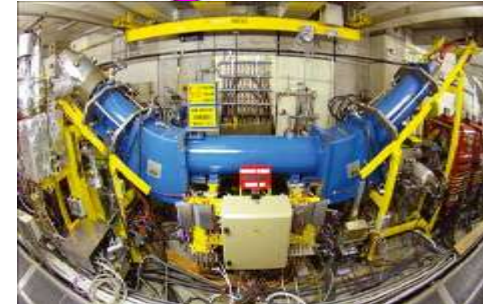
Synchrotron Radiation Damping – since 1960's

- common in all e⁺/e⁻ rings



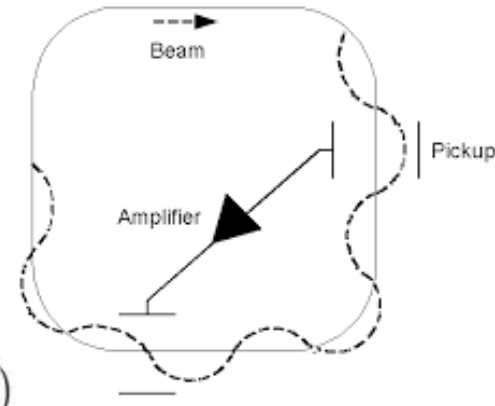
Electron Cooling – since 1970's

- Widely used to cool ions and antiprotons
- 0.1 - 8 GeV/n (50 keV – 4 MeV electrons DC)



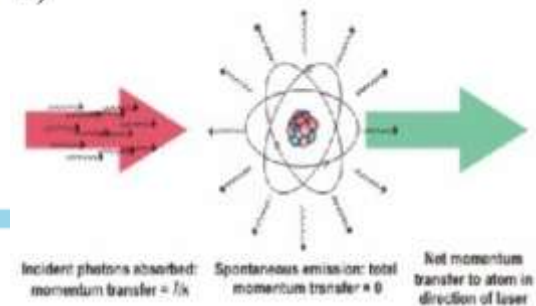
Stochastic Cooling – since 1970's

- Widely used to cool ions and antiprotons
- 0.1-100 GeV/n (up to 10 GHz feedback BW)



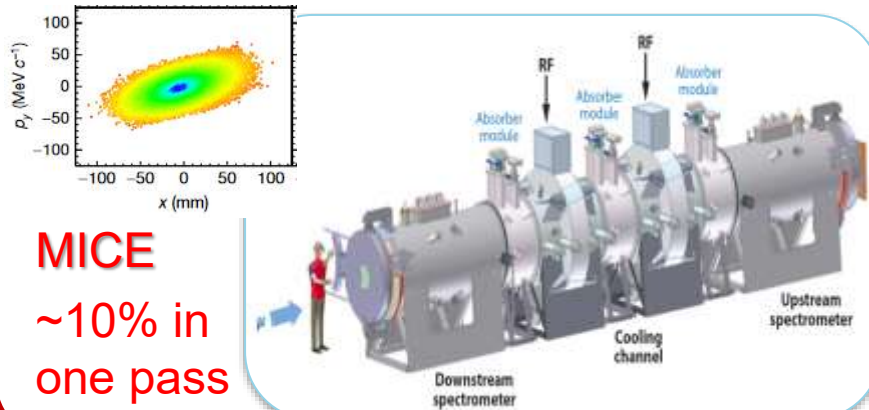
Laser Cooling – since 1990's $\Omega = \gamma\omega_{21}(1 - \beta \cos \theta)$

- Works for some highly charged ions
- 0.1-0.5 GeV/n, deep cooling, spectroscopy

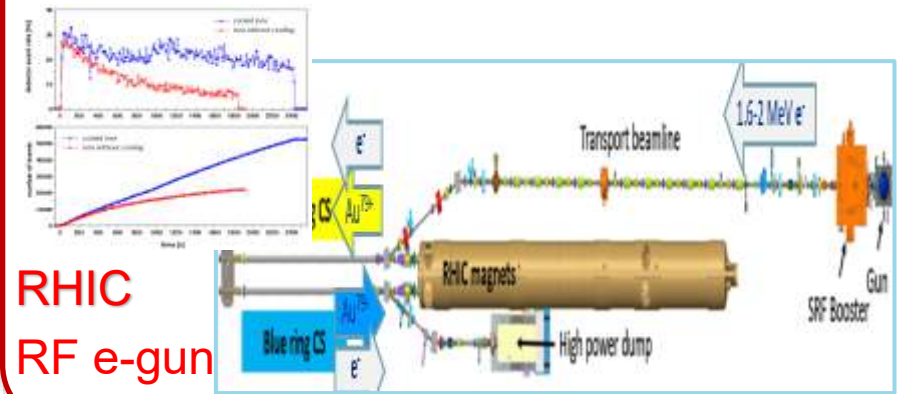


Recent Beam Cooling Breakthroughs

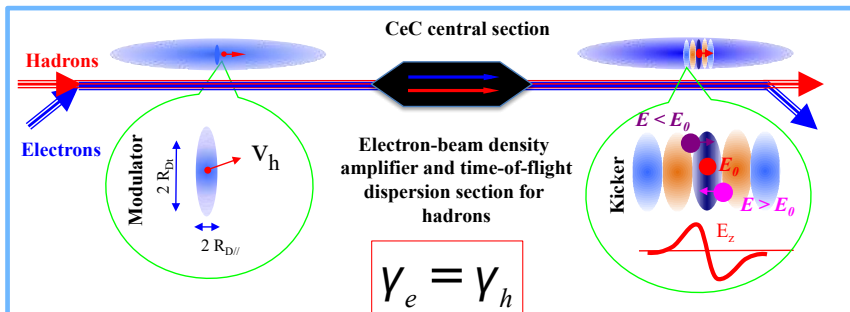
2019 - Ionization cooling of muons (140 MeV/c, RAL, UK)



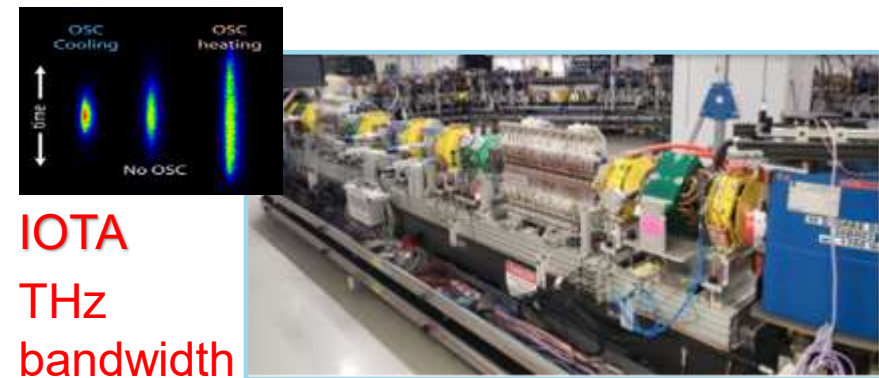
2020 – “Bunched” electron cooling of ions ($\gamma \sim 5$, BNL)



2025 – Coherent Electron cooling of ions (26.5 GeV/n, RHIC) – ongoing PoP exp't at BNL



2021 – Optical Stochastic cooling e- (100 MeV, FNAL)





Questions !?

Literature

- W.Herr, CAS school

<https://cds.cern.ch/record/941319/files/p379.pdf>

- V.Lebedev, V.Shiltsev, Tevatron Book Ch.8

https://indico.cern.ch/event/774280/attachments/1758668/2915590/2014_Book_AcceleratorPhysicsAtTheTevatro.pdf

- Proc. 2013 ICFA mini-workshop on "Beam-Beam Effects in Hadron Colliders"

<https://indico.cern.ch/event/189544/>

- Past schools :

- A. Chao, The beam-beam instability, SLAC-PUB-3179 (1983).
- L. Evans, The beam-beam interaction, CAS Course on proton-antiproton colliders, in CERN 84-15 (1984).
- L. Evans and J. Gareyte, Beam-beam effects, CERN Accelerator School, Oxford 1985, in: CERN 87-03 (1987).
- A. Zholents, Beam-beam effects in electron-positron storage rings, Joint US-CERN School on Particle Accelerators, in Springer, Lecture Notes in Physics, 400 (1992).

Comprehensive JUAS-book (2371 pages – all topics!)
<https://doi.org/10.23730/CYRSP-2024-003>.

Instabilities:

A.Chao, *Physics of collective beam instabilities in high energy accelerators* (1993)

<https://www.slac.stanford.edu/~achao/wileybook.html>

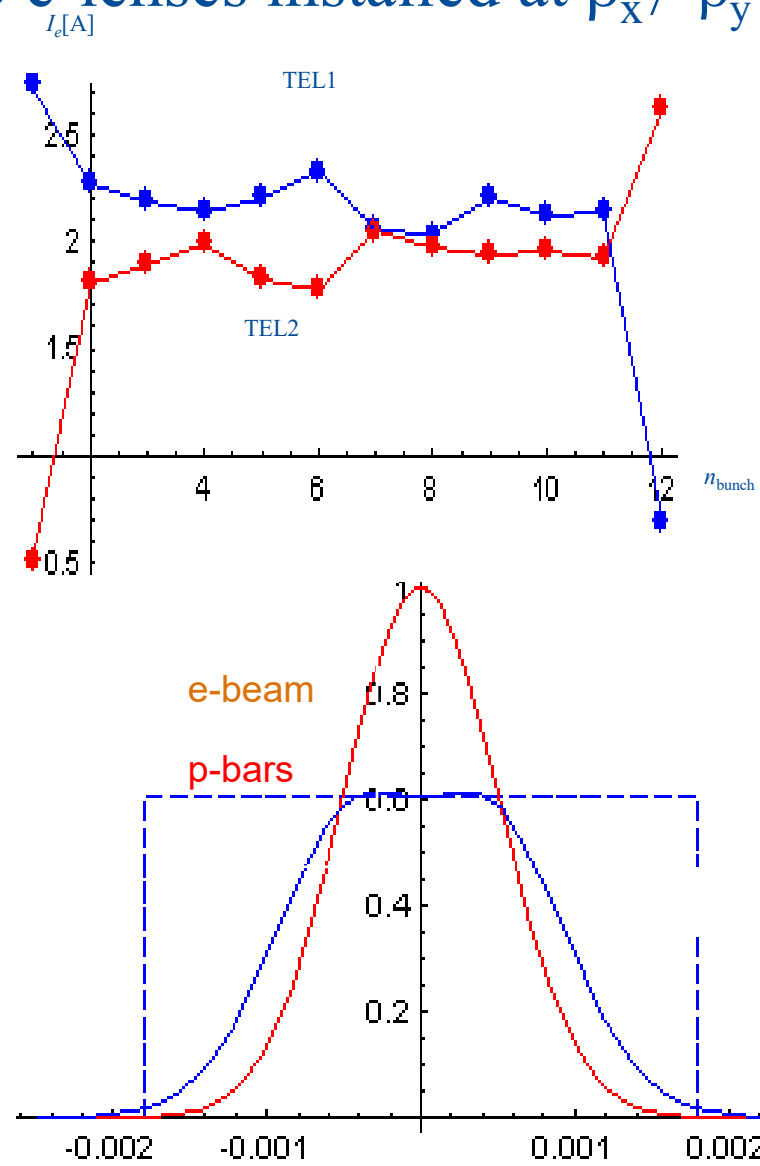
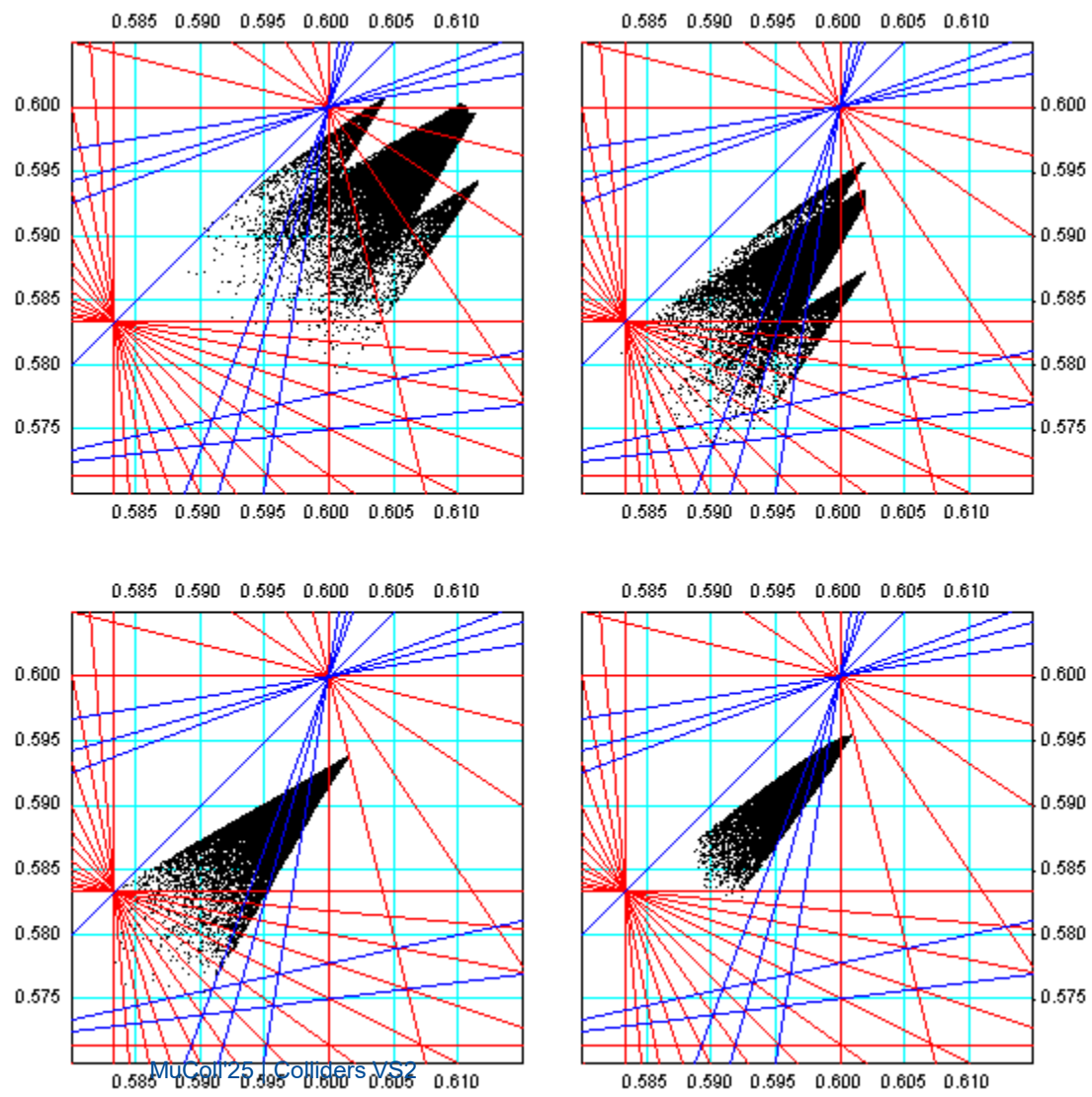
Many useful articles:

S.Myers, H.Schopper *Accelerators and Colliders*
(2013, open access)

<https://link.springer.com/book/10.1007/978-3-030-34245-6>

Can also be used for Long-Range Beam-Beam Compensation

vary the currents bunch-by-bunch in two e-lenses installed at $\beta_x \neq \beta_y$



Beam-Beam Effects

- Remember:

$$\Rightarrow \mathcal{L} = \frac{N_1 N_2 f B}{4\pi \sigma_x \sigma_y} = \frac{N_1 N_2 f B}{4\pi \cdot \sigma_x \sigma_y}$$

- Overview: which effects are important for
- present and future machines (LEP, PEP,
- Tevatron, RHIC, LHC, ...)
- Qualitative and physical picture of the effects

Fields and Forces (1)

- Start with a point charge q and integrate over the particle distribution.
- In rest frame only electrostatic field: $\mathbf{E} \neq 0$ while $\mathbf{B} = 0$
- Transform into moving frame and calculate
- Lorentz force

$$E_{\parallel} = E'_{\parallel}, \quad E_{\perp} = \gamma \cdot E'_{\perp} \quad \text{with :} \quad \vec{B} = \vec{\beta} \times \vec{E} / c$$

$$\vec{F} = q(\vec{E} + \vec{\beta} \times \vec{B})$$

- Note that $F \approx 0$ if velocities are collinear

Fields and Forces (2)

- Derive potential $U(x, y, z)$ from Poisson equation:

$$\Delta U(x, y, z) = -\frac{1}{\epsilon_0} \rho(x, y, z)$$

- The fields become:

$$\vec{E} = -\nabla U(x, y, z)$$

- Example Gaussian distribution:

$$\rho(x, y, z) = \frac{Ne}{\sigma_x \sigma_y \sigma_z \sqrt{2\pi}^3} \exp\left(-\frac{x^2}{2\sigma_x^2} - \frac{y^2}{2\sigma_y^2} - \frac{z^2}{2\sigma_z^2}\right)$$

A Common Example: Gaussian

- For 2D case the potential becomes:

$$U(x, y, \sigma_x, \sigma_y) = \frac{ne}{4\pi\epsilon_0} \int_0^\infty \frac{\exp\left(-\frac{x^2}{2\sigma_x^2+q} - \frac{y^2}{2\sigma_y^2+q}\right)}{\sqrt{(2\sigma_x^2+q)(2\sigma_y^2+q)}} dq$$

- Can derive E and B fields and therefore forces
- Also easy for uniform distribution: E and B scale linear with r for $r < a$, and $1/r$ for $r > a$... easy for simple easily integrable axisymmetric distributions
- For arbitrary distribution (non-Gaussian):
 - difficult (or impossible, numerical solution required)

Further Simplification: Round Gaussian

- Round beams: $\sigma_x = \sigma_y = \sigma$
- Only components **E_r** and **B** are non-zero
- Force has only radial component, i.e. depends only on distance **r** from bunch center, i.e. $r^2 = x^2 + y^2$

$$F_r(r) = -\frac{ne^2(1 + \beta^2)}{2\pi\epsilon_0 \cdot r} \left[1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right]$$

Beam-Beam Kick

- Kick $\Delta r'$ - angle by which the particle is deflected during the passage
- Derived from force by integration over the collision
assume: $\mathbf{m}_1 = \mathbf{m}_2$ and $\beta_1 = \beta_2$

$$F_r(r, s, t) = -\frac{Ne^2(1 + \beta^2)}{\sqrt{(2\pi)^3}\epsilon_0 r \sigma_s} \left[1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right] \cdot \left[\exp\left(-\frac{(s + vt)^2}{2\sigma_s^2}\right) \right]$$

→ Newton's law
$$\Delta r' = \frac{1}{mc\beta\gamma} \int_{-\infty}^{\infty} F_r(r, s, t) dt$$

Beam-Beam Kick

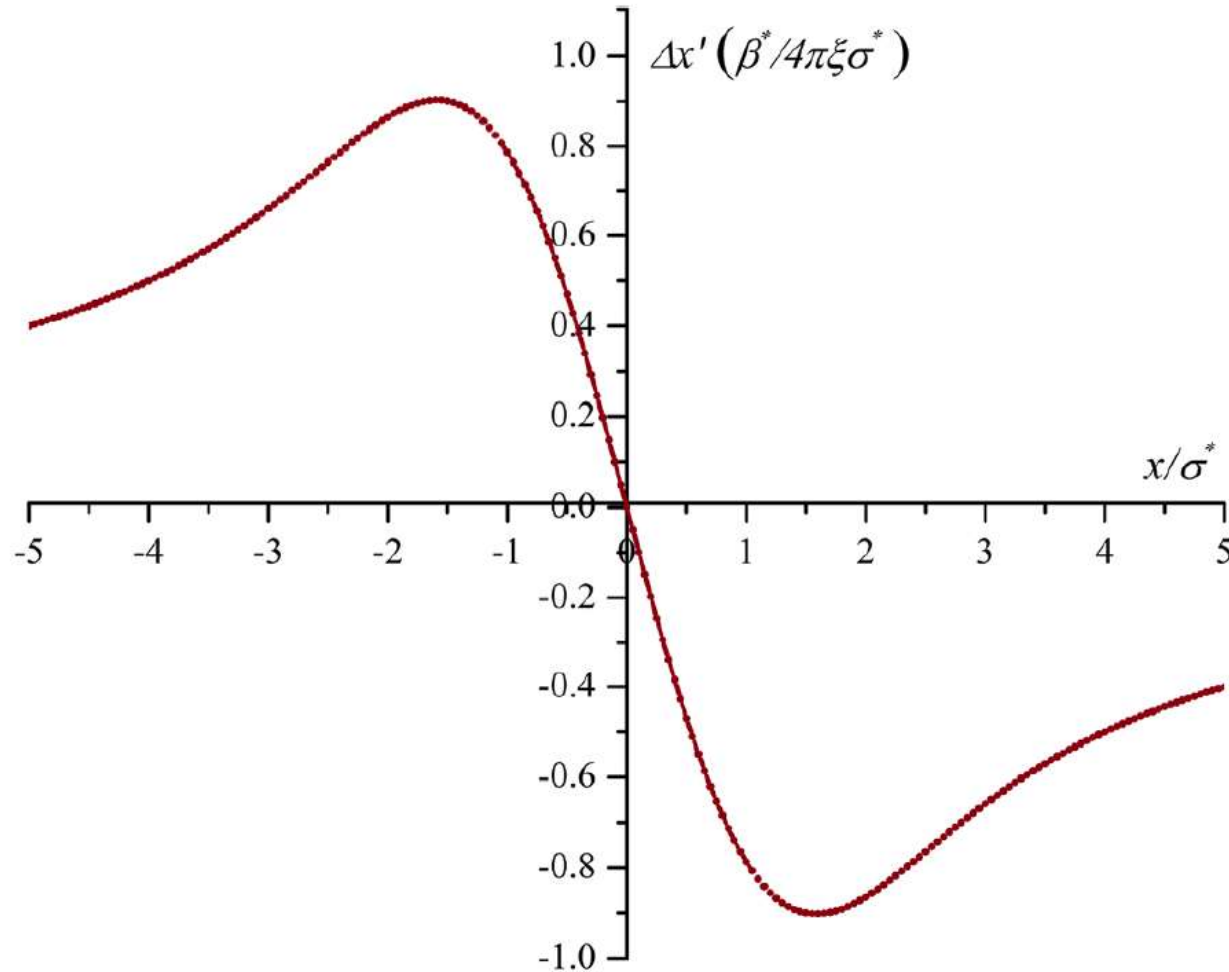
- Using the classical particle radius: $r_0 = e^2 / 4\pi\epsilon_0 mc^2$
- we get radial kick and in Cartesian coordinates:

$$\Delta r' = -\frac{2Nr_0}{\gamma} \cdot \frac{r}{r^2} \cdot \left[1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right]$$

$$\Delta x' = -\frac{2Nr_0}{\gamma} \cdot \frac{x}{r^2} \cdot \left[1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right]$$

$$\Delta y' = -\frac{2Nr_0}{\gamma} \cdot \frac{y}{r^2} \cdot \left[1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right]$$

Beam-Beam Kick



Kick(force) varies strongly with amplitude:

- linear inside \rightarrow like quadrupole \rightarrow tune shift amplitude independent at $\ll \sigma$
- $1/r$ outside the beam core \rightarrow amplitude dependent tune shift

Highly nonlinear btw 1 and 3 sigma:

- contains many high order multipoles

Beam-beam strength parameter → tuneshift

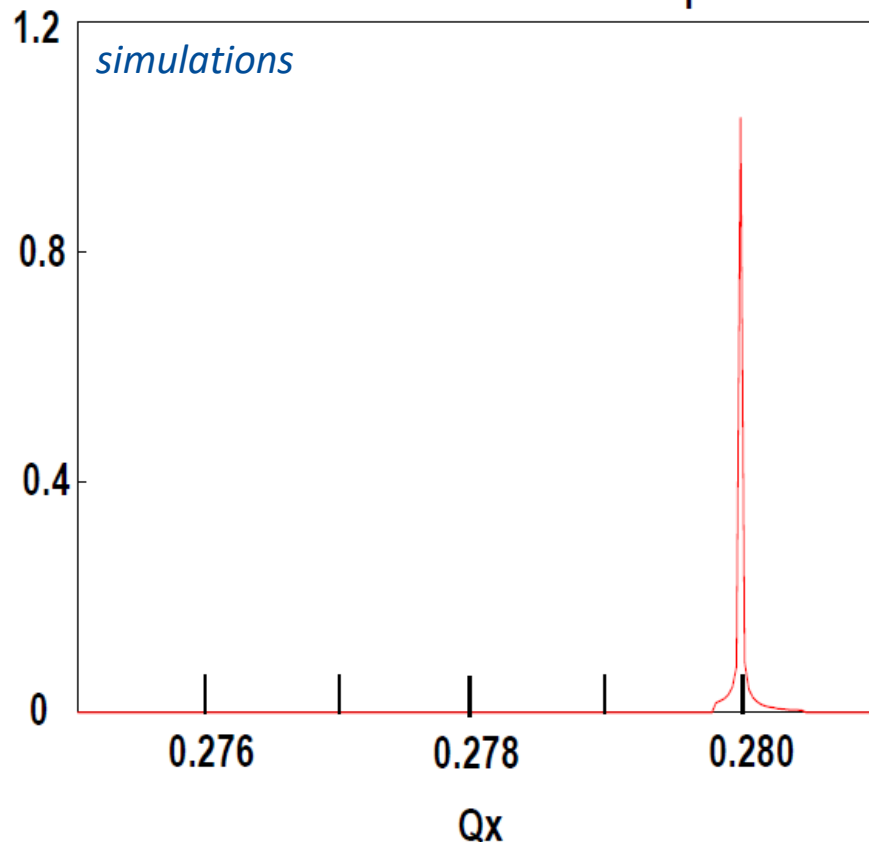
- Slope of force at zero amplitude → proportional to (linear) tune shift ΔQ_{bb} from beam-beam interaction
- This defines: *beam-beam parameter* ξ
- For head-on interactions we get:

$$\xi_{x,y} = \frac{N \cdot r_o \cdot \beta_{x,y}}{2\pi \gamma \sigma_{x,y} (\sigma_x + \sigma_y)}$$

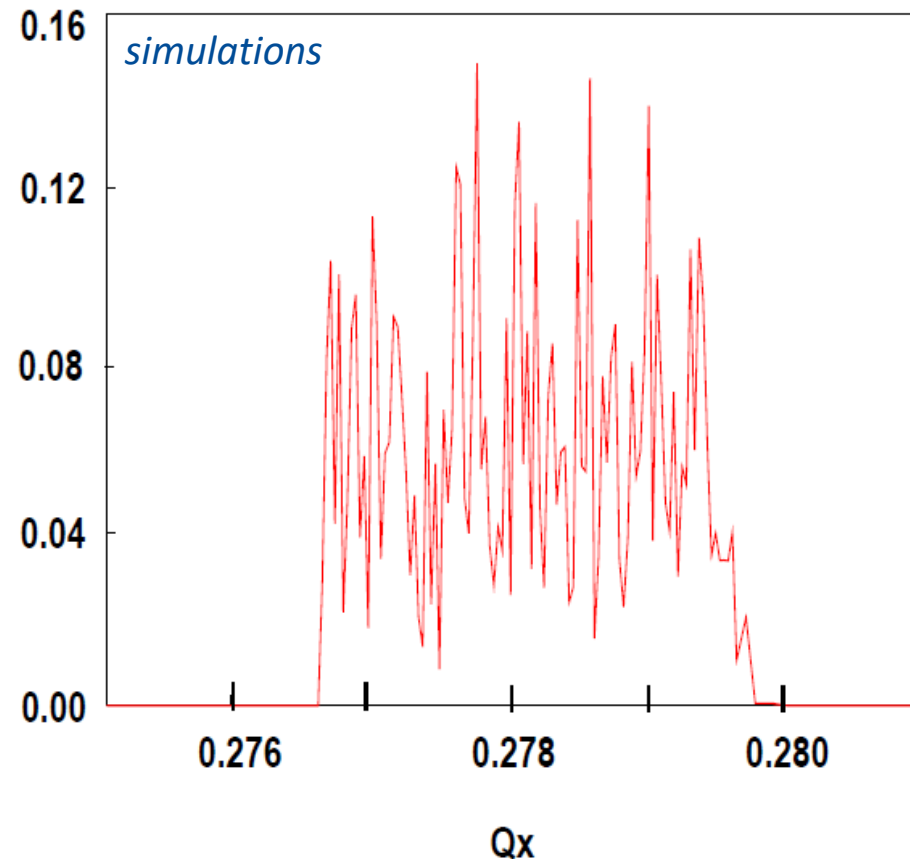
- so far: only an additional “quasi-quadrupole” BUT non-linear part of beam-beam force scales with ξ

Note that for flat beams $\sigma_x \gg \sigma_y$ $\xi_y \gg \xi_x$

Tune Spectra: with/w.o. Beam-Beam



Linear force →
all particles have same tune
→ one line in the spectrum of
transverse oscillations



Non-linear force →
particles with different amplitudes
have different frequencies (tunes)
We get frequency (tune) spectra
Width of the spectra: $\sim \xi$

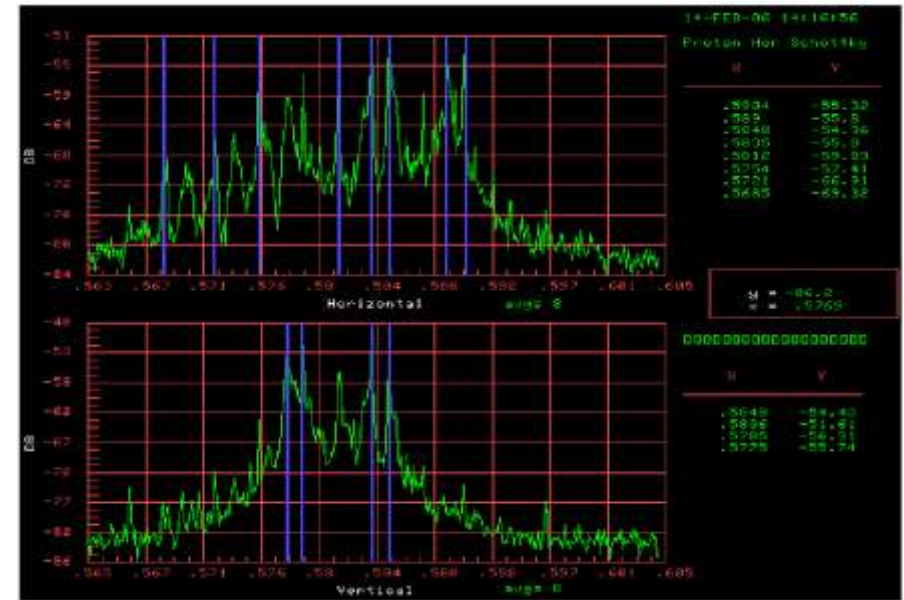
In Reality – Even More Complex

Tevatron 980 GeV p and
980 GeV \bar{p} (antiprotons)

Colliding with $\xi \sim 0.028$

Force is focusing \rightarrow tunes shift is positive

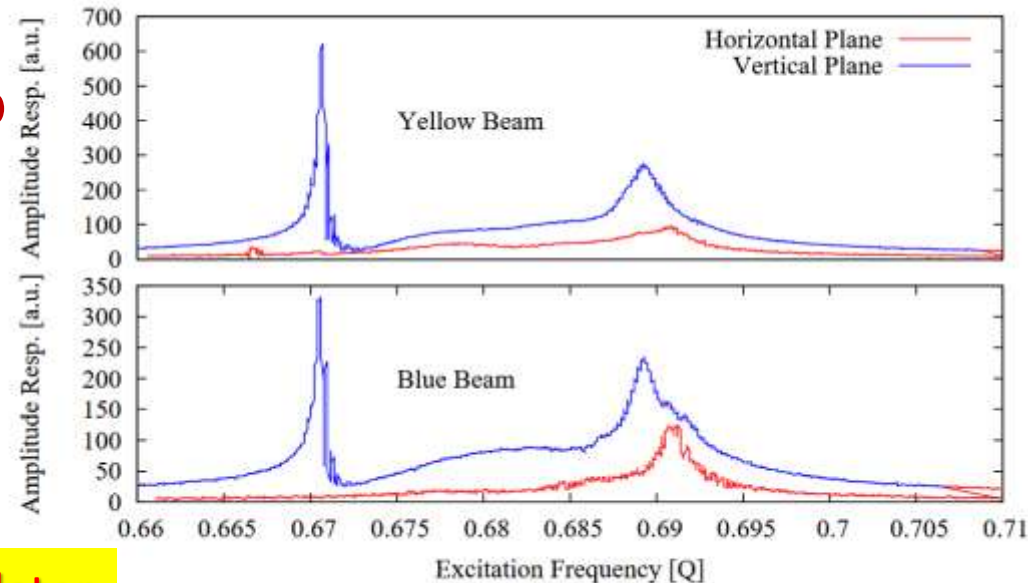
Measured with 21MHz Schottky monitors



RHIC 100 GeV p + 100 GeV p
Colliding with $\xi \sim 0.020$

Force is de-focusing \rightarrow tunes shift is negative

Measured with BTF (beam transfer function) monitor



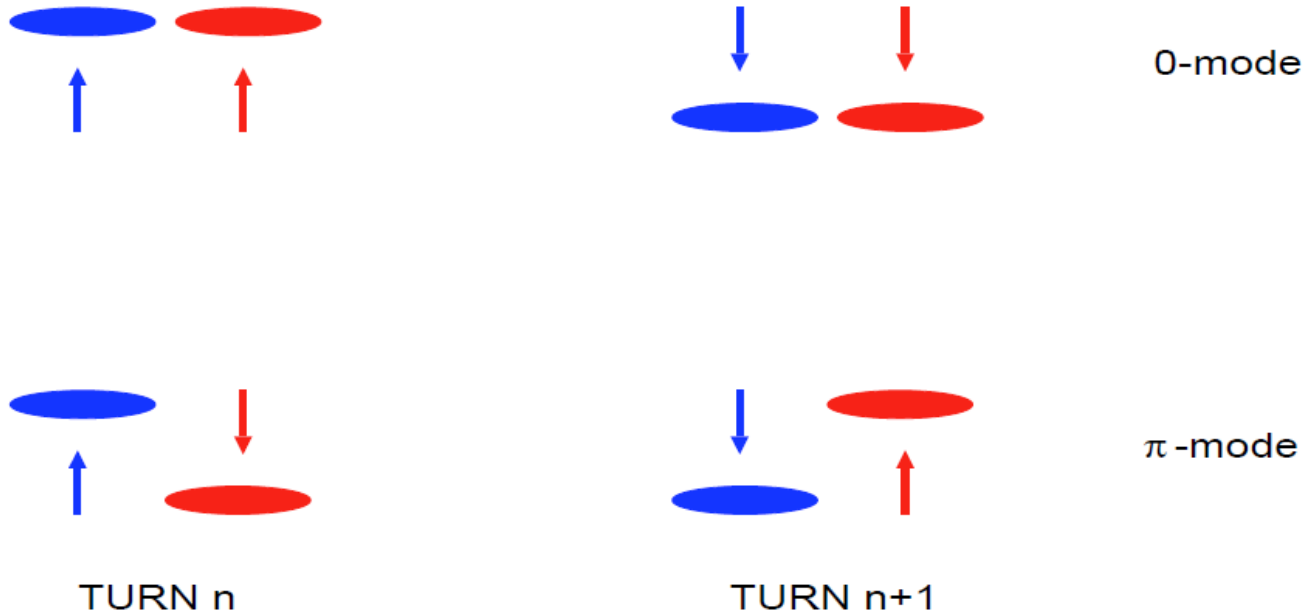
Complications : Strong-Strong vs Weak-Strong

- Both beams are very strong (**strong-strong**):
 - Both beam are affected and change due to beam-beam interaction
 - Examples: LHC, LEP, RHIC, ...
- One beam much stronger (**weak-strong**):
 - Only the weak beam is affected and changed due to beam-beam interaction
 - Examples: SPS collider, Tevatron (early in Run II) , ...

Incoherent vs Coherent Beam-Beam Effects

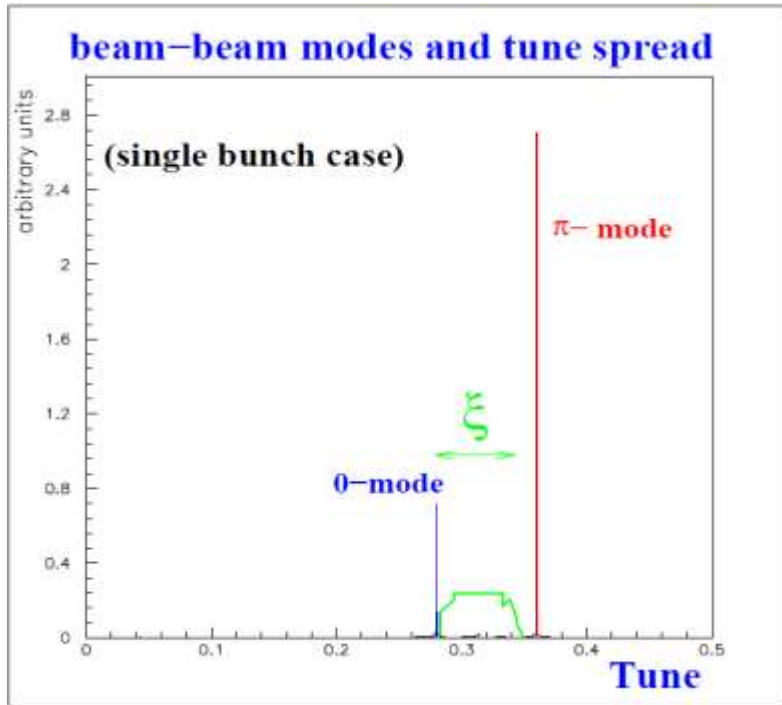
- Incoherent (single particle effects):
 - Single particle dynamics - treat as a particle passing through a static electromagnetic lens
 - Basically, non-linear dynamics effects:
 - unstable and/or irregular motion (“chaos”)
 - beam size blow up or bad lifetime
 - Very bad: unequal beam sizes (studied at SPS, HERA, Tevatron)
- Coherent (bunches affected as a whole):
 - Collective modes
 - Bunch-by-bunch differences in:
 - Orbits
 - Tunes
 - Chromaticities

Coherent Beam-Beam: Modes



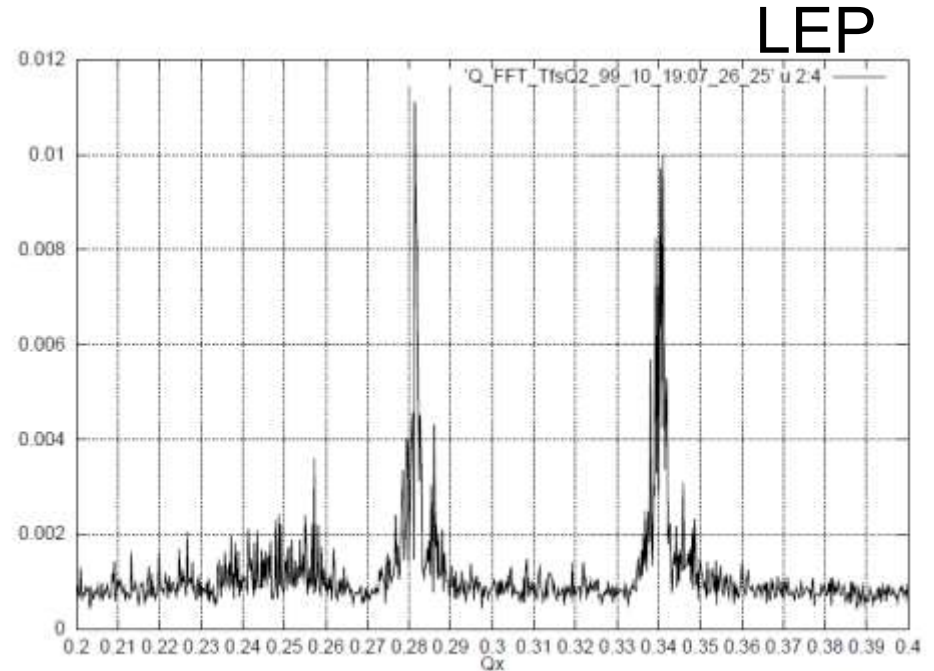
- Coherent mode: two bunches are "locked" in a coherent oscillations
 - 0-mode is stable (Mode with **NO** tune shift)
 - π -mode can become unstable (Mode with **LARGEST** tune shift)

Coherent Beam-Beam: Modes



▶ 0-mode is at unperturbed tune

▶ π -mode is shifted by $1.1 - 1.3 \cdot \xi$



Two modes clearly visible
Can be distinguished by phase relation, i.e.
sum and difference signals

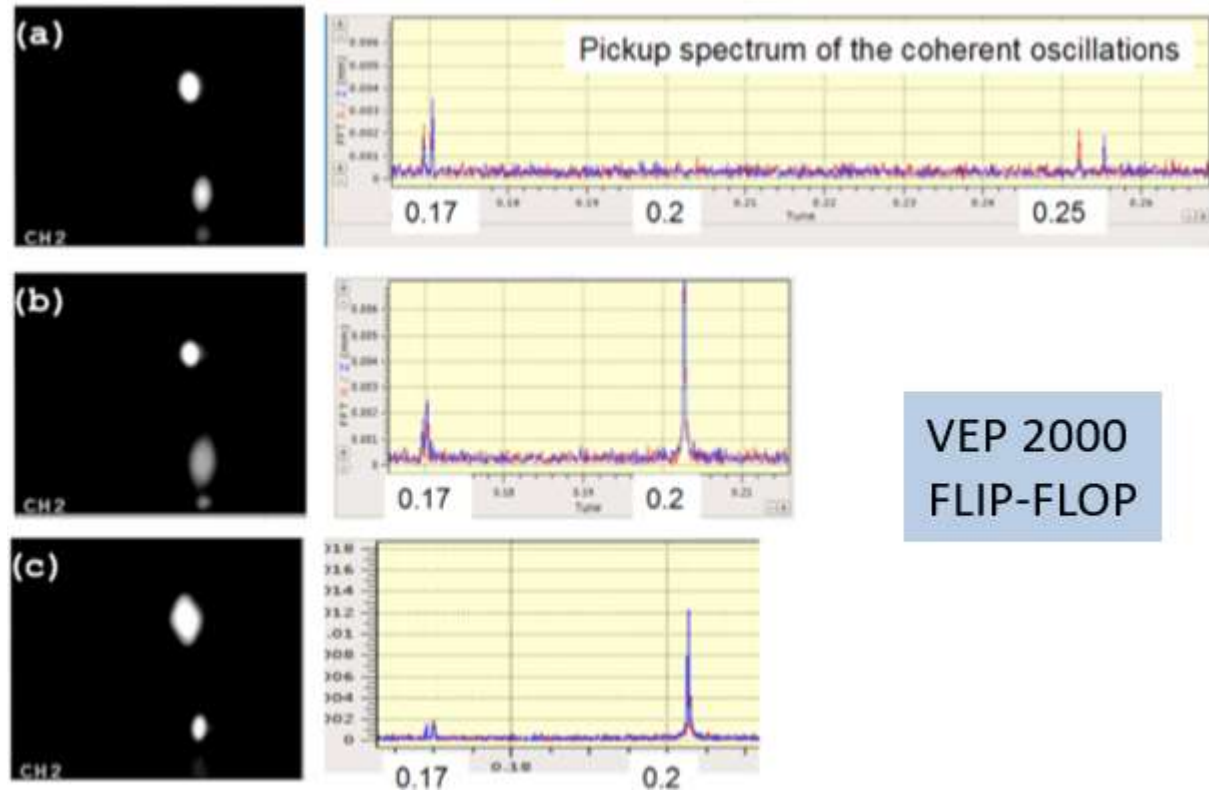
Coherent Beam-Beam: Flip-Flop

Bunch sizes get bigger or smaller out of phase

(PEP-II, VEPP-2000, etc)

The intensity threshold for the flip-flop depends on:

- asymmetry in beam intensities
- x-y coupling



3D Flip-Flop effects triggered by non-linearities of lattice. π -mode on 1/5 resonance. The effect have shown a strong sensitivity to X-Y coupling, beta unbalance and bunch length \rightarrow main limitation in VEPP 2000.

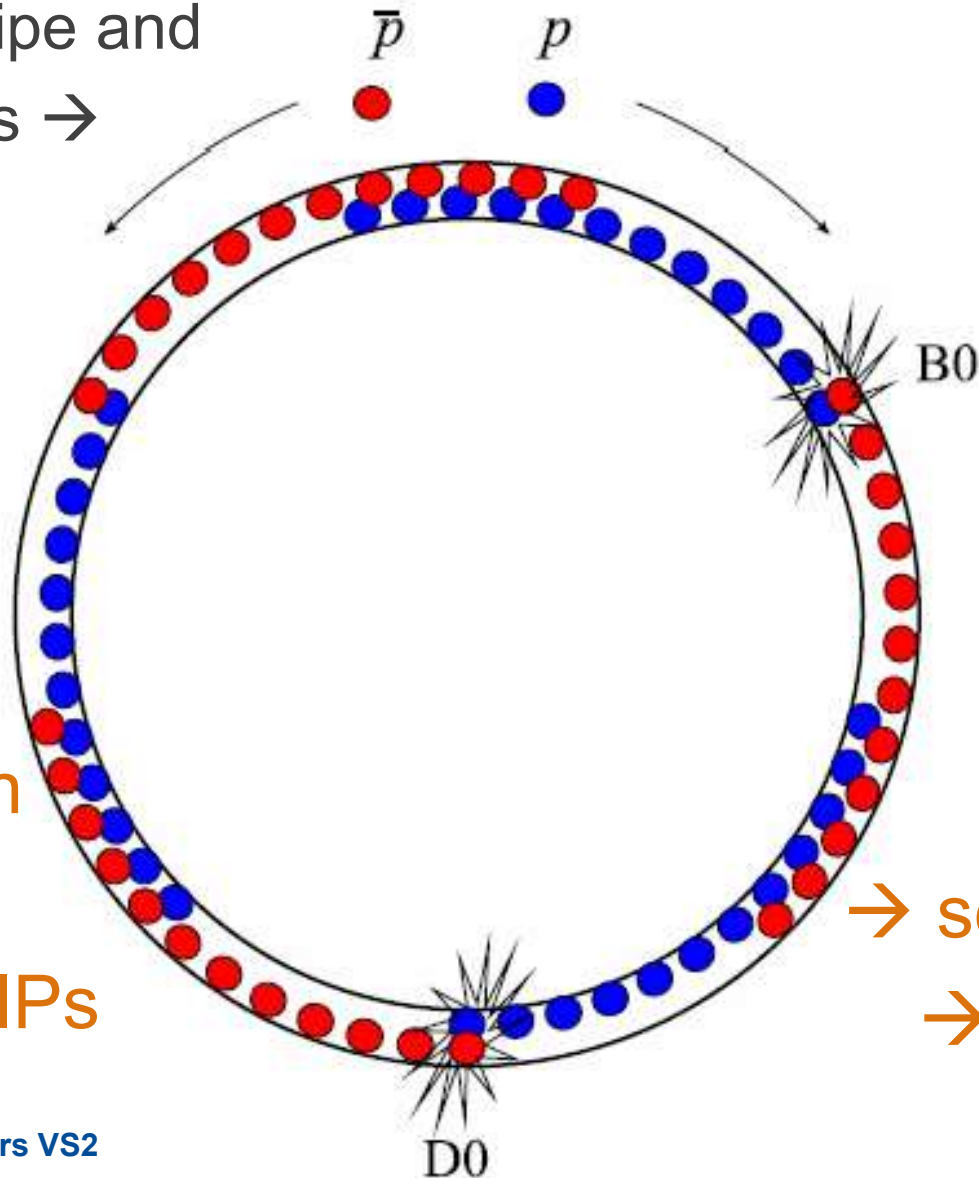
Multi-Bunch Operation: Need and Issues

$$\mathcal{L} = \frac{N_1 N_2 f \cdot B}{4\pi\sigma_x\sigma_y}$$

- How to collide many bunches (for high \mathcal{L}) ??
- Must avoid unwanted collisions !! Otherwise $\xi \rightarrow 2B\xi$
- Separation of the beams:
 - Pretzel/helix scheme (SPS, LEP, Tevatron)
 - Bunch trains (LEP, PEP)
 - Crossing angle (LHC)

Tevatron: 36 proton x 36 antiproton

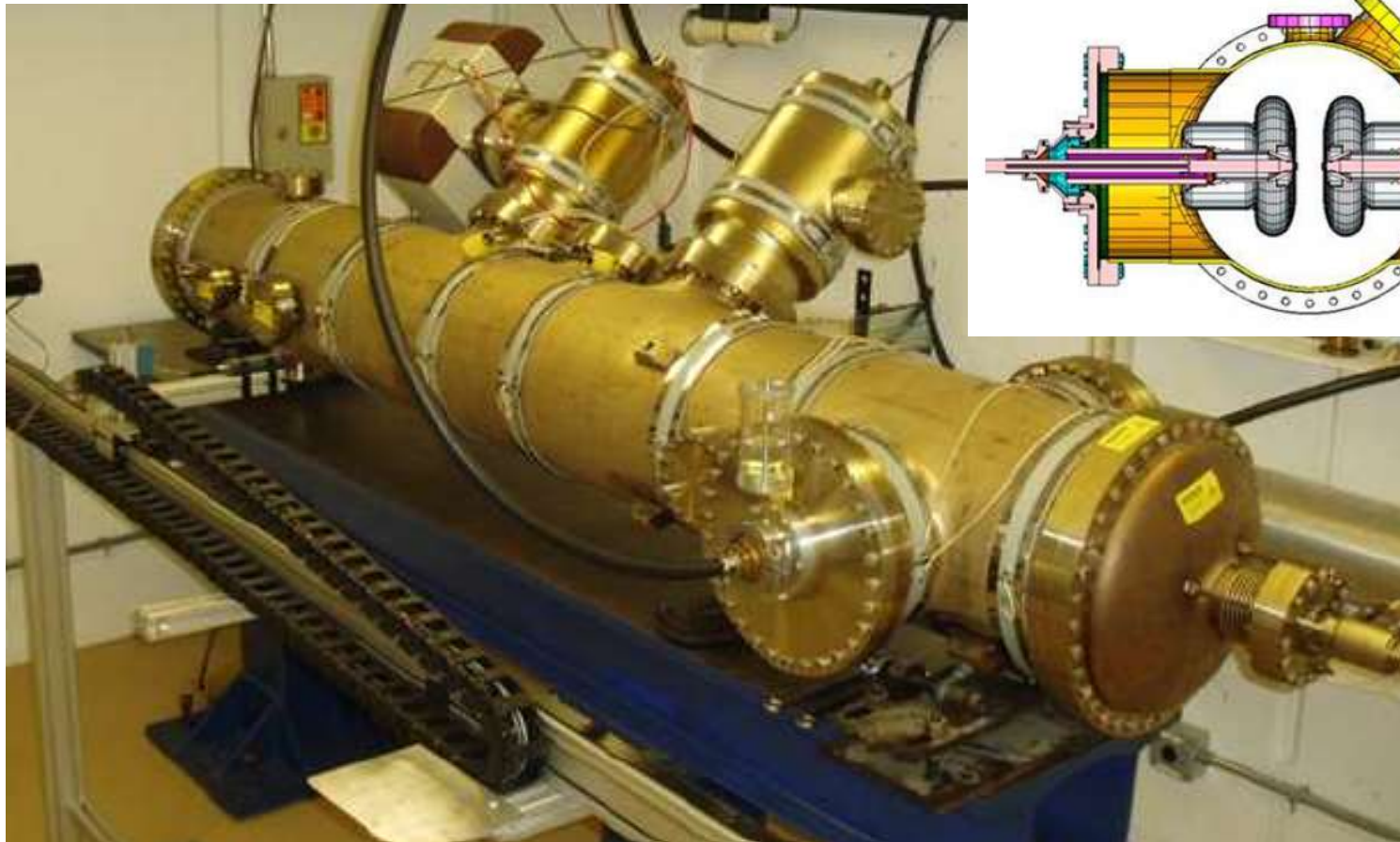
Same beam pipe and
magnetic fields →
same orbits →
72 IPs



396 ns bunch
separation
→ 59 m btw IPs

Need only 2
→ separate at 70
→ Electric field

Tevatron High Voltage Electrostatic Separators



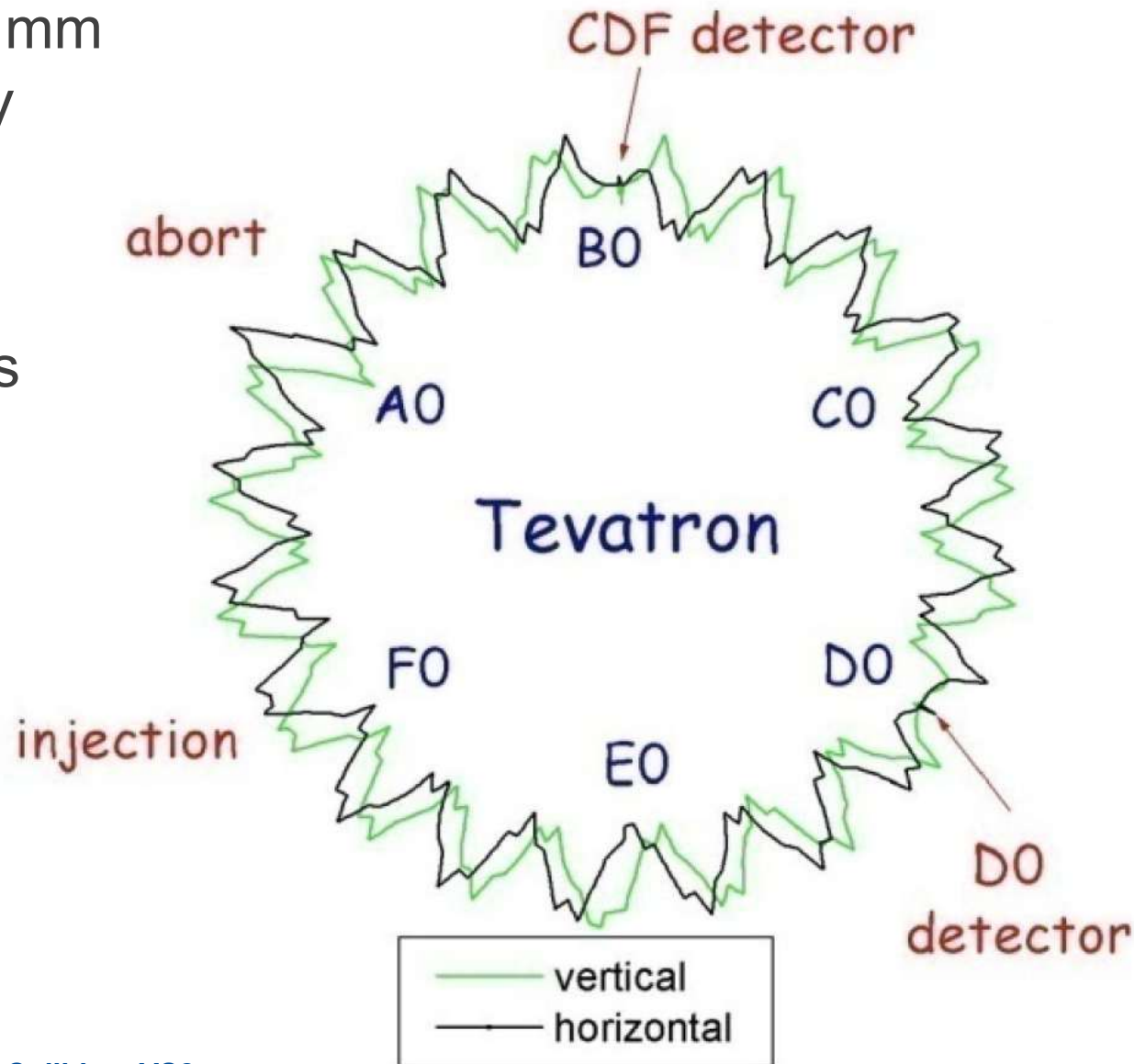
300 kV over 50 mm gap; 3 m ; 24 of them (H/V)

Tevatron Helix

24 electrostatic
separators are used

size 12-15 mm
at 150 GeV

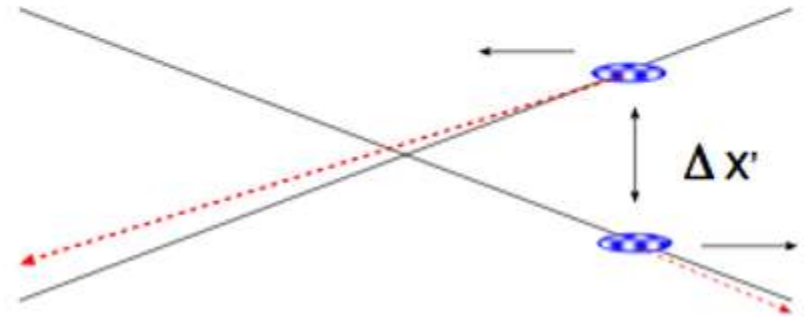
6-8 mm
at collisions



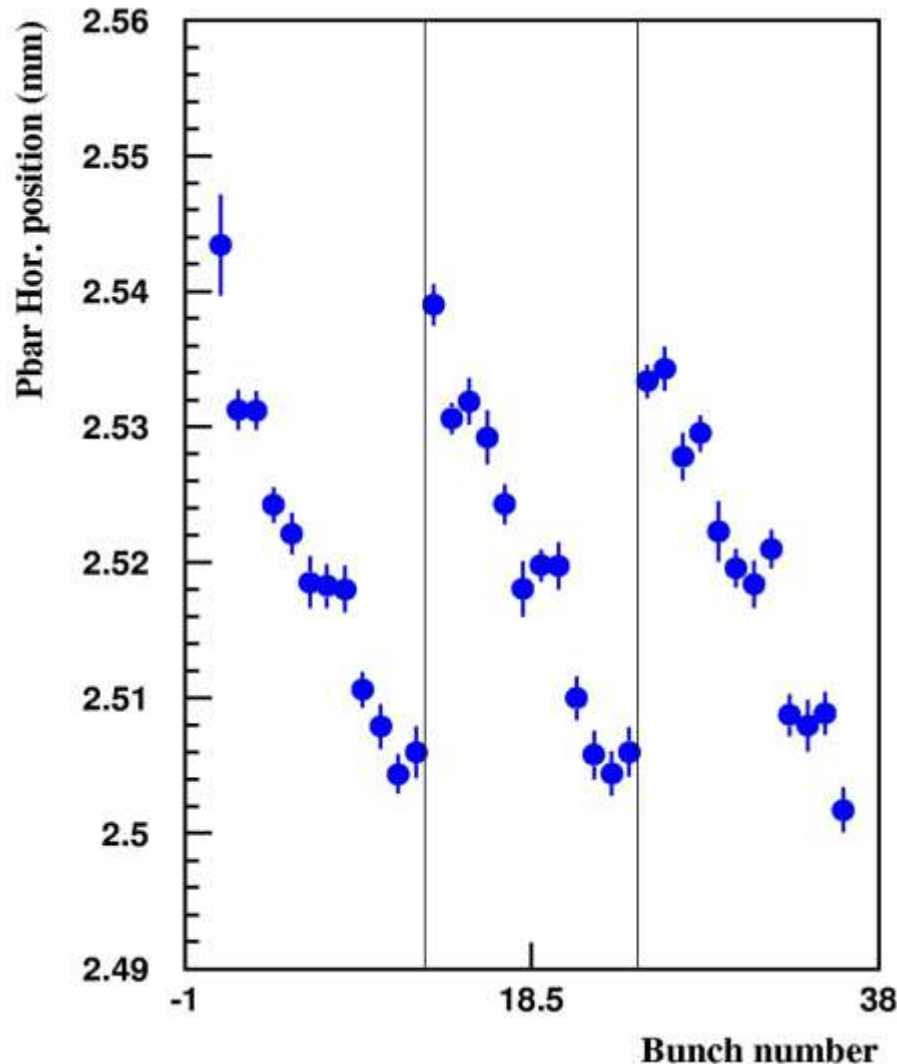
All beam indicators become bunch dependent due to long-range beam-beam effects

$$\Delta x' = \frac{\text{const}}{d} \left[1 - \frac{x}{d} + O\left(\frac{x^2}{d^2}\right) + \dots \right]$$

- Orbits
- Tunes, couplings
- Chromaticities
- In both – protons and pbars
- Have 3-fold symmetry (trains of 12)

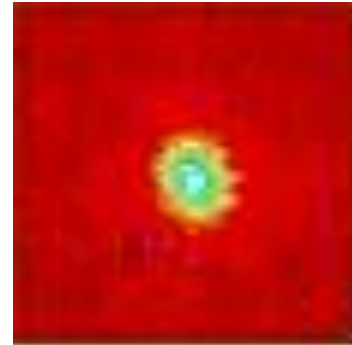


Long-range B-B Seen at Low-Beta (980 GeV)

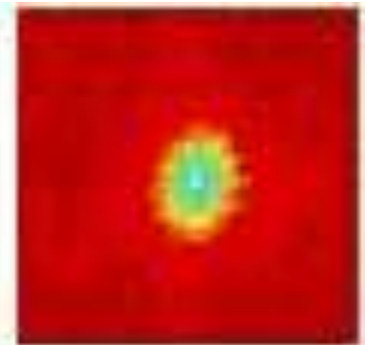


- Synchrotron light monitors show 40 micron b-by-bunch horizontal orbit variation along the bunch train with 3-train symmetry (4 microns for protons)
- Also indicate coupling differences →

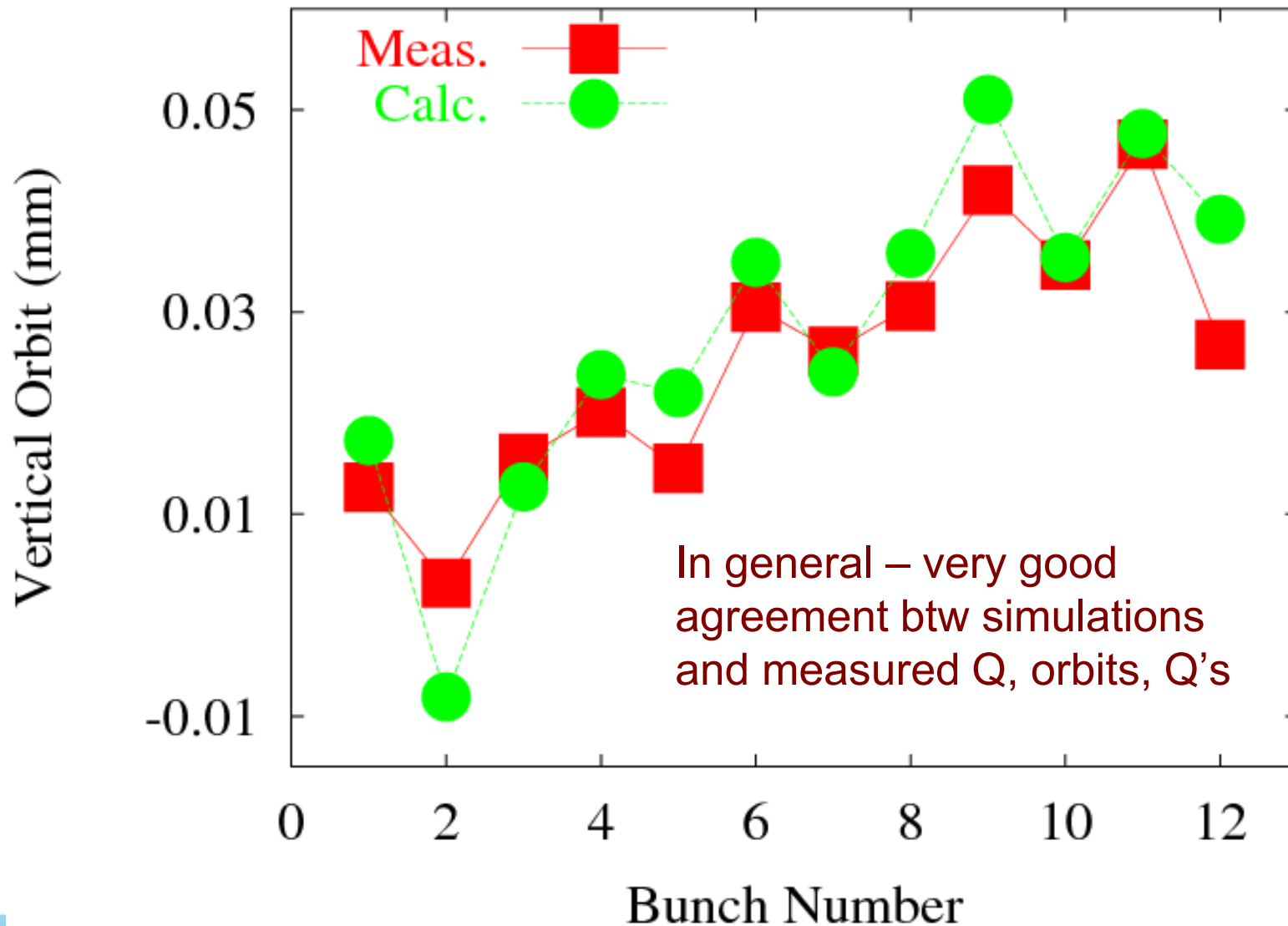
Bunch #1



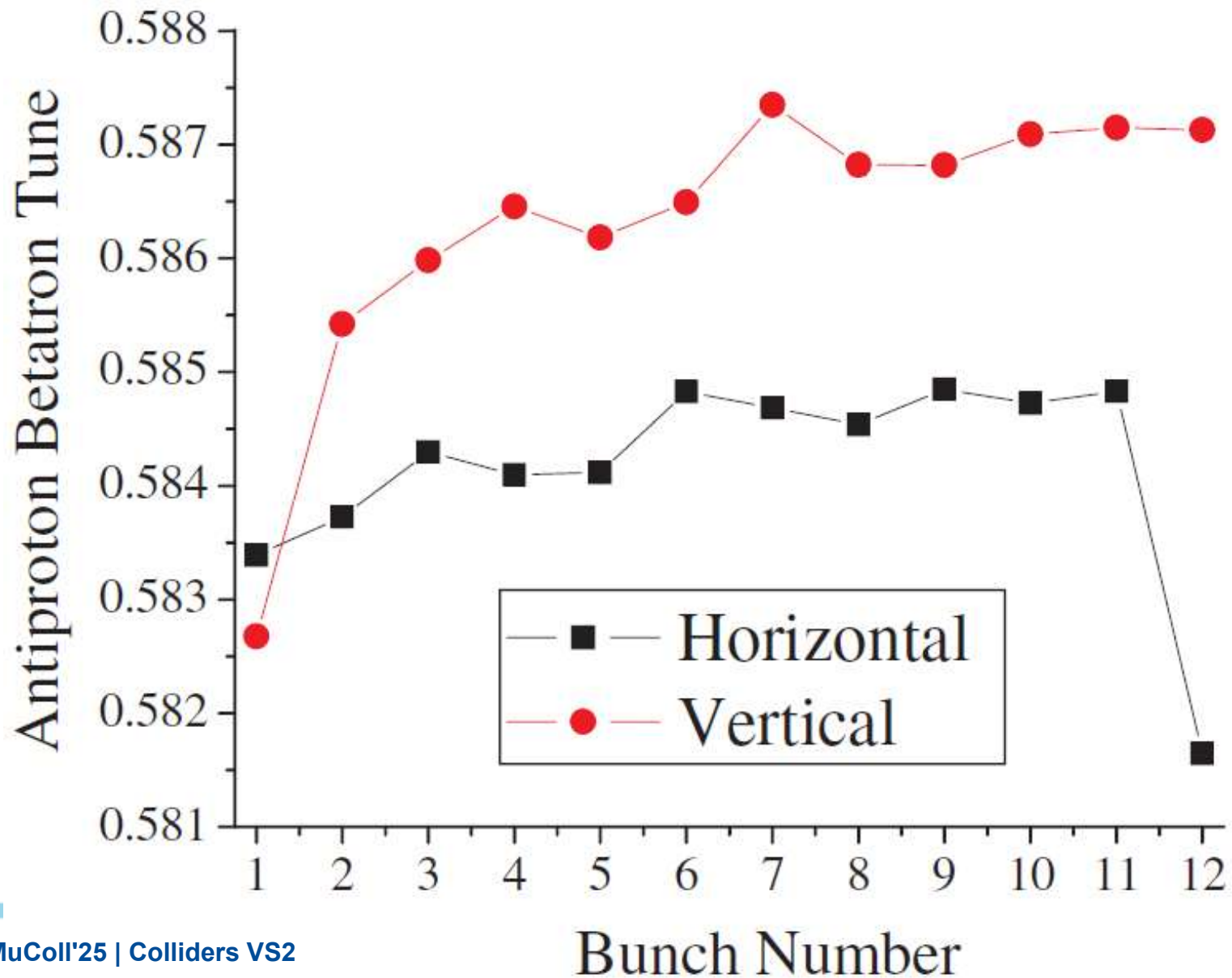
Bunch #8



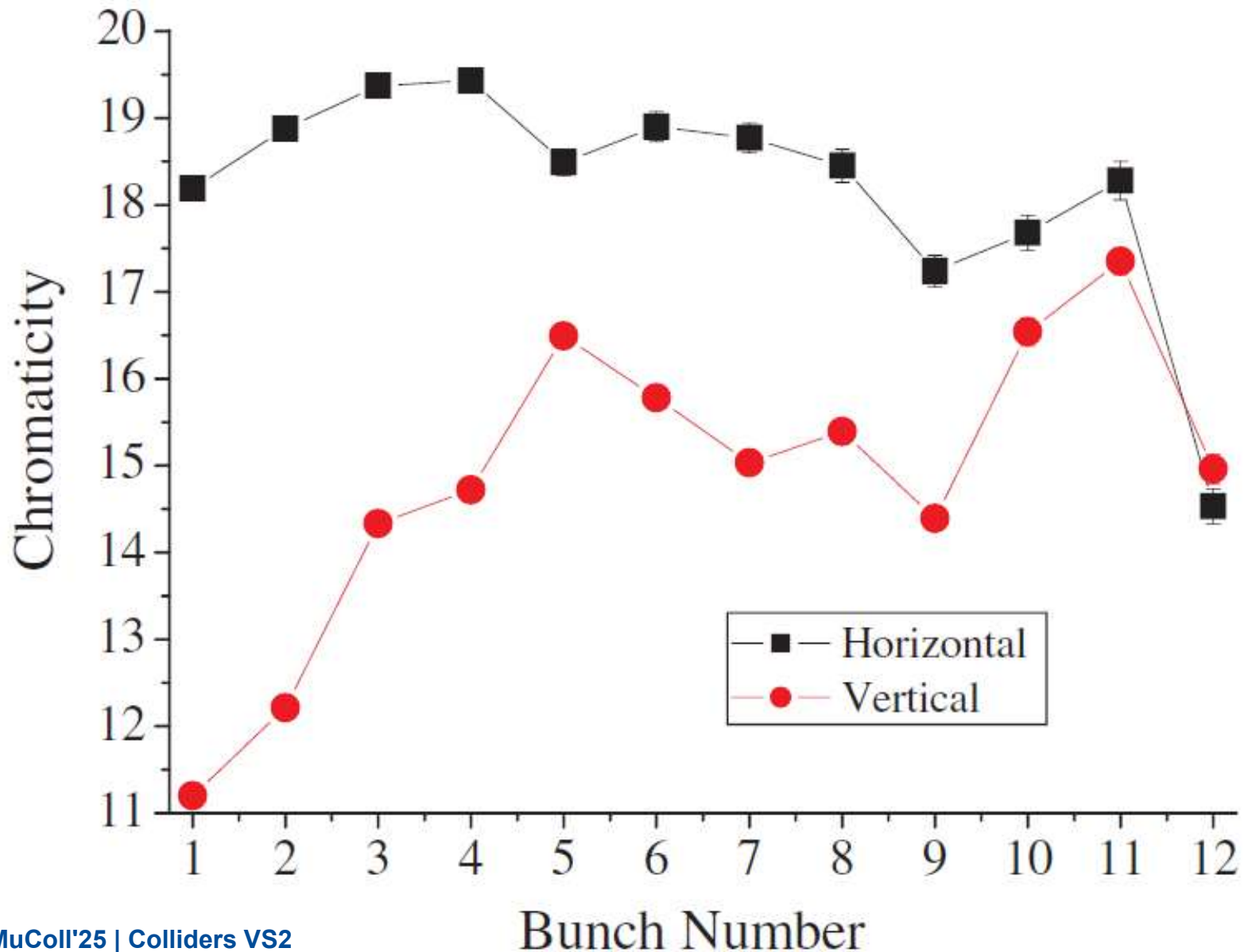
Antiproton Vertical Orbit



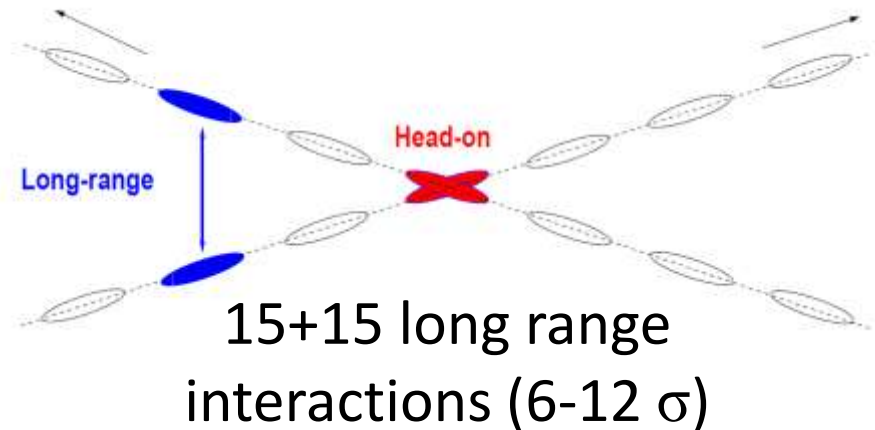
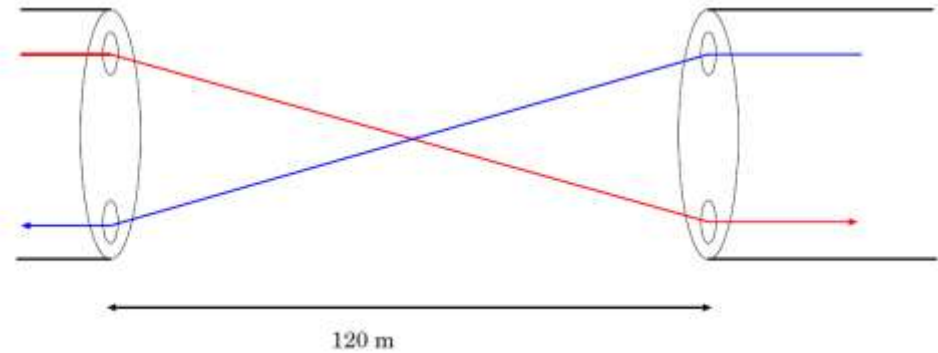
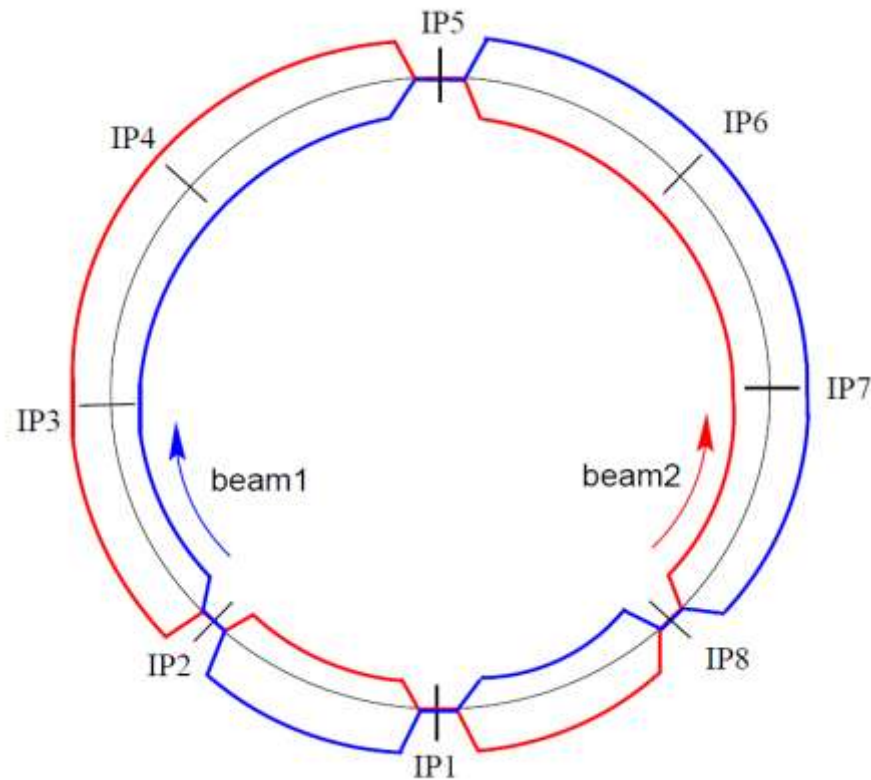
Pbar Bunch Tunes in Collisions



Pbar Bunch Chromaticity in Collisions

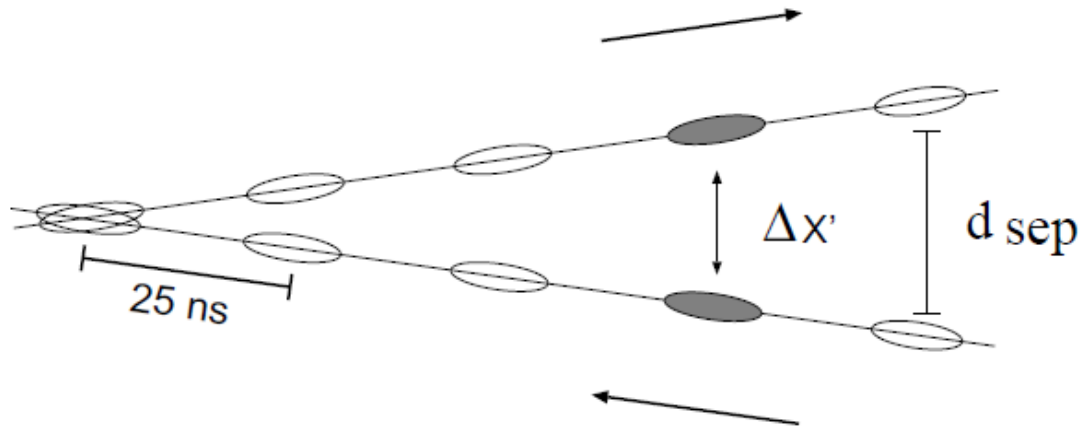


In the LHC



- 2808 p bunches in each beam, every 25 ns
- Two beams in separate beam pipes except in common chamber around 4 experiments
- **Local** separation via two horizontal and two vertical crossing angles

Parasitic Beam-beam Kicks



For horizontal separation d :

$$\Delta x'(x + d, y, r) = -\frac{2Nr_0}{\gamma} \cdot \frac{(x + d)}{r^2} \left[1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right]$$

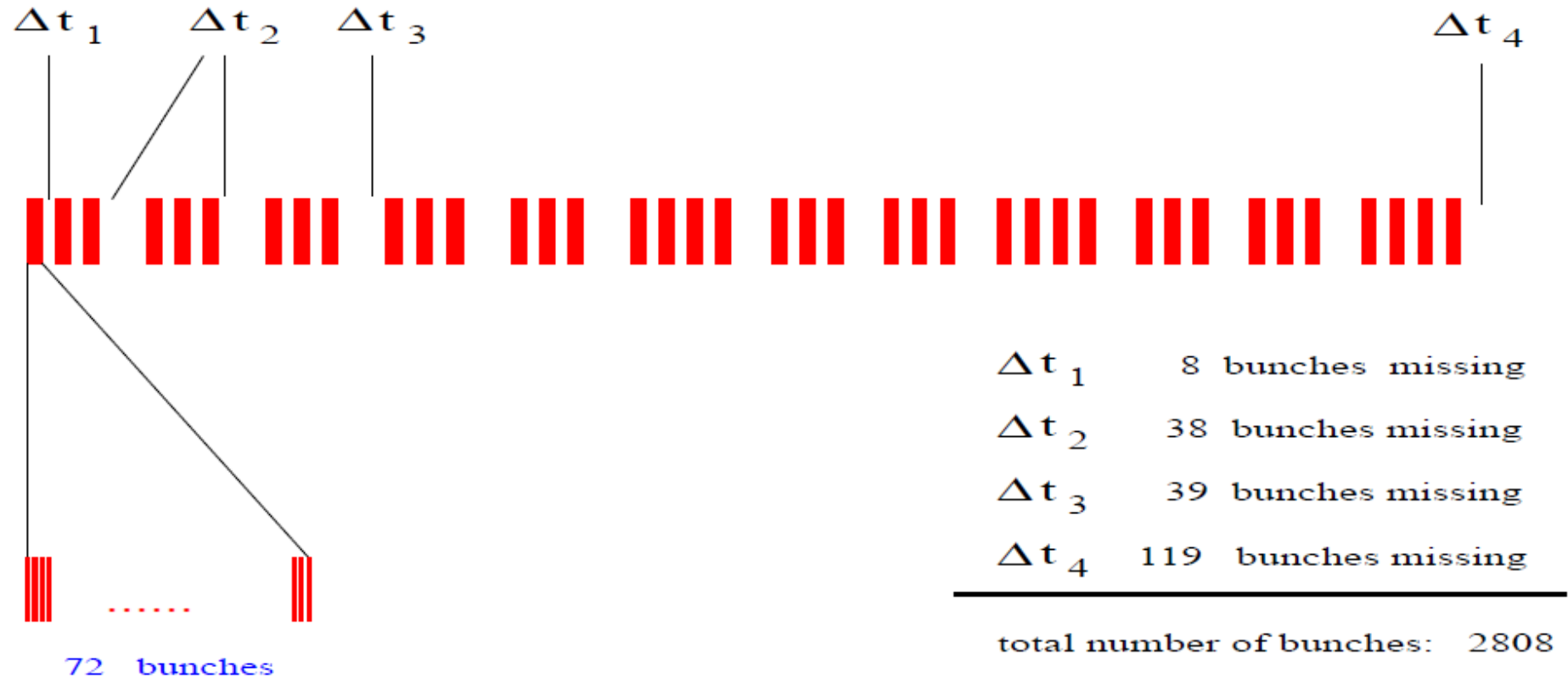
$$(with: \quad r^2 = (x + d)^2 + y^2)$$

In LHC 15 collisions on each side, 120 in total!
Effects depend on separation, eg tunes shift

$$\Delta Q \propto -\frac{N}{d^2}$$

PAMCMAN bunches due to gaps

- Average orbit and tune variations can be corrected, **but:**



LHC bunch filling not continuous: holes for injection, extraction, dump ..

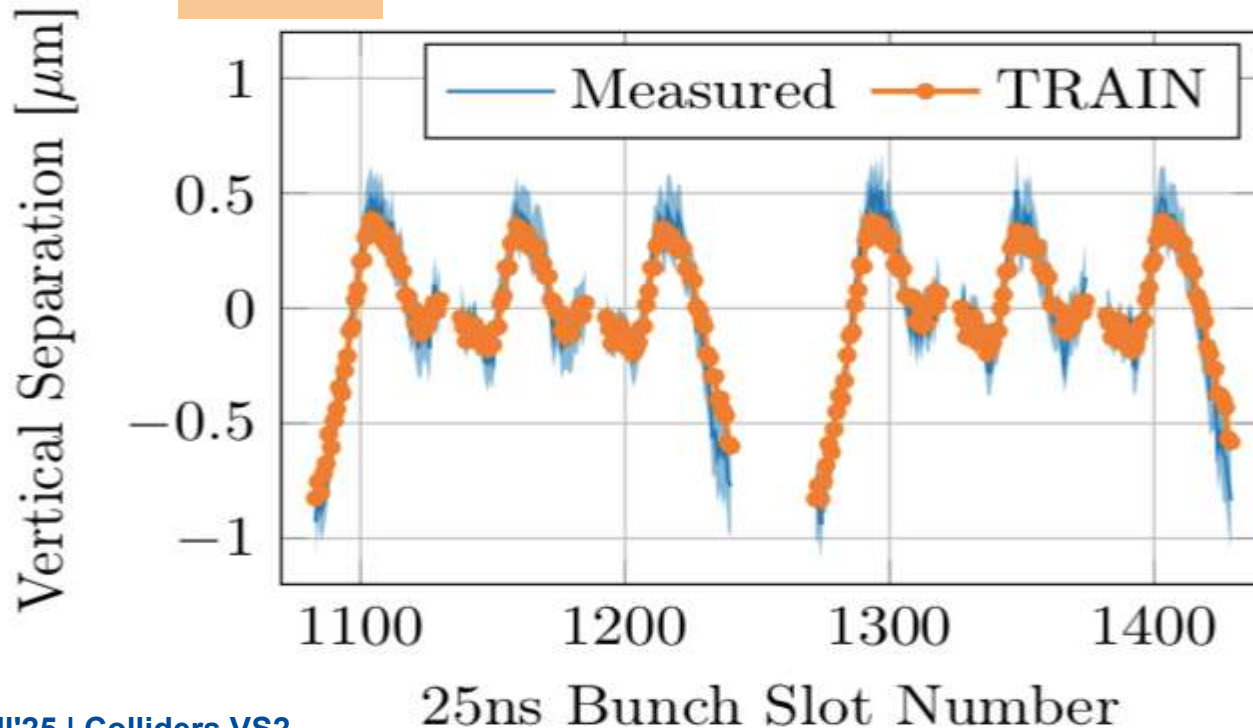
"Only" 2808 of 3564 possible bunches circulate ! 1756 "holes"

"Holes" meet "holes" at the interaction point - But not always ...

Effect of PACMAN bunches (end of train)

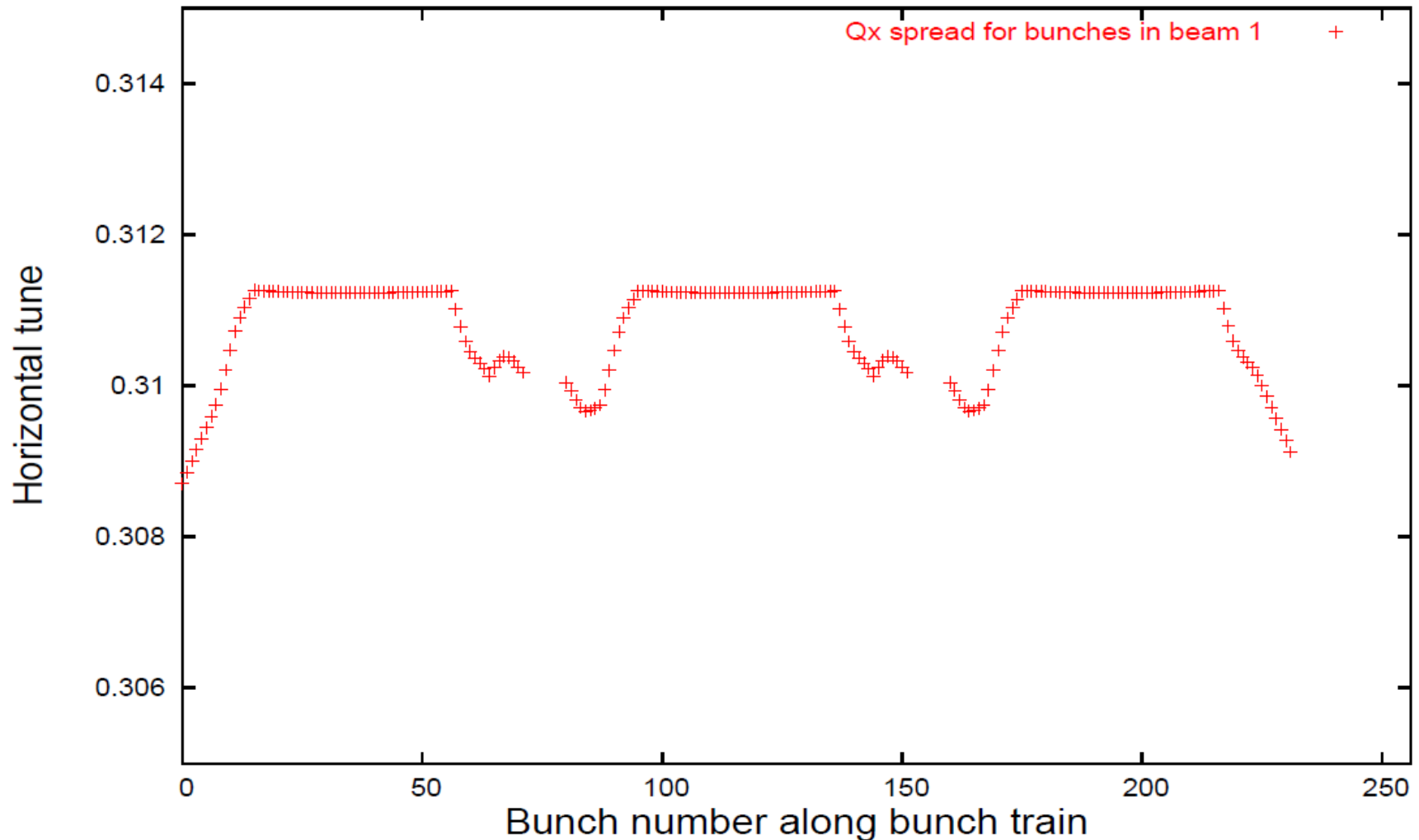
- Some bunches can meet a hole/holes (at beginning and end of bunch train) →
- They see fewer unwanted interactions in total: between 120 (max) and 40 (min) long range collisions → Different integrated beam-beam effect for different bunches

LHC



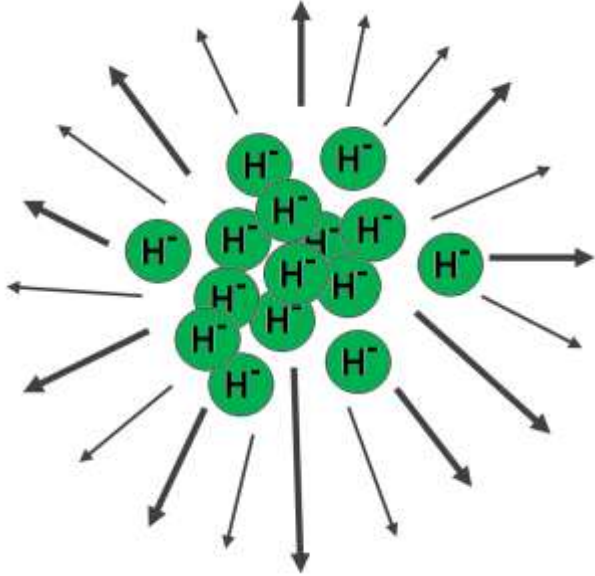
Tune Spread - too large for safe operation

Tune along bunches

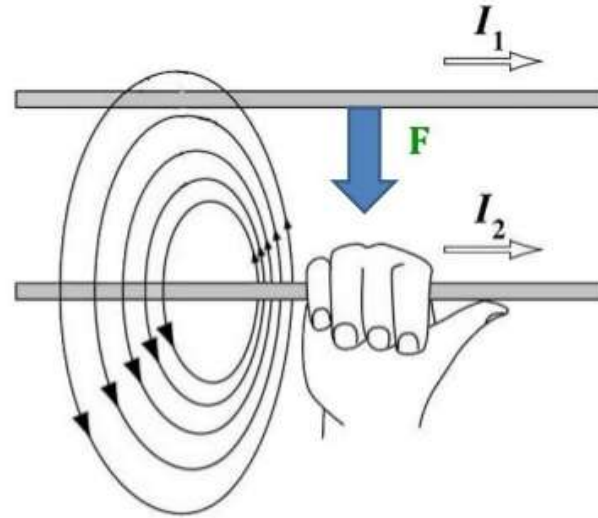


Transverse Interaction of Co-Moving Charges

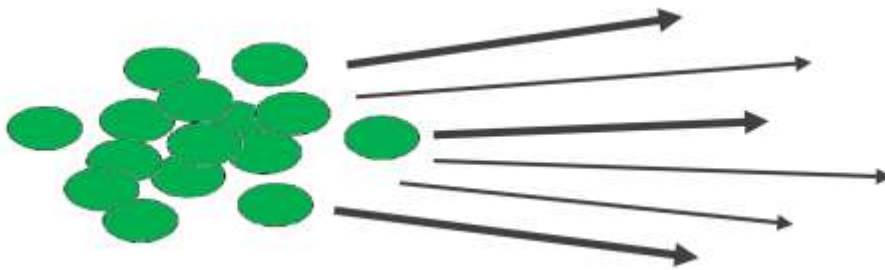
Electric Repulsion:



Magnetic Attraction:



Weakened Repulsion with Acceleration:



$$\vec{E}_{\perp} = \gamma E'_{\perp}$$

$$\vec{B}_{\perp} c = \beta(\hat{z} \times \vec{E}_{\perp})$$

$$\vec{F}_{\perp} = q(E + v \times B)_{\perp}$$

$$\vec{F}_{\perp} = q(1 - \beta^2)E_{\perp} = \frac{q}{\gamma^2}E_{\perp}$$

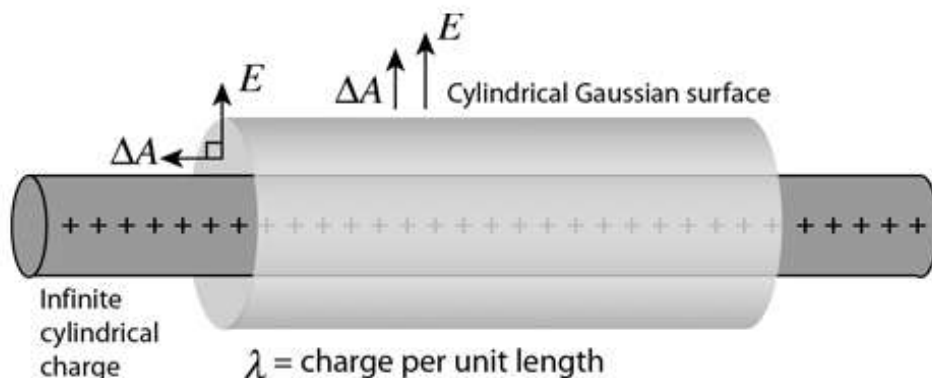
Space-charge Core vs Tail

Transverse space-charge forces much stronger than longitudinal space-charge.

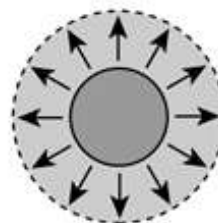
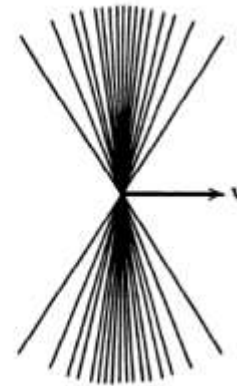
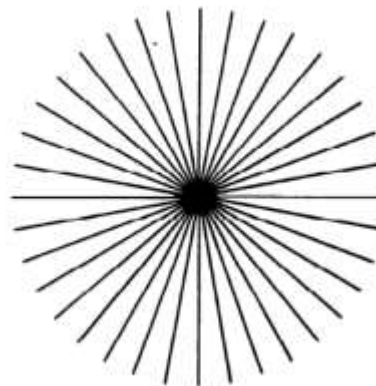
Transverse and Longitudinal charge distribution can be written as separable functions:

$$\rho(x, y, z) = \lambda(z)\rho_{\perp}(x, y)$$

Gaussian cylinder for a line-charge:



Relativistic Distortion of EM Fields
“Pancake-ification”



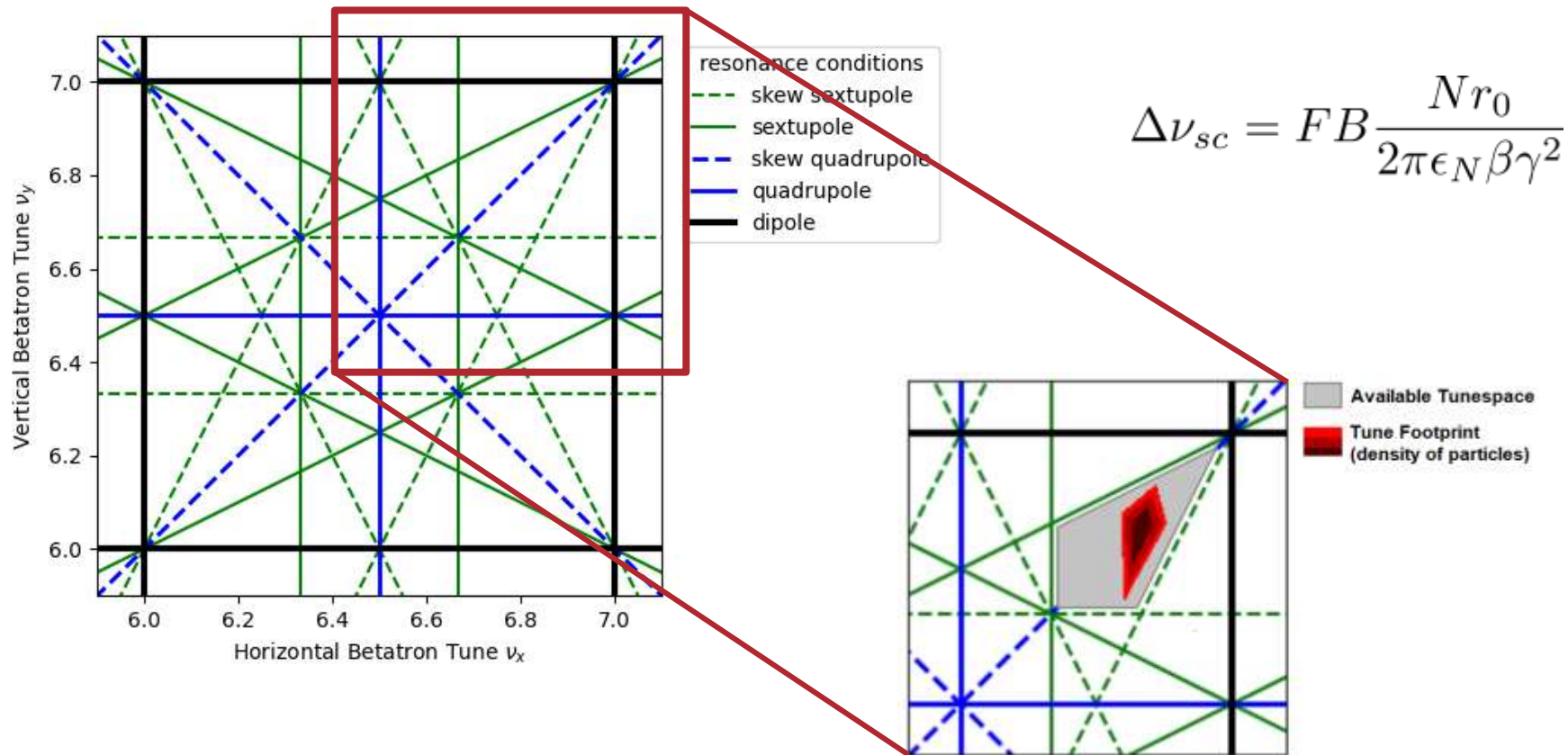
For $r < R$

$$E = \frac{\lambda r}{2\pi\epsilon_0 R^2}$$

For $r \geq R$

$$E = \frac{\lambda}{2\pi\epsilon_0 r}$$

Tune Diagrams



Summary

The linear transverse dynamics of a particle accelerator are governed by **Hill's Equation**, which is a time-varying harmonic oscillator.

We calculate the trajectory of individual particles through the many individual magnets of a particle accelerator using **transfer matrices**.

Transfer matrices are also used for the beam size and oscillation phase, which are represented by **Courant-Snyder** parameters.

There are **chromatic effects**, **resonances**, and **space-charge effects** that complicate the process of designing and operating a particle accelerator.

Some backup slides on longitudinal dynamics.